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2	COMMITTEE ON OVERSIGHT AND REFORM
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7	SELECT SUBCOMMITTEE ON THE CORONAVIRUS CRISIS
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12	U.S. HOUSE OF REPRESENTATIVES
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15	WASHINGTON, D.C.
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19	INTERVIEW OF: STEPHEN M. HAHN, M.D.
20	
21	Friday, January 28, 2022
22	
23	The Interview Commenced at 8:16 a.m.

HVC028550

24	Appearances:
25	
26	For the DEMOCRATIC STAFF (MAJORITY):
27	[Redacted]
28	
29	For the REPUBLICAN STAFF (MINORITY):
30	[Redacted]
31	
32	For the CDC and U.S. DEPARTMENT OF HEALTH AND
33	HUMAN SERVICES:
34	KEVIN BARSTOW, Senior Counsel
35	
36	
37	Continued

38	Appearances:
39	
40	For the WITNESS:
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53	2 FDA's Role in SARS-CoV-2 Diagnostic	
54	Development, Bates commencing SSCC-0037750	31
55	3 Email communication, Subject: RE [champ]	
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57	laboratory testing legislation, Bates commencing	
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99 Exhibits Page 100 Letter dated 9.22.2020, from Dr. 101 Steven J. Hatfill, MD, to The Honorable Mark R. 102 Meadows, Bates commencing GWU-0001135 189 Email communication, Subject: All 103 20 Existing Convalescent plasma studies (2 reviews, 104 105 2 RCTs, 14 non randomized), Bates commencing SSCC-0015402 106 197 107 21 Tweet from Donald J. Trump, Aug. 22 2020 108 210 22 Email communication, Subject: Re: EUA 109 Update - confidential and predecisional 219 110 23 Email communication, Subject: RE: 111 226 112 Update TP's 24 Email communication, Subject: FW: WHO 113 114 quidance on criteria for Emergency Use Listing 115 and Prequalification procedure for COVID-19 Vaccines - Document posted for public comment 116 117 Bates commencing SSCC-0037773 289 25 Email communication, Subject: RE: 118 Vaccine guidance, Bates SSCC-0038089 119 290 120 26 Email communication, Subject: FW: Follow up, Bates commencing SSCC-0038089 121 300 27 Tweet from Donald J. Trump, Dec 11, 2020 303 122 28 Email communication, Subject: FW: LDT 123

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129 PROCEEDINGS 130 [Majority Counsel]. Good morning. This is a 131 transcribed interview of Dr. Stephen Hahn conducted by the 132 House Select Subcommittee on the Coronavirus Crisis. This interview was requested by Chairman James Clyburn as part 133 of the Committee's oversight of the federal government's 134 135 response to coronavirus. I'd like to ask the witness to state his full name 136 and spell his last name for the record. 137 138 The Witness. Stephen Michael Hahn, H-A-H-N. [Majority Counsel]. Thank you. 139 140 BY [Majority Counsel]. Dr. Hahn, my name is [Redacted]. I am 141 [Redacted] for the Select Subcommittee Majority staff. I 142 want to thank you for coming in today for this interview. 143 We recognize that you are here voluntarily and we 144 145 appreciate that. Under the Committee's rules you are allowed to have 146 147 an attorney present to advise you during this interview. Do you have an attorney representing you today? 148 I do. Α 149 [Majority Counsel]. Would counsel for Dr. Hahn 150 please identify themselves for the record. 151 Mr. Armstrong. Chris Armstrong with Holland & 152

153

Knight.

9

154 Ms. Klock. Sara Klock at Holland & Knight.

- 155 [Majority Counsel]. Thank you. Can the additional
- 156 staff in the room please identify themselves for the
- 157 record.
- 158 Mr. Barstow. Kevin Barstow, HHS.
- [Redacted]. [Redacted] with the Republican staff.
- [Redacted]. [Redacted] with the Republican staff.
- 161 [Redacted]. [Redacted] with the Republican staff.
- [Redacted]. [Redacted], Majority counsel.
- [Redacted]. [Redacted], Majority counsel.
- [Redacted]. [Redacted] with the Majority.
- 165 [Majority Counsel]. Before we begin, I would like to
- 166 go over the ground rules for this interview. The way this
- 167 interview will proceed is as follows:
- The Majority and Minority staffs will alternate
- 169 asking you questions, one hour per side per round, until
- 170 each side is finished with questioning. The Majority staff
- 171 will begin and proceed for an hour and then the Minority
- 172 staff will have an hour to ask questions. We'll alternate
- 173 back and forth in this manner until both sides have no more
- 174 questions.
- 175 We have agreed that if we're in the middle of a line
- 176 of questioning, we may end a few minutes before or go a few
- 177 minutes past an hour just to wrap up a particular topic.
- 178 In this interview, while one member of the staff may lead

10

179 the questioning, additional staff may ask questions from

- 180 time to time.
- 181 There is a court reporter taking down everything I
- 182 say and everything you say to make a written record. For
- 183 the record to be clear, please wait until I finish each
- 184 question before you begin your answer and I will wait until
- 185 you finish your response before asking you the next
- 186 question. The court reporter cannot record nonverbal
- 187 answers such as shaking your head, so it's important that
- 188 you answer each question with an audible verbal answer.
- Do you understand?
- 190 A Yes.
- 191 Q We want you to answer questions in the most
- 192 complete and truthful manner possible, so we're going to
- 193 take our time today. If you have any questions or do not
- 194 understand any of the questions, please let us know. We
- 195 will be happy to clarify or rephrase the question.
- 196 Do you understand?
- 197 A Yes.
- 198 Q If I ask you about conversations or events in
- 199 the past and you're unable to recall the exact words or
- 200 details, you should testify to the substance of those
- 201 conversations or events to the best of your recollection.
- 202 If you recall only a part of a conversation or event, you
- 203 should give us your best recollection of those events or

11

204 parts of conversations that you do recall.

205 Do you understand?

206 Α Yes.

207 If you need to take a break, please let us

know. We're happy to accommodate you. Ordinarily we take 208

209 an approximately five-minute break at the end of each hour

of questioning, but if you need a break before then, just 210

let us know. To the extent that there is a pending 211

question, however, I would just ask that you finish 212

213 answering the question before you take a break.

Do you understand? 214

215 Α Yes.

216 Although you are here voluntarily and we will

not swear you in, you're required by law to answer 217

questions from Congress truthfully. This also applies to 218

questions posed by congressional staff in an interview. 219

220 Do you understand?

221 Α Yes.

222 If at any time you knowingly make false

statements, you could be subject to criminal prosecution. 223

224 Do you understand?

225 Yes. Α

226 Is there any reason that you are unable to

provide truthful answers in today's interview? 227

228 No. Α

230 The Select Subcommittee follows the rules of 230 the Committee on Oversight and Reform. Please note that if 231 you wish to assert a privilege over any statement today, 232 that assertion must comply with the rules of the Committee

- 233 on Oversight and Reform.
- 234 Committee Rule 16(c)(1) states: "For the chair to
- 235 consider assertions of privilege over testimony or
- 236 statements, witnesses or entities must clearly state the
- 237 specific privilege being asserted and the reason for the
- 238 assertion on or before the scheduled date of testimony or
- 239 appearance."
- Do you understand?
- 241 A Yes.
- 242 Q Do you have any questions before we begin?
- 243 A No.
- Q To start off, I would like to ask you about
- 245 your background.
- 246 Where did you attend school and what degrees did you
- 247 obtain?
- 248 A I received a Bachelor of Arts from Rice
- 249 University in Houston, Texas, an M.D. at Temple University
- 250 in Philadelphia, a residency in internal medicine,
- 251 University of California, San Francisco, a medical oncology
- 252 fellowship at the National Cancer Institute in Bethesda,
- 253 Maryland, and a radiation oncology residency at the

- 254 National Cancer Institute in Bethesda.
- 255 Q Thank you. Can you briefly describe your
- 256 professional experience?
- 257 A I am a physician, lung cancer and sarcoma
- 258 specialist, as well as a cancer researcher. I spent 18
- 259 years at the University of Pennsylvania, and then went to
- 260 the MD Anderson Cancer Center at the University of Texas.
- 261 Q I understand that you were nominated by the
- 262 former President to serve as FDA Commissioner on November
- 263 1, 2019 and were confirmed on December 12, 2019. When did
- 264 you ultimately start at the FDA?
- 265 A Toward the end of December 2019. I don't
- 266 remember the exact date.
- Q What were you focused on in your first few
- 268 weeks at FDA?
- 269 A Getting to know the agency, understanding the
- 270 culture, building trust, and really understanding how the
- 271 agency ran. A big complicated place.
- 272 Q At FDA, how many people directly reported to
- **273** you?
- 274 A I don't know the exact number. There are
- approximately 18,000 employees at FDA.
- 276 Q I assume some of those had a dotted line and
- 277 some were direct reports; is that correct?
- 278 A Correct. Most of the directors in the

279 Commissioner's office were direct reports, as well as the

- 280 center directors at the seven centers.
- 281 At FDA, who did you work most closely with on
- 282 issues related to the coronavirus pandemic response?
- I worked closely with all of the center 283
- directors of the seven centers, and ORA, which is the 284
- center that's related to inspections, and to the staff 285
- within the Commissioner's office. So, for example, Office 286
- of Chief Counsel and chief of staff. 287
- 288 Did that also include Dr. Amy Abernethy? Q
- 289 Α Yes.
- What was her role? 290 Q
- She was principal deputy commissioner. 291 Α
- Did she -- strike that. 292
- Was she responsible for particular issues or aspects 293
- 294 of the response?
- 295 Α Yes.
- What were they? 296 Q
- 297 She was responsible for helping us collect
- 298 real-world evidence during the response, as well as our
- 299 tech modernization and other data modernization, for
- example. 300
- Did you have a chief of staff? 301 Q
- 302 I did. Α
- What was their name? 303 Q

304 A Keagan Lenihan.

- 305 Q And what was Ms. Lenihan's role and
- 306 responsibility with respect to COVID response specifically?

- 307 A So she was responsible in the Commissioner's
- 308 office for coordinating across the different centers for
- 309 the Commissioner's office. The Commissioner's office does
- 310 not have direct responsibility typically for
- 311 decisionmaking, so coordination among the individual
- 312 centers where that decisionmaking takes place is required.
- 313 Q So how would that work in practice? Would a
- 314 center or a division head make a decision and you would
- 315 just be notified of it?
- 316 A Typically, that's what would happen. It
- 317 really depends upon the level of significance of the
- 318 decision. COVID was, of course, very different, but at
- 319 normal times for routine decisions regarding products,
- 320 they're made at the center level. The center director may
- 321 bring it up to the chief of staff and the Commissioner, but
- **322** may not.
- 323 Q You mentioned that there were some differences
- 324 during the pandemic as well as perhaps some differences in
- 325 how significant issues would have been handled. What would
- 326 be the protocol in those instances?
- 327 A Just to clarify. Protocol during COVID of the
- 328 differences?

16

329 Q That's right.

- 330 A Okay. So early on in the pandemic, we set up
- 331 an emergency response team very similar to what you would
- 332 do in a healthcare setting if there was a natural disaster
- 333 or something like that. And comms was at the table, all
- 334 the senior leadership, typical sort of thing you'd see in
- an emergency response.
- And we set that up from the beginning because we
- 337 wanted to coordinate our response, understand what our
- 338 steps to our responsibilities were, and also allocate
- 339 resources appropriately.
- 340 Q When was the emergency response team set up?
- 341 A I believe the end of January. I can't give
- 342 you the exact date. It was either end of January or early
- 343 February, one of the two.
- 344 Q You mentioned that the emergency response team
- included senior leadership. Who specifically?
- 346 A Center directors as well as members of the
- 347 Commissioner's office.
- 348 Q In the Commissioner's office, who would that
- 349 have included?
- 350 A I know that there was representation of OCC,
- 351 so Office of Chief Counsel, Keagan Lenihan, the chief of
- 352 staff was involved. I can't recall everybody who was on
- 353 there from the office.

Q Outside of FDA, who did you work most closely

- 355 with on issues related to the pandemic response?
- 356 A Typically, that would involve the other
- 357 doctors on the task force. So Dr. Birx, Dr. Redfield, and
- 358 Dr. Fauci.
- 359 Q How often did you communicate with the doctors
- 360 on the task force?
- 361 A Regularly. It depended on the intensity, of
- 362 course, of what we were seeing around the country, but of
- 363 course daily, including weekends.
- Q Generally speaking, what issues were you
- 365 focused on in those communications with the doctors on the
- 366 task force?
- 367 A They were typically related to medical issues
- 368 and public health issues. So testing, for example, PPE
- 369 shortages, the development of diagnostics, therapeutics.
- 370 We spent a lot of time talking about the development of
- 371 diagnostics, for example.
- 372 Q Apart from the doctors on the task force, were
- 373 there others in the White House or at agencies that you
- 374 also worked very closely with on the pandemic response?
- 375 A [Majority Counsel], I guess it depends on what
- 376 you mean by "closely." Typically on that White House task
- 377 force, there would be close relationships, you know,
- 378 Secretary of Transportation, you know, occasionally

379 Secretary of State, et cetera, I had occasion to

380 communicate with. But, in general, it was the doctors that

- 381 I worked the most closely with.
- 382 Q Thank you. Who were your main points of
- 383 contact in the White House specifically?
- 384 A That changed as, number one, the course of the
- 385 pandemic went on and, number two, was staff changed. So
- 386 initially it was Joe Grogan, and then in the White House
- 387 itself the Vice President's office because he was in charge
- 388 of the White House task force, Marc Short and Mark Meadows,
- 389 chief of staff for the President, sorry.
- 390 Q Of course. Was there anyone else in the White
- 391 House -- strike that.
- 392 Were there support staff for the White House
- 393 Coronavirus Task Force that you communicated with
- 394 regularly?
- 395 A Yes.
- 396 Q Who were they?
- 397 A Olivia Troye in the Vice President's office.
- 398 And I'm sure there were others. But that's just who I
- 399 remember.
- 400 Q On January 29, 2020, President Trump announced
- 401 the formation of the coronavirus task force, which was
- 402 originally chaired by Secretary of Health and Human
- 403 Services Alex Azar, and had 12 total members including

Dr. Redfield and Dr. Fauci. You were not originally named

19

- 405 as a member of the task force; is that right?
- 406 A That's correct.
- 407 Q When were you ultimately named to the task
- 408 force?

- 409 A I was named at the end of February when Vice
- 410 President Pence took over.
- 411 Q Did you have any role in advising the task
- 412 force during that period, from its formation through the
- 413 end of February?
- A Not directly me personally. FDA was involved
- 415 in the groups at the White House that were at the staff
- 416 level.
- 417 O Prior to joining the task force, are you aware
- 418 whether there were any discussions about having you join?
- 419 A I am not aware of any discussions that took
- 420 place within the task force.
- 421 O What about outside the task force?
- 422 A Well, I was called by Joe Grogan. And he
- 423 didn't refer to specific discussions that I can remember,
- 424 just his opinion that I should be included in the task
- 425 force.
- 426 Q Are you aware why he was of the opinion that
- 427 you should be added to the task force?
- 428 A He thought it would be appropriate to have FDA

20

429 input. That was my understanding.

430 Q Did anyone advocate for you to be a member of

- 431 the task force?
- 432 A I don't know.
- 433 Q Are you aware if anyone advocated against
- 434 having you join the task force?
- 435 A I'm not aware of that.
- 436 Q Why was there a delay in making you a member
- 437 of the task force?
- 438 A I don't know the reason. I think the
- 439 decision-makers would have to be able to address that.
- Q Did you have any discussions about it?
- 441 A There was one conversation with Secretary Azar
- 442 about it at one of my first meetings regarding the
- 443 formation of the task force.
- Q What did you discuss with Secretary Azar?
- 445 A Secretary Azar approached me and said that he
- 446 realized that I was new, getting to know the agency, and
- 447 that HHS could adequately represent at that time, but that
- 448 the circumstances had changed.
- Q When, approximately, did you have that
- 450 conversation?
- 451 A End of February.
- Q Did he express what circumstances had changed
- 453 that led to it being appropriate to have you join the task

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21

454 force?

- 455 Not that I remember.
- 456 In your opinion, would it have been helpful if
- 457 you had been a member of the task force earlier on?
- In retrospect, [Majority Counsel], yes, I 458
- think it would have been. 459
- 460 Why? Q
- Just the urgency of the situation. The fact 461 Α
- that emergency use authorizations are a critical part of 462
- 463 the public health emergency, and getting medical products
- into the hands of providers and patients is really 464
- 465 important.
- 466 Do you believe that the fact that you weren't
- a member of the task force in those early months impacted 467
- the pandemic response in any way? 468
- I don't know. 469
- 470 Do you believe that you were not receiving
- regular updates on information that would have been helpful 471
- 472 in your role as commissioner of the FDA?
- 473 I was receiving regular updates from the staff Α
- who were involved at the White House meetings and it was 474
- very helpful. And I was also listening in on several calls 475
- 476 that happened at HHS.
- 477 Do you believe that if you had been involved
- in the task force, that it would have allowed you to 478

22

479 coordinate on issues such as testing more effectively?

- 480 I do believe that.
- 481 Q Did you raise concerns about this to anyone?
- 482 Α I did not.
- You mentioned the phone call with Mr. Grogan. 483 Q
- How did you ultimately come to join the task force? 484
- I was invited. I assume, I don't know, that 485 Α
- it was coordinated through the Vice President's office. 486
- 487 Who contacted you? Q
- 488 Α I don't remember.
- Do you recall what you were told about why 489 Q
- 490 they were inviting you to join the task force at that time?
- 491 I don't recall. Α
- (Exhibit No. 1 was identified for 492
- 493 the record.)
- 494 BY [MAJORITY COUNSEL].
- I'd like to show you a copy of some text 495
- This is a compilation of text messages that 496 messages.
- 497 appear to be between you and Mr. Grogan. And for the
- 498 record, it's Bates numbered SSCC-0036553.
- 499 I'd like to direct your attention to the bottom of
- the third page which ends in page 557. Do you have that in 500
- front of you? 501
- Got it. 502 Α
- 503 Q This appears to be text messages that you and

504 Mr. Grogan were exchanging on February 28, 2020. There are

- 505 many text messages that are redacted, but at 6:58 a.m., you
- 506 write, "Glad to speak and communicate with anyone about
- 507 this. I am personally involved as is Shuren."
- You subsequently wrote, "I just asked Shuren to do
- 509 another round of touching base with companies today to ask
- 510 if they need anything else from us."
- 511 Who is Shuren?
- 512 A Jeff Shuren is the center director for the
- 513 devices and diagnostics center, CDRH.
- Q Do you recall what you were discussing of what
- 515 Dr. Shuren was doing with respect to touching base with
- 516 companies?
- 517 A I do not recall.
- 518 Q Does it appear -- could it be a reference to
- 519 testing, reaching out to diagnostic test manufacturers?
- 520 A I'd have to speculate, [Majority Counsel],
- 521 but, yes, that would appear to be the case.
- 522 Q If you continue down the page, at 7:01 p.m.
- 523 Mr. Grogan wrote, "We're adding you to the task force.
- 524 Finally. Let me know if you don't get notified."
- You responded, "I really appreciate your support. I
- 526 received the invitation and Marc Short called me. He asked
- 527 me where the issue was. Thank you Joe."
- Mr. Grogan responded, "Insanity. That you weren't on

- 529 sooner."
- 530 First, do you recall the conversation that you had
- 531 with Mr. Short?
- 532 Α I don't.
- Did you have any additional discussions with 533
- Mr. Grogan about joining the task force? 534
- [Majority Counsel], just to clarify, do you 535 Α
- mean after this exchange? 536
- 537 Q. Yes.
- 538 Α I don't remember that. I don't believe so,
- 539 but, again, it's a guess.
- What was your understanding of why Mr. Grogan 540
- said, "Insanity. That you weren't on sooner"? 541
- In our conversations, Mr. Grogan had expressed 542
- his, I would say, frustration that FDA wasn't represented 543
- at a senior level on the task force. 544
- 545 Did others share the view that it was insane
- 546 that you weren't on the task force?
- 547 Α Not specifically using the term "insane." But
- my doctor colleagues had expressed that they thought it was 548
- important. 549
- Who specifically? 550
- Dr. Redfield. 551 Α
- Dr. Redfield. When you joined the task force, 552 0
- how did you expect to contribute? 553

25

A My expectation was that I would give advice

- 555 regarding -- and also receive input -- regarding the
- 556 variety of medical products that FDA would be responsible
- 557 for that would be really important to use during the
- 558 pandemic.
- There was a lot of incoming information about medical
- 560 products, and we at the agency were prioritizing based upon
- 561 science. If I were to hear about medical products or about
- 562 situations that would require other prioritization within
- 563 the agency, coordination at the task force level would seem
- 564 to be important.
- Q What did it mean to be a member of the task
- force?
- 567 A I'm not exactly sure what you mean by "what
- 568 did it mean."
- Q What responsibilities would you have had as a
- 570 member?
- To represent the FDA; to communicate about the
- 572 FDA's response; to answer questions around what FDA's
- 573 responsibilities were in terms of authorizing with EUA for
- 574 medical products.
- Q Were there other member responsibilities
- 576 beyond attending meetings and providing advice during those
- 577 meetings?
- 578 A They weren't explicitly stated, [Majority

26

579 Counsel]. But as time went on, it became clear that we

- 580 were to be responsible for communication as well.
- Q What type of communication?
- So being present when asked at press
- 583 conferences, speaking with the media when asked, those
- 584 sorts of things.
- 585 O Did all members attend all meetings?
- 586 A I don't remember if all members attended all
- 587 meetings.
- Q Were some members more engaged than others?
- 589 A I think so. I mean, again, it's a guess. It
- 590 was a pretty engaged group to begin with.
- 591 Q You said I think so. Were there some members
- 592 that appeared to be less engaged or less active at the task
- force than others?
- 594 A [Majority Counsel], if the definition of
- 595 engagement involved -- not necessarily speaking -- but
- 596 involved paying attention, et cetera, I would say almost
- 597 everyone that I can remember was engaged. Not everyone
- 598 spoke.
- 599 Q Was there a group that you would consider core
- 600 members or that had responsibility for core medical issues
- 601 perhaps?
- A Again, it was not explicitly stated, [Majority
- 603 Counsel], that there were core members. But clearly, the

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604 docs on the task force and those are the four -- I mean,

605 myself, Dr. Redfield, Dr. Fauci, and Dr. Birx were involved

27

606 in a lot of different issues.

607 Q Apart from task force meetings, were there

608 specific subject meetings that you participated in at the

609 White House, regular meetings?

610 A Yes.

Q What were they?

A I don't remember exactly the names of those

613 meetings, [Majority Counsel], but they involved

614 coordination of responses to certain situations.

For example, we would sit down and talk about

616 diagnostic tests and what the future would look like and

617 what tests might be needed. Dr. Birx typically coordinated

618 that. Sometimes Joe Grogan did. And then on a regular

619 basis the four docs got together and had conversations.

620 Q For the testing discussions, how frequently

621 were those scheduled?

A Well, in the beginning, fairly frequently. I

623 believe -- and, again, I don't have my official schedule in

624 front of me -- but several times a week.

Q Did that change over time?

626 A It did.

Q In what way?

628 A Less in frequency. And then when Mr. Grogan

- 629 left the White House, even more of a decrease.
- 630 Was there a reason why the meetings decreased?
- 631 I can't speculate as to why the White House
- 632 changed the cadence of meetings. What I can tell you is
- the docs met regularly to discuss these issues. So from my 633
- personal perspective, I felt that these topics were being 634
- discussed and that we had reasonable medical coordination 635
- among CDC, the White House task force, with Dr. Birx, 636
- myself, and Dr. Fauci. 637
- 638 You mentioned that the White House changed the
- cadence of the meetings. Was someone in charge of 639
- 640 scheduling them and deciding when it was necessary to hold
- 641 those meetings?
- I don't know exactly. Olivia was involved in 642
- a lot of that, but I don't remember if she was specifically 643
- 644 involved in the meetings that I just described.
- 645 For those testing meetings specifically, when
- did the decrease in frequency appear to happen? 646
- 647 Α I do not remember.
- 648 Did it appear to be spring, summer, fall? Q
- I'm guessing, [Majority Counsel], but I'm 649 Α
- thinking spring, in late spring. 650
- Late spring? 651 Q
- 652 Α Before Memorial Day. But, again, I'm
- 653 quessing.

29

654 You mentioned that you regularly had meetings

655 with the doctors on the task force. How frequently were

- 656 those meetings?
- 657 Α The formal meetings were frequent, several
- times per week. The informal conversations literally 658
- occurred daily. I mean, especially during the height of 659
- the pandemic. And then even throughout, they were very 660
- frequent, at least three or four times a week, the informal 661
- 662 meetings.
- 663 On the task force, how were decisions made?
- Was there a formal structure? Did someone have the 664
- 665 ultimate say?
- 666 The Vice President had the ultimate say, and
- then we discussed with the President as needed. 667
- I'd like to move on to discuss FDA's role in 668
- the development and approval of diagnostic tests in the 669
- early months of 2020. I'm going to hand you a document. 670
- (Exhibit No. 2 was identified for 671
- 672 the record.)
- BY [MAJORITY COUNSEL]. 673
- I am marking this as Exhibit 2. It is an 674
- undated document entitled FDA's Role in the SARS-Co-V-2 675
- Diagnostic Development, and it is Bates numbered 676
- 677 SSCC-0037750.
- Do you recognize this document? 678

30

679 A I do.

680 Q What is it?

A It's a timeline that was constructed to

682 provide a historical recap of our response to the increased

683 need for diagnostic tests for SARS-CoV-2.

Q Do you recall why it was created?

685 A Yes, I do.

686 Q Why?

687 A We were asked by Senator Alexander in the HELP

688 Committee to put this together.

Q Who prepared it?

690 A Dr. Shuren and his team at CDRH.

691 Q The first entry reads, "Jan 9, 2020: Initial

692 call with CDC for an update on the novel coronavirus

693 situation and CDC testing plans."

Were you on that call?

695 A I was not.

O Do you know who participated from FDA?

697 A I do not.

698 Q It mentions CDC testing plans. Are you aware

699 what those plans were at that time?

700 A I cannot give you a detailed recap of those.

701 I spent a lot of time speaking to Dr. Redfield about the

702 approach that they were using.

703 Q Had you been informed about the novel

- 704 coronavirus situation at this time?
- 705 A I don't remember exactly when. I believe so,
- 706 but I don't remember the exact date, [Majority Counsel].
- 707 Q How did you learn about the coronavirus?
- 708 A It was brought up at an internal meeting at
- 709 the FDA, because our staff had been asked to participate in
- 710 some meetings with the White House and HHS.
- 711 Q Who asked the staff to participate in those
- 712 meetings?
- 713 A Again, I'm speculating here. I would not have
- 714 been involved in that conversation, but I believe it was
- 715 HHS.
- 716 Q Are you aware of which FDA staff attended the
- 717 meetings?
- 718 A Anna Abram.
- 719 Q As of January 9, 2020, had FDA taken any
- 720 action with respect to testing?
- 721 A Other than what you see here, I'm not aware of
- 722 actions that were taken.
- 723 Q Okay. Chinese officials posted the genetic
- 724 sequence for SARS-CoV-2 on January 10, 2020, and by January
- 725 20th, CDC had developed a test to detect the novel
- 726 coronavirus crisis.
- 727 Does that sound correct?
- 728 A That sounds correct, yes.

32

729 Q Apart from CDC, were you aware of whether

- 730 other diagnostic test manufacturers were developing their
- 731 own tests at that time?
- 732 A I don't know about precisely on January 20th
- 733 or about then. I do know -- and this is outlined in this
- 734 and other documents that I believe that you received from
- 735 HHS -- that there were a number of developers who were in
- 736 the process of developing tests and had contacted the
- 737 agency.
- 738 Q Did FDA engage directly with those
- 739 manufacturers?
- 740 A Typically we would, yes.
- 741 Q You said typically. What do you mean by that?
- 742 A In general, during the COVID response, we
- 743 would directly engage with developers. What I can't tell
- 744 you is if all of them that did occur.
- 745 Q Who led this effort at FDA?
- 746 A Jeff Shuren did at his center. And then an
- 747 individual by the name of Tim Stenzel, who was one of
- 748 Jeff's deputies and was in charge of the testing group.
- 749 Q When did Jeff Shuren and others at FDA start
- 750 engaging with those test manufacturers?
- 751 A At least -- and I'm saying at least just
- 752 because I cannot tell you exactly when that occurred. But
- 753 at least by the end of January.

33

754 Q Did you have any involvement in that effort?

755 A I was informed by Dr. Shuren particularly

756 around the EUA template that had been created to make it

757 easier for people to apply for EUAs.

758 Q Were you calling companies at all?

759 A Not at that time, no.

760 Q Did you start calling diagnostic test

761 companies later in time?

762 A We did later in time, yes.

763 Q When?

764 A Mid-February. Approximately, mid-February.

765 Mid to end, I would say.

766 Q Why did FDA start contacting the diagnostic

767 test manufacturing companies in January and continue doing

768 so into February?

769 A It became clear to us, and I think you can

770 certainly see in the timeline development, that the

771 development of diagnostic tests at a commercial level was

772 going to be really important. And I think, in retrospect,

773 when we see how it played out, that that obviously was

774 true.

775 But in this country, because of the distributive

776 model that we have, commercial development of tests rather

777 than centralized development of tests is typically how it

778 could be scaled up, and we clearly needed it to be scaled

34

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779 up.

780 Q What was discussed on those initial calls with

781 the testing companies in the January timeframe?

782 I don't know because I did not participate in

783 those.

Are you aware whether FDA was seeking 784

785 commitments from the test companies? Any type of

commitments? 786

I'm not aware. 787

788 Are you aware of whether FDA was asking them Q

789 to develop their own tests or to scale up manufacturing

790 capacity, for instance?

791 I am aware of discussions that occurred

through February, March, April with diagnostic test 792

companies. I had quite a few of those calls myself. And 793

it involved the subjects you described. So what kind of 794

795 tests were being developed? How did that fit in with the

public health response? What kind of capacity -- that was 796

797 really the issue -- did they have?

798 Because at the end of the day, as much scaleup as

possible we thought was important. And of course there 799

were limits on reagents and pipettes, for goodness sake, 800

and swabs. So we really wanted to get a sense of what they 801

thought their scaleup capabilities were. 802

803 You just mentioned pipettes and swabs. What Q

were the roadblocks that needed to be worked through to
enable the companies to develop the tests, manufacture them
and/or increase lab capacity?

35

A So in terms of development, [Majority 808 Counsel], the development early on occurred of what we call 809 contrived samples, meaning you would take a sample of, say, 810 saliva or a nasal swab of a noninfected person and you'd 811 add SARS-CoV-2 to it. So you needed to have access to the 812 virus.

Typically when a test is developed, it's done with someone who has the disease and who doesn't have the disease. So it's a real setting in this. And you can sometimes introduce biases into the test development if you're using contrived samples as opposed to real samples. So that was one issue.

Access to reagents. You may have seen in here some references to UTMB in Galveston and access, I think it was to primers, and I'm guessing on that one. But there was a general lack of a lot of these things that were needed for the development of tests.

And then, of course, to speed tests to the market,

you want to try to use whatever data you have available.

And with contrived samples, there's a limit to that, which

means that on the back end, you have to collect real-world

evidence on its use in people who have the disease.

36

829 So those were the sort of discussions that we had. 830 And then, ultimately, do you need swabs for your test? 831 Where are you getting your swabs? And then that's a 832 situation where we would take it to the task force, because the task force generally was coordinating the response for 833 PPE, swabs, et cetera. So there was a relationship between 834 what did the companies need, which companies need what, and 835 836 where could we get those. 837 And I apologize that I have very little Q 838 scientific background. What is a contrived sample? 839 So I know it sounds -- [Majority Counsel], it 840 sounds awful, contrived sample. But as I mentioned, if you're developing a flu or a strep test, what you'd want to 841 842 do is you'd want to take people who don't have flu and people who do have flu, and then you'd want to test them. 843 844 When you don't -- when it's a novel virus, you have a 845 situation where you don't have people who are actually infected. So what you do is you take samples from, say, 846 847 someone who's not infected, take saliva or spit or whatever 848 and add the virus from a test tube into it. That's a 849 contrived sample. Now, it's a good way of saying my test can detect the 850 851 virus in a human sample, but it's not the same, as you know, of having someone take a swab and do a measurement. 852

So when you use contrived samples, it's a different

854 dataset. It introduces biases into that dataset. That

855 doesn't mean it's not a good test. It just means that you

- 856 have to be cognizant of it, and it means then to make sure
- 857 it's accurate, you have to look at it on the back end in a
- 858 real-world setting.
- 859 So understanding -- and I don't believe that was
- 860 completely understood in the world at large that these were
- 861 the issues related to it. And it's just one issue.
- 862 Q Thank you. You mentioned that once you had
- 863 these conversations and discussed reagents and swabs and
- 864 other things that might be in short supply, you took it to
- 865 the task force. Who on the task force was dealing with the
- 866 supply chain issues?
- 867 A Well, there were a lot of people. Ultimately,
- 868 it became FEMA who we would go to. But early on there were
- 869 just a number of people who were involved. I don't
- 870 remember all the people, but give you an example.
- There was -- of course, the pandemic really took hold
- 872 in northern Italy, and ironically and disturbingly enough,
- 873 a lot of the manufacturers for swabs were in the Piemonte
- 874 region of Italy. So there was a supply chain issue because
- 875 that's where people were getting sick, and so that
- 876 disruption was significant. So it required, of course,
- 877 probably State Department and other people to be involved
- 878 to make sure that we had adequate communication with other

38

879 governments. So it was a multidisciplinary approach.

- 880 Q Got it. Prior to FEMA taking over, was there
- 881 a particular person who appeared to be in charge of the
- 882 supply chain issues at the task force level?
- 883 A [Majority Counsel], I can't recall.
- Q Do you recall who you were communicating with
- 885 specifically?
- 886 A It would usually be at the task force meeting.
- 887 So, in general. Sometimes I would communicate with Joe
- 888 Grogan.
- 889 Q And what was Mr. Grogan's role with respect to
- 890 pandemic issues?
- 891 A I don't know his formal role, but he was the
- 892 head of the Domestic Policy Council.
- 893 Q It has been reported that White House
- 894 officials pressed you in late January to contact diagnostic
- 895 test manufacturers and begin coordinating the development
- 896 of coronavirus testing options, including potentially
- 897 convening a roundtable discussion at which industry leaders
- 898 would make public commitments.
- Bo you remember that?
- 900 A I do not.
- 901 Q But just to be clear, FDA had contacted
- 902 diagnostic test manufacturers and began doing some
- 903 coordinating around the development of coronavirus test

39

904 options prior to late January; is that correct?

- 905 A So that's what I understand from the center.
- 906 I did not participate in those.
- 907 Q Did FDA ultimately convene a roundtable
- 908 discussion with industry leaders?
- 909 A We did throughout the pandemic. And not just
- 910 in diagnostics, but in biologicals and therapeutics as
- 911 well. So yes.
- 912 And [Majority Counsel], just -- I'm not sure what you
- 913 mean by the definition of roundtable, but a discussion with
- 914 diagnostic companies, whether alone or together, occurred.
- 915 Q A Politico article dated October 22, 2022
- 916 reported that you balked at convening with manufacturers
- 917 themselves, telling officials that HHS had instructed you
- 918 not to personally speak with companies that your agency
- 919 regulated.
- 920 Do you remember that?
- 921 A I do not remember making that statement. I do
- 922 remember the circumstances that are described.
- 923 Q What do you remember?
- 924 A So internally we had a discussion at FDA in
- 925 late January about meeting with companies, and I was in
- 926 favor of that.
- One of the complicating features is that there are
- 928 very specific rules at the agency about meeting with

929 industry. And in fact, to the point that the number of

930 industry leaders that are at a meeting has to be restricted

- 931 to a certain number. And I don't remember the exact
- 932 details of the rule, but very cautious about that.
- 933 So I convened a group of folks in the Commissioner's
- 934 office, and I don't remember who was there, but I do
- 935 remember that both Stacy Amin, OCC, Anna Abram, and Keagan
- 936 Lenihan were there. And we discussed the possibility of
- 937 doing this because I thought it was a good idea.
- 938 It was then taken to HHS, and it was relayed back to
- 939 me that HHS was not in favor of it. And then two weeks
- 940 later, approximately -- and I'm not sure exactly of the
- 941 date, but I'm thinking mid February -- I had my one-on-one
- 942 meeting with Alex Azar. And there were lots of folks, it
- 943 wasn't just a one person/one person meeting. And I brought
- 944 it up at the end of the meeting, and Secretary Azar, yeah,
- 945 said go ahead.
- 946 Q You said that it was communicated to you that
- 947 HHS was not in favor. Were you told why?
- 948 A No, I wasn't.
- 949 Q Who communicated that to you?
- 950 A Keagan Lenihan.
- 951 O You mentioned that in mid-February you had
- 952 this meeting with Secretary Azar and that he said to go
- 953 ahead. Was that specifically with respect to you reaching

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954 out to the manufacturers themselves or some other action?

- 955 A Me or our staff reaching out and convening
- 956 groups to talk about it. Or in general, discussing it.
- 957 And, [Majority Counsel], again I want to just provide
- 958 that context that the agency is very careful from an ethics
- 959 point of view about its appearance of its relationship with
- 960 industry. And, of course, this was an extraordinary
- 961 situation, so, you know, those were important issues that
- 962 we had to discuss.
- 963 Q I just want to make sure that I'm clear. So
- 964 was it specifically that having a group discussion with the
- 965 test companies seemed to be potentially problematic from an
- 966 ethics or other perspective, or was it any communications
- 967 directly with the test companies themselves?
- 968 A So, [Majority Counsel], broadly, any
- 969 communication with industry was carefully scrutinized.
- 970 Q And what or how was it scrutinized?
- 971 A There is a process in the Commissioner's
- 972 office. And what I can't tell you because I don't
- 973 remember, but I believe it was also reviewed at HHS,
- 974 requests to meet with industry, something that went on my
- 975 calendar, it would be viewed through a variety of lenses.
- 976 The legal lens for sure, but also ethics lens.
- 977 Q And was the same scrutiny applied to FDA
- 978 officials below your level?

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979 A Yes.

- 980 Q And so --
- 981 A That I'm aware of. I wasn't involved in those
- 982 reviews, but yes.
- 983 Q The timeline states that at the end of
- 984 January, FDA made an EUA template available for diagnostic
- 985 test developers. And that happened on January 22nd, and
- 986 then that FDA posted a notice on its website on January
- 987 27th regarding the availability of that template upon
- 988 request.
- 989 Why was there a five-day delay in posting it to the
- 990 website?
- 991 A I don't know.
- 992 O The timeline states that on January 28th,
- 993 2020, FDA kept CDC and BARDA apprised of entities that had
- 994 requested the EUA review template.
- 995 How many entities had requested the template as of
- 996 the end of January?
- 997 A I can't tell you that right now. I just don't
- 998 remember. I believe there's documentation in some of these
- 999 emails regarding those numbers.
- 1000 Q I'll direct your attention to January 31st.
- 1001 At the end of that entry, it says that, "FDA has engaged
- 1002 with and shared the EUA template with 22 different test
- 1003 developers."

43

Does that sound about right?

1005 A I'd have to base that upon the accuracy of

1006 this, but I believe that's accurate.

1007 Q Were there prominent diagnostic test

1008 manufacturers that had not requested the EUA template at

1009 that time, at the end of January?

1010 A I don't know.

1011 Q Were efforts made to proactively reach out to

1012 companies and labs to encourage them to develop tests and

1013 apply for an EUA?

1014 A Yes.

1015 Q When did that start?

1016 A [Majority Counsel], I can speak to when I

1017 started doing that. I can't tell you exactly when Jeff and

1018 his team -- Jeff Shuren and his team did. But end of

1019 February and into March and April, May. Actually, it

1020 continued throughout the pandemic.

1021 Q You said end of February. Why was there a

1022 delay in doing that proactive outreach?

1023 A I just don't remember the exact circumstances.

1024 But I was involved in giving a talk to the American -- I

1025 think it's -- ACLI. I believe that's it. And we had had a

1026 number of communications about this. We'd also been aware

1027 of agency complaints that had been made, particularly from

1028 some academic centers, about the regulatory burden that

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1029 they felt was present in terms of developing tests.

1030 So that had occurred in February. I was involved in

1031 some of those, had received some messages and

1032 communications about it, but I can't give you the exact

1033 date.

What were the nature of those complaints? 1034 Q

What regulatory burdens were they raising in the letters? 1035

Difficulty in terms of putting together the 1036 Α

data and submitting an application. Typically there's a 1037

1038 Listserv -- you probably saw it -- a Listserv of folks who

1039 develop tests, and they talked about this. And it lists

here a number of different comments that had been made, 1040

which we typically were hearing in terms of the difficulty 1041

of doing the application and getting the data submitted and 1042

1043 then the review process.

1044 And so is it fair to say they were complaining

that it was too complicated, took too long, and other 1045

1046 similar considerations with respect to putting together the

package to apply for the EUA? 1047

I think it's fair to say that that was a 1048

component of their complaints. There also was a lot of 1049

misconception about FDA's role versus CDC's role and those 1050

1051 type of things.

1052 What were those misconceptions? Q

1053 Α May I?

45

1055 are you reviewing?

1056 A It's in 37765.

1057 Q I'm not sure that we have a copy of that with

1058 us. If you wouldn't mind just providing just your

1059 recollection of --

1060 A You bet.

1061 Q Or it can be refreshed by virtue of the

1062 document in front of you.

1063 A Okay.

1064 Q But what was the misconception about FDA's

1065 role versus CDC's?

1066 A You know, we had heard circumstances where

1067 folks who were developing laboratory-developed tests said,

1068 "the FDA told us to stop developing," and that just wasn't

1069 true. In fact, we were trying to encourage people to

1070 develop.

1071 There was a claim that we had assumed authority and

1072 chose to work solely with CDC and no other laboratory test

1073 developers. That's also not true. In fact, the very fact

1074 that we had an EUA template would suggest otherwise.

1075 FDA chose to give the CDC sole responsibility for

1076 developing a test. It's really a fundamental

1077 misunderstanding of what the agency does, and probably is a

1078 lot of blame for that fundamental misunderstanding. But

46

1079 FDA doesn't tell product developers who can or cannot

1080 develop a test. That's not our role. Our role is to

1081 accept the data and to review the data.

1082 Q You mentioned that there was a misconception

1083 that FDA told companies to stop developing tests. Did you

1084 ever learn that someone else gave that instruction to the

1085 companies?

1086 A Not that I am aware of.

1087 Q Secretary Azar declared a public health

1088 emergency on January 31, 2020. Were you involved in any

1089 discussions about whether that public health emergency

1090 should be declared?

1091 A No.

1092 Q Are you aware whether anyone raised any

1093 concerns about doing so?

1094 A Not that I'm aware of.

1095 Q Did the declaration of a public health

1096 emergency have any impact on FDA regulatory requirements

1097 related to testing?

1098 A Yes, [Majority Counsel]. In general, my

1099 understanding was that with the declaration of a public

1100 health emergency, that invokes our ability to issue

1101 emergency use authorizations. And the statute behind that

1102 allows us for any medical product may be effective,

1103 risk/benefit ratios in favor of the authorization, and no

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1104 alternative product available.

- 1105 Q How did that impact your ability to authorize
- 1106 tests? Did it make it faster, easier, streamline the
- 1107 process, or did it make it perhaps harder?
- 1108 A [Majority Counsel], do you mean "it" as in the
- 1109 public health declaration?
- 1110 Q The fact that you had the ability to use the
- 1111 EUA framework.
- 1112 A So if I understand the question, sorry; the
- 1113 fact that we had that, did it make it easier for us to
- 1114 conduct the reviews of data?
- 1115 Q Yes.
- 1116 A Yes, it did. So under an EUA, the agency has
- 1117 quite a bit of flexibility. Typically what would happen
- 1118 for -- and I'm going to make the distinction between
- 1119 authorization, which is what an EUA is, versus approval,
- 1120 which would be a typical 510(k) or PMA, or in the case of
- 1121 drugs or biological license applications.
- 1122 So an EUA allows us -- so under normal circumstances,
- 1123 it would be a similar sort of first in/first out. Whoever
- 1124 gets their application in, we would review it then and
- 1125 there'd be a timeline with that.
- 1126 With EUAs, there's not that specific timeline. We
- 1127 compare those applications depending on a number of
- 1128 different factors. So, actually, it enables the ability to

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1129 perform the review of all medical products.

- 1130 Q So before January 31st, if a test company
- 1131 wanted to go through the process of creating a test, would
- 1132 they have to go through an approval process?
- 1133 A Typically, that's what would take place. And
- 1134 if there was no predicate device, it would be a more
- 1135 laborious sort of circumstance. I think we all anticipated
- 1136 that this would occur; thus, the creation of the EUA
- 1137 template before the public health declaration.
- 1138 Q Was any action contemplated to -- let me
- 1139 strike that.
- 1140 Was there any consideration of removing an EUA
- 1141 requirement altogether and allowing tests to go to market
- 1142 without submitting the data package and the FDA reviewing
- 1143 and authorizing it under the EUA?
- 1144 A [Majority Counsel], I don't remember specific
- 1145 discussions. But internally we did discuss what had
- 1146 happened historically with laboratory -- and typically that
- 1147 was around laboratory-developed tests. Let me just be
- 1148 clear. The question that you're asking seems most relevant
- 1149 in my mind to laboratory-developed tests. And that history
- 1150 of review of laboratory-developed tests is somewhat
- 1151 complicated and, of course, has led to technical assistance
- 1152 to Congress over the VALID Act.
- 1153 We typically, during public health emergencies, would

1154 assert regulatory oversight over laboratory-developed

1155 tests. In general, in nonpublic health emergency times, we

- 1156 used a risk-based approach. So the more complicated tests
- 1157 that might cause harm if not performed exactly right,
- 1158 typically those were ones that we would recommend review.
- 1159 And of the spectrum -- and you've probably seen the
- 1160 documents of LDTs. In the normal setting, that was about
- 1161 10 percent of them. So 90 percent we gave enforcement
- 1162 discretion.
- But during the SARS-CoV-2 pandemic, because it was
- 1164 new and because of the issues related to what I spoke about
- 1165 with respect to contrived samples, lack of reagents, et
- 1166 cetera, we really did feel it was necessary to review
- 1167 those.
- 1168 We also internally did a review of the first hundred
- 1169 EUAs that were submitted to us. And I think this is really
- 1170 important data, these are really important data, and it was
- 1171 published in the New England Journal. Sixty-two of the 100
- 1172 had significant problems that required oversight and
- 1173 intervention.
- 1174 And that's nobody's fault. This is not to blame
- 1175 people. Just that in a fast-moving situation with a novel
- 1176 virus, lack of reagents, contrived samples, there are
- 1177 issues. And I'll tell you from a doctor's perspective,
- 1178 maybe, maybe -- and I'm saying maybe -- having a bad test

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1179 is worse than having no test, because you might make

- 1180 decisions that affect people's lives on the basis of
- 1181 inaccurate data.
- 1182 Q You mentioned that a study showed that 62 of
- 1183 the 100 had significant problems. Does that mean that they
- 1184 weren't accurate?
- 1185 A Accuracy problems typically.
- 1186 Q You said that, in some cases, having no test
- 1187 is -- let me strike that.
- 1188 You said that having a bad test can be worse than
- 1189 having no test because you might make decisions that affect
- 1190 people's lives. Was that your view of the COVID situation
- 1191 specifically?
- 1192 A No. That was an expression of a general sort
- 1193 of -- I'm giving a doctor's perspective on that. But it
- 1194 provided me reassurance to our approach that making sure
- 1195 that these tests were as accurate as possible was really
- 1196 important.
- 1197 Q Why was that really important?
- 1198 A It was the early part of the pandemic. We had
- 1199 a lot of -- early in the pandemic, nobody really -- and I'm
- 1200 going to give you a specific example because it relates to
- 1201 this issue. Nobody really understood that there were a lot
- 1202 of younger people who were asymptomatic carriers of it.
- 1203 And that's really important, because if you're not

1204 symptomatic but your test is for someone who's symptomatic,

- 1205 you don't actually know how it performs in that study
- 1206 population. And so having some oversight -- and what FDA
- 1207 does is it looks at data from a broad number of developers.
- 1208 And if, for example, someone is developing a test
- 1209 specifically, say, for that setting, they would have data
- 1210 that's relevant that you could use to advise other
- 1211 developers because it was really important in some cases to
- 1212 do screening.
- 1213 And what we know about diagnostic tests is if you
- 1214 screen in a low incidence environment, so if the
- 1215 probability is low of the disease, if you have a test, even
- 1216 if it's a great test, the false positives are likely to be
- 1217 greater than the true positives. And you don't know that
- 1218 until you do population or at least larger group testing.
- 1219 So it was a really complicated sphere. There was
- 1220 also a mis -- not a misunderstanding, but a lack of
- 1221 understanding about some of the characteristics of COVID.
- 1222 And all those contributed, I think, to our really feeling
- 1223 strongly that there needed to be oversight.
- 1224 Q In hindsight, with what we know now that there
- 1225 were significant asymptomatic carriers and that perhaps the
- 1226 prevalence of the coronavirus in the community was higher
- 1227 in February and into March than was perhaps appreciated at
- 1228 that time, do you still have the same assessment that doing

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1229 that oversight and potentially slowing down the

- 1230 availability of test authorization and manufacturing was
- 1231 the right decision?
- 1232 A So in general, yes. I'll give you the
- 1233 specifics around that, though, [Majority Counsel].
- 1234 I think, because there was not a whole lot known
- 1235 about the disease and because of our concerns around
- 1236 accuracy, that oversight was necessary. You'll notice that
- 1237 at the end of February we revised our guidance. And I
- 1238 think this is a characteristic of the agency, which is that
- 1239 we will make decisions based upon the data we have. As we
- 1240 gather more information and get feedback from stakeholders
- 1241 and others, we will revise those decisions.
- 1242 So in late February, as you probably know, is we
- 1243 revised our guidance to say if you're developing a
- 1244 laboratory-developed test, you still have to bring us the
- 1245 data, but it can be three weeks after you institute it
- 1246 clinically and then we'll look on the back end of the data.
- 1247 The negative of that is if your test doesn't work
- 1248 well, you've done a lot of tests that are probably
- 1249 inaccurate. But the positive is, it gets more of the
- 1250 laboratory-developed tests out there.
- So it was a compromise that I believe was pragmatic
- 1252 at the time and the right thing to do.
- 1253 Q Were you referring to the February 29th, 2020

53

1254 policy that helped expedite availability of diagnostics?

- 1255 A Yes. And the other important component of
- 1256 that was allowing state laboratories to be the gatekeeper.
- 1257 That was another important component and I think a really
- 1258 important lesson learned from the pandemic. And there are
- 1259 some terrific state laboratories that can do this well.
- 1260 Q How did the decision come about to issue that
- 1261 policy change?
- 1262 A We had a discussion at the HHS level. We -- I
- 1263 believe -- and my recollection is not completely known
- 1264 right now -- but I believe there were discussions with CDC
- 1265 as well, and certainly internally we discussed it, because
- 1266 it was a significant change from previous public health
- 1267 emergencies.
- 1268 Q Who did you discuss it with at HHS?
- 1269 A I believe it involved the chief of staff for
- 1270 the Secretary, I believe. Again, I'm trying to remember
- 1271 the conversations.
- 1272 Q Was the chief of staff for the Secretary Brian
- 1273 Harrison?
- 1274 A Yes.
- 1275 Q Was anyone else involved at HHS, to your
- 1276 recollection?
- 1277 A I believe so, but I don't know exactly who.
- 1278 Q What about at CDC?

1279 A At one conversation, Director Redfield was on

- 1280 the line.
- 1281 Q Anyone else that you can remember from CDC?
- 1282 A No, I'm sorry, I can't remember. I believe
- 1283 that would be the case, but I just don't remember.
- 1284 Q What about at FDA. Who was actively involved
- 1285 on this issue?
- 1286 A Dr. Shuren.
- 1287 Q Who made the ultimate decision to change the
- 1288 policy?
- 1289 A Dr. Shuren. So, again, just for
- 1290 context -- and this may come up in other issues -- almost
- 1291 all medical -- no. All medical product decisions are made
- 1292 at the center level by the center director based upon the
- 1293 reviews at the center. It is a very high bar and a very
- 1294 unusual circumstance for a commissioner to reverse those
- 1295 decisions.
- 1296 Q Did you agree with the policy change?
- 1297 A I did.
- 1298 Q Did you have any discussions about doing it
- **1299** sooner?
- 1300 A Yes. [Majority Counsel], we did have
- 1301 discussions about providing additional flexibility. Not
- 1302 about this specific outcome, that I can recall at least,
- 1303 but we did have discussions around the flexibility that we

55

1304 could potentially provide.

1305 Q When were those discussions?

1306 A In February.

1307 Q Early February?

1308 A I don't believe early February. But, again,

1309 I'd be guessing.

1310 Q Do you recall if there was a significant delay

1311 before the decision was made or if it was perhaps short?

1312 A This is not meant to be other than just a

1313 clarification. It depends on what you mean by short and

1314 long. And having been in the federal government, I kind of

1315 felt that it was pretty short in terms of when we initiated

1316 the discussion and when it happened.

You probably know, [Majority Counsel], that when

1318 these guidances are put out, there has to be multiple

1319 reviews, including by Office of the Chief Counsel. These

1320 people were working day and night, and I considered this a

1321 pretty short turnaround time from when the decision was

1322 made, maybe not in the setting by some judgments in a

1323 public health setting.

1324 Q Why was the decision made at this juncture?

1325 A Feedback from stakeholders was really the

1326 big -- you know. I believe that, plus the urgency of the

1327 situation. This was a public health emergency.

1328 Q In hindsight, do you think that the decision

56

1329 should have been made sooner?

- 1330 A Sorry, could you repeat the question? Sorry,
- 1331 [Majority Counsel].
- 1332 Q In hindsight, do you believe that the decision
- 1333 to change that policy should have been made sooner?
- 1334 A I believe it would have been ideal had it been
- 1335 made sooner. The "should" part of the conditional tense
- 1336 is -- that's the problem here, because it would have
- 1337 depended upon a lot of information coming together.
- 1338 Q What impact would it have had if that policy
- 1339 had been changed earlier?
- 1340 A If it had been changed earlier, there are
- 1341 potential different outcomes. One is we would have had
- 1342 some inaccurate tests out there that we would have then had
- 1343 to reassess, and there would have been decisions
- 1344 potentially clinically made that were incorrect. It is
- 1345 also possible that really good tests would have been put on
- 1346 the market and we would have seen that after the fact, and
- 1347 that would have expedited testing.
- 1348 Q What were the consequences of not having as
- 1349 many tests on the market in that period?
- 1350 A Certainly -- and I don't know, [Majority
- 1351 Counsel], how many tests that would have involved because,
- 1352 again, it's a distributive model and it occurs typically at
- 1353 the academic center level to develop a laboratory-developed

57

1354 test.

1355 But clearly, having more testing available early on

1356 in a pandemic is important so that public health officials

1357 and doctors know what they're dealing with.

The CDC test was the only test authorized for 1358

use in the United States for all of February; is that 1359

1360 correct?

1361 I don't know exactly when the first non-CDC

test was authorized. 1362

Let me direct your attention -- do you still 1363 Q

1364 have the timeline in front of you?

I sure do. Α 1365

On the first page -- the second page ending 1366

751, on February 4th it mentions that FDA issued the 1367

Emergency Use Authorization for the CDC test. 1368

1369 Do you see that?

I'm sorry, which one? 1370 Α

1371 Q The very first bullet at the top of the page.

Α Okay. Yes. 1372

I'd like to direct your attention to page 4, 1373 Q

ending 753. 1374

1375 Α Yes.

The very first bullet at the top says, 1376

"February 29, 2020: FDA issues an EUA to New York State 1377

1378 Department of Health's Wadsworth Laboratory."

58

1379 Do you see that?

1380 Α I do.

Now skipping ahead to March 12th, it's on page 1381

1382 5 ending 754. "March 12th: FDA receives EUA application

from Roche Molecular Diagnostics, reviewed, and authorized 1383

1384 it the same day. This is the third EUA granted for a

diagnostic test." 1385

1386 Α Yes.

So is it fair to say that the second test that 1387

1388 was authorized was the New York State Department of

1389 Health's Wadsworth Laboratory test that was authorized on

February 29th? 1390

Α 1391 Yes.

1392 So prior to this time, was the CDC test the

only test available for use in the United States? 1393

1394 Α Yes.

And all the lab tests, whether by Wadsworth 1395

1396 Labs or Roche, they were being developed, they were being

reviewed, but they weren't actually being deployed; is that 1397

right? 1398

1399 That would be the assumption I would make,

[Majority Counsel], yes. 1400

[Majority Counsel]. We are just about at time, so 1401

1402 this is a good place to take a break.

1403 (Recess.)

59

nvCu2oJJu FAGE J9

BY [MINORITY COUNSEL].

1405 Q Dr. Hahn, my name is [Redacted]. I'm on the

1406 Republican staff of the Select Subcommittee on the

1407 Coronavirus Crisis. I promise I do not have an hour of

1408 questions. Just a couple of them.

1409 So you said that you were named to the COVID task

1410 force late February-ish.

1411 A Yes.

1412 Q Who were the doctors that you most usually

1413 spoke to on the task force? Was it Dr. Birx, Dr. Fauci,

1414 and Dr. Redfield?

1415 A Yes.

1416 Q Did you speak to Dr. Birx often between

1417 December 31st, 2019 and when you were named to the task

1418 force?

1419 A Yes. Dr. Birx was named at the same time I

1420 was. And so from that point on.

1421 Q Okay. Would it be common for you to speak to

1422 Dr. Fauci outside of task force meetings between December

1423 31st and February?

1424 A Occasionally. Certainly not as much as

1425 Dr. Redfield or Dr. Birx.

1426 Q So it would have been very common to talk to

1427 Dr. Redfield prior to joining the task force?

1428 A Oh, prior to.

60

1429 Q Yes.

1430 A I apologize, [Minority Counsel]. So I spoke

1431 to Dr. Redfield prior to joining the task force

1432 particularly around test development with the CDC. We

1433 spent a lot of time speaking to each other.

1434 Q So I'll clarify my previous questions then.

1435 Would you have talked to Dr. Birx prior to joining

1436 the task force about COVID or anything?

1437 A No.

1438 Q No?

1439 A No.

1440 Q What about Dr. Fauci?

1441 A I was at a few meetings with Dr. Fauci at HHS,

1442 I believe, and that's when I -- we would have had that

1443 discussion. But it was very uncommon.

1444 Q Okay. So primarily Dr. Redfield?

1445 A Before, yes.

1446 [Minority Counsel]. Dr. Birx was in Africa at the

1447 time; is that correct?

1448 The Witness. That's correct.

1449 [Minority Counsel]. Okay.

1450 The Witness. I didn't meet Dr. Birx until she joined

1451 the task force.

1452 [Minority Counsel]. Okay.

BY [MINORITY COUNSEL].

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61

1454 Q And then you were asked a lot about the

- 1455 declaration of the public health declaration on January
- 1456 31st. I want to put it in a little context.
- 1457 By that day, there were under ten COVID cases in the
- 1458 United States, the first one was detected ten days prior,
- 1459 and there were no deaths. There were around 7,000 cases in
- 1460 China and Asia, but still none here. On that date also
- 1461 they had instituted a travel ban to try to keep those cases
- 1462 from coming over to the United States.
- On January 21st, Dr. Fauci was saying a major threat
- 1464 to the people of the United States -- COVID was not a major
- 1465 threat to the people of the United States and it's not
- 1466 something that people should be worried about.
- 1467 On January 26th, he said the American people should
- 1468 not be worried or frightened by this.
- On February 29th, he said, "Right at this moment,
- 1470 there is no need to change anything you're doing."
- 1471 Would it have made sense to declare a public health
- 1472 emergency prior to January 31st?
- 1473 A That, [Minority Counsel], would not be
- 1474 something that I would have expertise around. As you
- 1475 describe it, it'd be pure speculation on my part regarding
- 1476 whether it would have been appropriate or not.
- 1477 Q But by that point in time, there wasn't a
- 1478 whole lot of COVID in the United States. Declaring a

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1479 public health emergency has requirements.

- 1480 A That's correct.
- 1481 Q Okay.
- 1482 [Minority Counsel]. That's all I have for now.
- 1483 [Minority Counsel]. I have one quick question.
- 1484 BY [MINORITY COUNSEL].
- 1485 Q You talked about needing access to the virus
- 1486 to develop a test. Can you explain why we didn't have
- 1487 access to the virus and -- do you have any knowledge of the
- 1488 sort of process or anything behind the scenes related to
- 1489 the United States getting access to the virus?
- 1490 A I don't have specific knowledge about having
- 1491 access. I do know that both for reagents to perform the
- 1492 PCR test as well as the virus -- I discussed with [Majority
- 1493 Counsel] the fact that the contrived samples were so
- 1494 important to the development of tests because there were so
- 1495 few cases in the United States, that that was a major
- 1496 impediment to developing tests was actually getting access
- 1497 to it.
- 1498 And I think there's some mention in here of, for
- 1499 example, UTMB -- I mentioned that before, the Texas Medical
- 1500 Branch in Galveston -- releasing limited samples. At some
- 1501 point they decided they were no longer going to give
- 1502 samples out. So there were supply chain issues related to
- 1503 that that made the development difficult as well as these

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1504 contrived samples issues.

1505 [Minority Counsel]. Thank you. I think that's all I

1506 have.

1507 [Minority Counsel]. We're good.

(Brief discussion held.) 1508

[Majority Counsel]. We can go back on the record. 1509

(Exhibit No. 3 was identified for 1510

1511 the record.)

BY [MAJORITY COUNSEL]. 1512

1513 Dr. Hahn, I just handed you what has been

1514 marked as Exhibit 3. This is an April 18th, 2020 email

1515 from Jeff Shuren to you and other individuals. It is Bates

1516 numbered SSCC-0037764.

Before we took a break, I believe you referenced a 1517

1518 document. Just to be clear, is this the document that you

were referring to? 1519

Yes, it is. 1520 Α

Okay. On February 3rd, 2020, CDC submitted 1521

their EUA request for their test to FDA, and the following 1522

day FDA authorized it for emergency use; is that correct? 1523

1524 Α Yes.

The test was developed, though, by January 1525

1526 20th; is that right?

I believe that's correct. 1527 Α

1528 Q Are you aware why it took until February 3rd

64

1529 for CDC to submit the EUA request package?

- 1530 A I can give you some general aspects to that,
- 1531 [Majority Counsel].
- 1532 What we did during the pandemic in the review was not
- 1533 wait for the data to be submitted at a final application,
- 1534 but to work with the developer during. It's why you see in
- 1535 the timeline authorization a day or so after the data are
- 1536 completely submitted.
- So we spent time with CDC in terms of helping them
- 1538 develop their test and giving them some clarity around what
- 1539 would be the data that would be needed for that
- 1540 authorization. That was part of it as well as their own
- 1541 internal processes for putting it together.
- 1542 Q Were you just referring to pre-EUA reviews?
- 1543 A Yes. We -- I assume that that's what you
- 1544 mean. We refer to it as rolling reviews. Someone would
- 1545 say we want to submit an application, we deemed it a
- 1546 priority, and we would go back and forth with the developer
- 1547 and say we need these data. They would send preliminary
- 1548 data. We would review that before a final package was
- 1549 submitted.
- 1550 Q Could anything have been done to speed up that
- 1551 process so that FDA could have granted the EUA sooner?
- 1552 A [Majority Counsel], I think it's always
- 1553 important to think about things that could have been done

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1554 that would have sped things up. Really, it's dependent 1555 upon the gathering of the data that are needed. 1556 didn't want to do was create or insert processes or 1557 requests for data that did not lead to an accurate test. So that was our primary motive at that point, was 1558 1559 let's make sure that we get the data that we need to make an assessment because, again, these are not normal 1560 circumstances of developing a test and it was contrived 1561 samples. So understanding how that influenced our 1562 regulatory decisions, but also the performance 1563 1564 characteristic test was very important, and that, unfortunately, does take time. 1565 It's been widely reported that the tests 1566 1567 developed by the CDC were faulty. We don't need to get into all the reasons for that, but my question is just when 1568 was the concern first raised that the CDC test kits were 1569 not giving reliable results? 1570 [Majority Counsel], I believe -- and, again, 1571 I'm recalling here -- I believe it occurred when -- after 1572 authorization when the test kits, if you will, but the 1573 tests were rolled out to the public health agencies around 1574 the country, that some of the states had tried to 1575 1576 implement, had done what you normally would do, which is to

validate a test in your own laboratory, and found that

1577

1578

there were problems.

One thing I want to highlight here is that's a very important quality assurance system and it demonstrates that

the system worked. It picked up potential problems that

66

1582 then had to go back to the developer to correct.

1583 O So how were the faults discovered?

1584 A Again, recollection, [Majority Counsel]. But

1585 as I remember it, these tests -- the validation of the test

1586 at the public health laboratories in individual states was

1587 being performed. It was during the performance of that

1588 validation that they noticed some inaccuracies with the

1589 tests. And I don't know the details, I apologize for that.

1590 But that was, I believe, reported to CDC or CDC reported

1591 that to us and then we helped them address the issue.

1592 Q What is your recollection of when that was

1593 first reported to CDC and then on to FDA?

1594 A I believe that was -- and, again, I'm guessing

1595 dates here -- the beginning of February.

1596 Q Are you aware of why those issues were not

1597 uncovered during the EUA review and authorization process?

1598 A Well, [Majority Counsel], I would make the

1599 assumption, and it could be incorrect. I'll just say that

1600 if data had been submitted to the FDA that showed there

1601 were these problems, the EUA typically would not have been

1602 issued.

1581

1603 And it gets to the point I made before, which is the

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1604 speed with which these tests are developed leads to the

- 1605 fact that in a laboratory setting, the development of that
- 1606 test can lead to one set of results. When you then deploy
- 1607 it into the real world, if you will, there can be
- 1608 situations where these problems arise, and that's why this
- 1609 validation QA is so important.
- 1610 Q I just want to clear up some ambiguity that
- 1611 potentially may be in the record.
- I had asked, "Are you aware of why those issues were
- 1613 not uncovered during the EUA review and authorization
- 1614 process?"
- 1615 And you said, "Well, [Majority Counsel], I would make
- 1616 the assumption, and it could be incorrect. I'll just say
- 1617 that if data had been submitted to the FDA that showed
- 1618 there were these problems, the EUA typically would not have
- 1619 been issued."
- 1620 So is it fair to say that that was not part of the
- 1621 data package and FDA did not uncover it during that
- 1622 process?
- 1623 A I think that's fair to say, yes.
- 1624 Q Okay.
- 1625 [Majority Counsel]. I'd like to mark as Exhibit 4 a
- 1626 February 16th, 2020 email from Jeff Shuren to you and some
- 1627 other individuals. Just for the record, it's Bates
- 1628 numbered SSCC-0038049.

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1629 (Exhibit No. 4 was identified for 1630 the record.) BY [MAJORITY COUNSEL]. 1631 I'd like to direct your attention to the last 1632 page, which is Bates numbered 052. 1633 1634 On February 15th, Dr. Shuren reported, "We have become aware of two new issues regarding the CDC's test as 1635 well as a request by the Secretary for expanded use of the 1636 test. As you know, approximately 26 out of the 1637 approximately 100 public health labs to have received the 1638 1639 CDC test reported false positive results." The email continues, "CDC informed us that the test 1640 they validated for purposes of the EUA used a different lot 1641 1642 of components than the test that was manufactured for the 1643 public health labs, i.e., they were made by two different entities (and they clearly performed differently). First, 1644 they shouldn't have done that and, second, they should have 1645 told us at the outset. It's just one more reason why CDC 1646 tests need to stay under an EUA (under FDA oversight)." 1647 Do you see that? 1648 1649 Α Yes. Prior to the discovery of these faulty tests, 1650 1651 were there concerns as to CDC's involvement or expertise with respect to developing tests? 1652

[Majority Counsel], I don't remember

1653

Α

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1654 conversations where that was the case.

- 1655 Q Do you know what Dr. Shuren was referring to
- 1656 when he said "it's just one more reason why CDC tests need
- 1657 to stay under an EUA"?
- 1658 A This, [Majority Counsel], goes back to the
- 1659 issue of the difficulties with developing a test in general
- 1660 under emergency circumstances. The processes that go into
- 1661 it, the contrived samples and things we spoke about and the
- 1662 potential for inaccuracies, all of those were things that
- 1663 we found in, as I mentioned, our first hundred EUAs.
- And I believe that Jeff is referring to the fact that
- 1665 all of those issues come together, CDC being the first
- 1666 test, to make it really important that there be that
- 1667 oversight.
- 1668 Q Did you understand that this was not a
- 1669 specific criticism of CDC's test as opposed to just a
- 1670 general view that these tests needed to be validated and
- 1671 authorized by FDA?
- 1672 A I believe this was a specific criticism about
- 1673 CDC at the time. I believe it also is generalizable.
- 1674 Q What discussions did you have about -- that
- 1675 may have expressed criticisms about the CDC's tests?
- 1676 A I don't remember. And I don't remember the
- 1677 timing of this, [Majority Counsel], but ultimately it was
- 1678 found that there was a contaminant in one of the components

1679 of the test. And I'm not sure if we knew that before this

- 1680 conversation or after, but that certainly was part of the
- 1681 discussion that we had throughout the course of this
- 1682 review.
- 1683 Q Had anyone been advocating for FDA to not
- 1684 provide authorization for CDC's tests at that time?
- 1685 A I can't name specific individuals, [Majority
- 1686 Counsel]. Not because I can't. I just don't remember.
- 1687 But there was -- and this occurred throughout the pandemic.
- 1688 There was always this tension between speed and accuracy.
- 1689 Accuracy in terms of the product, but speed with respect to
- 1690 getting the product out into the hands of people who could
- 1691 use those.
- 1692 And so I believe your question relates to that
- 1693 tension that inherently existed throughout the pandemic,
- 1694 but really started with this.
- 1695 Q So on the previous page, which ends in the
- 1696 number 51, Anna Abram responded to Dr. Shuren's email and
- 1697 said, "Do you have an idea of how long it would take to
- 1698 work through these issues you've identified below? And do
- 1699 you think the Commissioner needs to call Redfield? I agree
- 1700 that this situation underscores why CDC tests should be
- 1701 subject to FDA oversight."
- Moving up the chain, in response Dr. Shuren wrote,
- 1703 "The Commissioner and Redfield have already spoke."

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1704 Is that correct? 1705 That's correct. Α 1706 What did you discuss with Dr. Redfield? Q 1707 At that time, the CDC had requested the ability -- and I think you see it referenced in 1708 Dr. Shuren's email at 2:53 p.m. -- regarding, I believe, 1709 someone requesting that asymptomatic individuals be tested. 1710 So, [Majority Counsel], this gets back to the 1711 issue -- and CDC had asked that the tests be allowed to be 1712 performed on asymptomatic individuals. And this gets back 1713 1714 to the issue of, in what study population do you have data that gives you assurance that the test is accurate? 1715 It's a pretty -- and it continued to be throughout 1716 the pandemic, a pretty significant leap in terms of 1717 1718 asymptomatic individuals. One, because that typically wasn't the test situation the tests were developed in. 1719 again, as I said, false positives in an asymptomatic 1720 1721 population with low incidence. But the other part of this was that it shifted with time. It really depended upon who 1722 1723 the population was. So, for example, elderly people typically weren't 1724 asymptomatic from COVID. So, again, low incidence in an 1725 elderly population. Younger people, it would be. And a 1726 lot of that wasn't known at the time. So there were a lot 1727 of things that weren't understood at the time that led to

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- 1729 some concern about the request.
- 1730 Q Did you discuss anything else with
- 1731 Dr. Redfield on that call?
- 1732 A I don't remember, that call, specifically what
- 1733 else we discussed. But typically, [Majority Counsel], we
- 1734 would.
- 1735 Q Do you recall if there was any contemplated
- 1736 action that came out of that call apart from the
- 1737 asymptomatic test authorization?
- 1738 A I don't.
- 1739 Q What happened next? Did FDA play any further
- 1740 role in trying to resolve the issues with CDC's tests?
- 1741 A Yes.
- 1743 A So I don't remember the exact timeline and
- 1744 circumstances, but we did send Dr. Stenzel down to Atlanta
- 1745 to help in realtime at the laboratory and we provided
- 1746 ongoing technical assistance, as we would with any
- 1747 developer for the tests, including issues around
- 1748 manufacturers and the reagents they supply. Because that's
- 1749 all part of the EUA, is who are you going to buy them from?
- 1750 Because, as Dr. Shuren points out, sometimes there can be
- 1751 variabilities that affect test performance.
- 1752 Q Thank you.
- 1753 [Majority Counsel]. I'd like to mark Exhibit 5.

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1754 (Exhibit No. 5 was identified for

- 1755 the record.)
- 1756 BY [MAJORITY COUNSEL].
- 1757 This is a February 25th, 2020 email exchange
- from Ms. Lenihan to you, Dr. Hahn, bates numbered 1758
- SSCC-0038055. I'd like to direct your attention to the 1759
- second email from the top, an email from Dr. Shuren to 1760
- 1761 Ms. Lenihan at 1:30 p.m.
- Do you see that? 1762
- 1763 Α Yes.
- It says, "CDC wants to have a broad EUA for 1764
- both diagnosis and 'prospective surveillance' (namely, 1765
- screening) which we would be fine with, but right now CDC 1766
- 1767 hasn't settled on what they want to do with their test
- (eg., use test using N1 and N2 or also use N3) and may have 1768
- 1769 changed the primers/probes they are making, and may want to
- make other changes. My folks can't get a straight answer 1770
- 1771 and CDC doesn't seem to know what they want to do. Tim is
- trying to sort it out." 1772
- Just first, who is the Tim that's referenced in this 1773
- email? 1774
- Dr. Tim Stenzel. I'm not completely sure what 1775
- his title is, but broadly in charge of the testing review 1776
- group within CDRH. 1777
- 1778 What was CDC advocating for with respect

- 1779 to -- what does broad EUA for both diagnosis and
- 1780 "prospective surveillance" refer to?
- 1781 A So any time we receive an application, we as
- 1782 in FDA, either for EUA or for an approval, there is
- 1783 intended use. It's called intended use. That might even
- 1784 be a statutory term. I'm not sure. But we take that very
- 1785 seriously because it has to be specifically on the label.
- 1786 So in this case, typically for EUAs, for COVID it was
- 1787 a test to be performed in someone who healthcare providers
- 1788 suspected of having COVID. And, of course, suspected
- 1789 typically means having symptoms. That would be what a
- 1790 provider would do. So, again, that's a different
- 1791 circumstance than screening individuals who are
- 1792 asymptomatic, a different patient population, a different
- 1793 population in general.
- 1794 So what Jeff's communicating here is that they -- CDC
- 1795 wanted to have the label changed to allow for broad use,
- 1796 both in asymptomatic screening, for example, as well as in
- 1797 symptomatic individuals.
- 1798 Q The reference that CDC hasn't settled on what
- 1799 they want to do with their test, using N1 and N2 or also
- 1800 N3, is this a reference to the three different components
- 1801 of the test, with N3 referring to the one that was later
- 1802 found to be contaminated and faulty?
- 1803 A Yes.

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1804 Q It said that they may have changed the primers

1805 and probes that they're making, and want to make other

1806 changes.

1807 What do you recall was happening right now with the

1808 CDC tests, and what ended up happening? What decision did

1809 they make?

1810 A They were trying to sort out whether, for

1811 example, the tests could be performed adequately with just

1812 N1 and N2, or did they have to get another supply or source

1813 of N3. And of course that takes time to figure out. You

1814 have to repeat some of the tests that you do.

1815 So this is something that happens pretty regularly at

1816 the agency. A sponsor would find a problem, hopefully they

1817 would identify it and bring it to your attention, and then

1818 you would work with them and say get technical assistance.

1819 That's pretty much what we do. So that was the

1820 circumstance we were in.

1821 Q Dr. Shuren had written, "My folks can't get a

1822 straight answer and CDC doesn't seem to know what they want

1823 to do."

1824 Was that a frustration that you had heard previously

1825 about CDC with respect to the tests?

1826 A Yes.

1827 Q Who expressed that frustration?

1828 A Jeff Shuren.

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1829 Q Do you know why he was frustrated? 1830 I think this email is illustrative of some of the concerns that he had, but I can't give you specific 1831 details of all the aspects that led to his frustration. 1832 Do you know who Dr. Shuren was working with at 1833 CDC on these issues? 1834 I think his review team, including Dr. 1835 Α Stenzel, was working directly with the CDC. I am not aware 1836 of who Dr. Shuren would have contacted directly at CDC. 1837 1838 Dr. Shuren's email continues. "There is a Q 1839 commercial developer who has made primers/probes for the CDC test. We are reaching out to see if their kits are 1840 available now. If so, we would have the public health labs 1841 1842 use those primers/probes with the CDC test and verify with the material provided in the CDC kit. We'll check with CDC 1843 to see if they're on board. That could resolve the test 1844 issue but it's a moving target and still more to come." 1845 1846 Does this reflect that FDA was taking an increasing role in trying to address the problems with CDC's tests, or 1847 was this consistent with the working relationship 1848 throughout the month of February? 1849 I don't know the specific answer to this 1850 circumstance. But what this illustrates is the fact that 1851 1852 in a normal situation, non-public health emergency, the

level of involvement, we would provide technical

1854 assistance. But to the point of doing this, it was told to

- 1855 me that this was highly unusual, but it continued
- 1856 throughout the pandemic as we realized we had a broader
- 1857 view of things and could potentially intervene to help test
- 1858 developers.
- I had always in my mind -- and I don't know this to
- 1860 be true historically -- but in my mind had seen this as a
- 1861 time that really indicated to us that many developers would
- 1862 need more active assistance from the FDA.
- 1863 Q You just said that it was told to you that
- 1864 this was highly unusual. What did you mean by that? What
- 1865 specifically?
- 1866 A That typically developers develop a test.
- 1867 There may be a communication with the FDA ahead of time
- 1868 about what's needed, may not. The data are put together,
- 1869 and then that's submitted to the FDA. The FDA would review
- 1870 it and give feedback. So the iteration would occur after
- 1871 the data is completely submitted.
- In this circumstance, what we started doing is before
- 1873 the submission of the add/drop application, the
- 1874 give-and-take occurred.
- 1875 Q And why was that highly unusual action needed
- 1876 in this situation?
- 1877 A In a public health emergency, particularly one
- 1878 of this magnitude, I think everyone felt that -- and I'm

78

1879 going to refer to it broadly, [Majority Counsel], as

1880 rolling review, was a really good best practice, if you

1881 will, to try to expedite medical products and do it with

1882 the best oversight possible.

1883 Q What ended up happening with respect to CDC's

1884 tests? Were they able to fix the problem?

1885 A Yes.

1886 Q When did that occur, roughly?

1887 A I'm not exactly sure of the timing. Late

1888 February, early March.

1889 Q It has been widely reported that South Korea

1890 approved test kits from several private companies in early

1891 February, and that the World Health Organization also

1892 developed their own tests by that time; is that right?

1893 A That was my understanding as well.

1894 Q Did FDA review these tests for possible use in

1895 the United States?

1896 A To my knowledge, no.

1897 Q Why not?

1898 A So I did understand that the World Health

1899 Organization test was restricted and relatively -- well,

1900 restricted in that. Because we had contacted the WHO about

1901 the tests at some point, I don't remember when that

1902 occurred, but that it had been developed for underserved

1903 countries, so developing countries, and wasn't going to be

79

1904 widely available.

1905 With respect to the South Korea tests, I don't know

1906 what communications had occurred, but later on in the

1907 pandemic I did receive communications from Dr. Shuren about

1908 the South Korea tests and problems associated with it. And

1909 I believe -- and, again, I'm remembering as best I

1910 can -- that we had reached out to them at some point about

1911 the possibility of submitting EUAs.

1912 Q Let's unpack that a little bit.

1913 You mentioned that someone contacted the World Health

1914 Organization. Who was that?

1915 A I believe someone on Jeff Shuren's staff did.

1916 Q What were they told?

1917 A Well, I mentioned that the test was for

1918 limited distribution to developing countries.

1919 Q Are you aware if that person specifically

1920 asked if they could access the technology perhaps to be

1921 manufactured in the United States?

1922 A I'm not aware of the specific components of

1923 the conversation.

1924 Q Do you know who that staffer on Dr. Shuren's

1925 team was?

1926 A I don't.

1927 Q Are you aware if the World Health Organization

1928 declined to make the test available specifically to the

80

1929 United States?

1930 A I don't remember that conversation at all,

1931 [Majority Counsel].

1932 Q Do you know when that would have happened?

1933 A I don't.

1934 Q With respect to the South Korea test, who

1935 contacted -- let's back up a moment.

1936 Were those developed by private companies?

1937 A I don't know.

1938 Q Do you know who at FDA looked into potentially

1939 getting access to those tests?

1940 A I don't remember that. My communication about

1941 these tests was with Jeff Shuren.

1942 Q Are you aware what was discussed during those

1943 communications with South Korea, either private companies

1944 or some other representative of the government or some

1945 other party?

1946 A I can't recall any details of those

1947 conversations.

1948 Q Are you aware whether FDA requested access to

1949 the tests or the underlying technology?

1950 A I don't know.

1951 Q Are you aware if they were told that they were

1952 not -- that they would not make it available to the U.S.?

1953 A I'm not aware of that.

81

1954 Q I'd like to look back at the timeline that's 1955 marked as Exhibit 2.

- 1956 Α Thank you.
- The timeline notes that FDA shared the EUA 1957 1958 template with additional test developers in early February.
- Specifically, it says on February 7th that it had been 1959
- 1960 shared with 42 different test developers, that it had been
- shared with 58 different test developers as of February 1961
- 14th, and 66 as of February 22nd. This clearly shows that 1962
- 1963 progress had been made since January 31st, when it only had
- 1964 been sent to 22 companies.
- 1965 My question is just, why did it take so long to ramp
- up and provide that EUA template to all of those companies? 1966
- I can't speak to the specifics around the 1967
- interactions of the center with the companies. I do know 1968
- that it's a pretty standard practice at FDA that companies 1969
- would come and say, we are interested in developing a test, 1970
- 1971 what can we do? And then we would engage.
- 1972 What could have been done to speed this up? Q
- Well, there are a lot of technical details 1973 Α
- that I think could have been sort of aided and introduced. 1974
- For example, access to virus reagents and other things that 1975
- 1976 would allow for the adequate testing of a test or
- evaluation of a test to provide those data. 1977
- Why didn't that happen? Were there specific 1978 Q

82

1979 roadblocks or challenges associated with providing that

- 1980 material to the companies?
- 1981 A I believe part of that was knowledge of where
- 1982 those reagents, et cetera, were and availability.
- 1983 Q Could more have been done to increase
- 1984 availability of those materials at that time?
- 1985 A Again, [Majority Counsel], I don't know the
- 1986 specific details or the technical details of that. But I
- 1987 think that is a reasonable question to ask, of course, and
- 1988 a reasonable thought about how to make sure in the future
- 1989 these are available and what sort of system needs to be in
- 1990 place for it.
- 1991 Q In your opinion, should that have been done?
- 1992 A Again, referring to the conditional test. If
- 1993 it could have been done, yes, that would have been a
- 1994 positive thing.
- 1995 Q You mentioned that it was a pretty standard
- 1996 practice at FDA that companies would come to FDA and say
- 1997 they were interested in developing a test and requesting
- 1998 that EUA packet. Should perhaps a different approach have
- 1999 been taken in this case? Given the scale of the crisis,
- 2000 should perhaps more emphasis been placed on proactively
- 2001 reaching out to those companies and bringing them on board
- 2002 to help develop the tests?
- 2003 A So my comment that I made about "typically"

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2004 referred to normal circumstances. And I was aware of

- 2005 efforts to reach out to commercial entities in particular,
- 2006 but also to groups that represented laboratory test
- 2007 developers. I can't tell you when that is, but in
- 2008 retrospect, earlier always would have been better.
- 2009 Q What were the consequences, if any, of the
- 2010 failure to sort of be proactive and coordinate with the
- 2011 companies more?
- 2012 A So, [Majority Counsel], I'm going to have to
- 2013 tell you that I don't agree with the premise of the
- 2014 question. But what I can say is that the development of
- 2015 tests that are accurate, reliable, reproducible is key
- 2016 during a public health emergency. There are a lot of
- 2017 components that go into that, and making sure that those
- 2018 tests are available to as many people as possible is our
- 2019 responsibility.
- 2020 We had concerns early on about the ability to have
- 2021 that take place in a fashion where they would all be
- 2022 reliable and to the best possible extent given the
- 2023 circumstances around contrived samples and all the other
- 2024 issues that I brought up before.
- 2025 So I think it's fair to say that, in any public
- 2026 health emergency in general, early testing widely spread of
- 2027 accurate tests is important.
- 2028 Q On February 15th, the timeline notes that

HVCU2033U PAGE 04

2029 BARDA announced funding opportunities for developing

2030 COVID-19 diagnostic tests. Did you have any involvement in

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2031 that decision?

2032 A I don't believe so.

2033 Q Was funding support something that the test

2034 companies had been requesting?

2035 A Not that I remember.

2036 Q Are you aware if lack of funding was a barrier

2037 to developing tests?

2038 A That was never brought to my attention,

2039 [Majority Counsel].

2040 Q Apart from engaging with the test developers,

2041 what else was FDA doing with respect to testing during this

2042 first half of February?

2043 A I do not know in the first half of February

2044 what else was being done.

2045 Q What were you doing specifically?

2046 A So obviously interacting with the CDC and also

2047 internally with respect to efforts that we could make to do

2048 as much as possible to ensure the accuracy and

2049 reproducibility of these tests.

Q Were you engaging with HHS as well?

2051 A There were engagements with HHS. I don't

2052 remember specifically, but there were specific

2053 conversations throughout the month of February.

85

Q What about specifically in the first half of 2055 February?

2056 A I don't remember, [Majority Counsel].

2057 Q Were you also engaging with the White House on

2058 testing during this period?

2059 A I do not believe so. I mean, I might have had

2060 a conversation with Joe Grogan. I just don't remember,

2061 [Majority Counsel].

2062 Q What about -- moving to the second half of

2063 February. What was FDA doing during that period with

2064 respect to testing?

2065 A So during that period, engagement with test

2066 developers, there were some interactions with developers

2067 particularly around laboratory-developed tests as well as

2068 commercial entities. And we also spent a fair amount of

2069 time looking at the supply chain issues related to

2070 reagents, virus, et cetera.

2071 Q During that period, were you engaging with CDC

2072 and HHS as well?

2073 A Yes.

2074 Q What were you discussing with CDC and HHS?

2075 A So with CDC and HHS, the discussions were

2076 about the intended use of the test, any problems that were

2077 present in the development of the test, and then the

2078 deployment of the test. Which is not a core responsibility

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2079 of the FDA, but clearly we wanted to enable that.

2080 Q Whose responsibility was that?

2081 A CDC.

2082 Q You mentioned earlier that, during this

2083 period, FDA was criticized including from maintaining the

2084 EUA requirement; is that correct?

2085 A Yes.

2086 Q Was there any discussion about waiving the EUA

2087 restriction?

2088 A As I mentioned previously, we had discussions

2089 in February about what flexibilities we could provide that

2090 again balances the issue of making sure the tests are

2091 accurate and reliable with speed.

2092 Q The timeline mentions, on February 24th, 2020,

2093 that the Association of Public Health Laboratories, APHL,

2094 sent a letter to you requesting FDA to consider enforcement

2095 discretion for interested public health labs to create and

2096 implement a laboratory-developed test using a standard

2097 protocol and validation without having to come to FDA for

2098 an EUA.

2099 Do you remember that?

2100 A Yes.

2101 Q Was this the first time that FDA was asked to

2102 provide that enforcement discretion to allow tests to be

2103 released without an EUA?

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2105 occurred outside of this one, and specifically without me. 2106 I am generally aware of laboratory test developers 2107 communicating with the agency about the need for such 2108 flexibility. Just to be clear, for the record, you said you 2109 Q cannot speak to that. Is that because you don't recall it? 2110 I did not have the conversations that I 2111 Α remember. So, yes, I can't recall. 2112 2113 What was discussed internally at FDA with Q 2114 respect to whether to grant that enforcement discretion? 2115 We had discussions about what the implications would be for test accuracy and for the ability to -- the 2116 2117 ability to have the balance between speed and obviously

I can't speak to conversations that had

2121 Q And who participated in those conversations?

that accuracy and what the implications would be, and what

kind of oversight, if we provided flexibility, would be

2122 A Jeff Shuren, for sure.

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Q Was the OCC also involved?

needed in a new regime, if you will.

- 2124 A I can't specifically state. But again, in
- 2125 general, both Keagan Lenihan and a representative from OCC
- 2126 would be involved because this would be a significant
- 2127 change, and any new guidance that we provided for industry
- 2128 would have to go through legal review.

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2129 Q And would that have included Ms. Amin?

- 2130 A I don't remember.
- 2131 Q Okay. On February 26th, the timeline mentions
- 2132 that FDA responded in writing to APHL's letter of February
- 2133 24th and held a call with the association and member labs
- 2134 welcoming development of their own tests, telling them that
- 2135 several public health laboratories can jointly develop one
- 2136 test -- or one lab could develop a test for use by other
- 2137 labs under one EUA; is that correct?
- 2138 A Yes.
- 2139 Q Why was that decision made at that time to
- 2140 allow the labs to jointly develop a test or to develop a
- 2141 test for use by other labs?
- 2142 A My understanding was that we received feedback
- 2143 from developers that this would be helpful in terms of
- 2144 expediting test review and obviously development.
- 2145 Q Was a decision made not to waive the EUA
- 2146 requirement altogether?
- 2147 A We made the decision to continually reexamine
- 2148 our approach, but to allow for laboratory test developers
- 2149 to commercialize, if you will, but put it into practice and
- 2150 come back to us within a certain specified period of time
- 2151 with the data.
- 2152 Q And as you mentioned previously, on February
- 2153 29, 2020, FDA ultimately issued that new policy that would

2154 allow certain labs to develop their own tests and begin to

- 2155 use them before FDA completed its review. Why was that
- 2156 decision made just three days later? What had changed?
- 2157 A I'm sorry, which three days, [Majority
- **2158** Counsell?
- 2159 Q So on February 26th, FDA had responded to the
- 2160 letter from APHL saying you can jointly develop a test or
- 2161 one lab can use a test that others have created under one
- 2162 EUA. And then it sounds like a new policy was announced
- 2163 three days later, on February 29th; is that correct?
- 2164 A So I just want to be clear, [Majority
- 2165 Counsel]. If you're asking if there's a cause and effect
- 2166 between that response and the guidance, the answer is no.
- 2167 That the feedback we got from the letter that the APHL sent
- 2168 us was really important feedback for the development of the
- 2169 guidance, but we had already had discussions about how we
- 2170 could be pragmatic, as I mentioned, and more flexible.
- 2171 Q What changed? Did you receive new information
- 2172 or have additional discussions? Why was the policy now
- 2173 announced on the 29th?
- 2174 A Well, first of all, why the 29th. I mean, the
- 2175 policy was put together, there was processes that we go
- 2176 through to make sure it's consistent with the law, there
- 2177 has to be review at HHS, et cetera.
- 2178 So with respect to the timing, if the question is

2179 related to when that policy was actually developed and the

- 2180 process took place, I can't speak to that, but it was
- 2181 certainly before that week. It would have been -- the
- 2182 genesis of it would have been put together.
- 2183 But the guidance was put together to try to provide
- 2184 flexibility. And, yes, it did incorporate feedback that we
- 2185 had gotten from developers, including commercial.
- 2186 Q So you just mentioned that the decision was
- 2187 made and it just took some time to, is it fair, put
- 2188 together the announcement and get it reviewed and approved?
- 2189 A Yes.
- 2190 Q When was the decision ultimately made?
- 2191 A I don't remember, [Majority Counsel], but it
- 2192 was certainly earlier than the 26th or the 29th.
- 2193 Q Do you recall approximately when it was?
- 2194 A I don't.
- 2195 Q Do you recall approximately how long it took
- 2196 to go through the process before it was finalized?
- 2197 A I don't.
- 2198 Q Would you estimate that it was longer than a
- **2199** week?
- 2200 A It would be -- I'm sorry, [Majority Counsel].
- 2201 It would be a wild guess.
- 2202 Q Of course. In hindsight, do you believe that
- 2203 the EUA requirement should have been lifted earlier?

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2204 A I do not.

2205 Q Why not?

2206 A As I mentioned, the review of our first

2207 hundred EUAs. And although that was a retrospective

2208 review, it's important to remember that we had ongoing

2209 communications with laboratory test developers. And I'm

2210 excluding commercial entities because that was never on the

2211 table. And, by the way, the commercial entities did not

2212 want to have that waived for them.

2213 But because of our review and concerns around the

2214 accuracies of the test, I believe that we ultimately came

2215 to a policy that provided that balance between speed and

2216 accuracy.

2217 Q I am going to mark as Exhibit 6 a March 5th,

2218 2020 email exchange from Dr. Anne Schuchat to you and other

2219 individuals, and it's Bates labeled SSCC-0038035.

2220 (Exhibit No. 6 was identified for

the record.)

BY [MAJORITY COUNSEL].

2223 Q I would like to direct your attention to the

2224 second page 036.

In the email, Ms. Lenihan wrote, "Hi Dr. Schuchat,

2226 Dr. Hahn said he spoke with you about some ideas that would

2227 help get more diagnostic tests to market. Below are some

2228 of those suggestions. We would greatly appreciate your

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2229 approval."

- 2230 What did you discuss with Dr. Schuchat?
- 2231 A I don't remember the specifics, but I did
- 2232 discuss with Dr. Schuchat ways that FDA, in cooperation
- 2233 with CDC, could enable more particularly commercial tests
- 2234 getting onto the market and as well as LDTs. But I don't
- 2235 remember the specifics.
- 2236 Q Do you recall any of the proposed ways that
- 2237 would help get more diagnostic tests to market?
- 2238 A I do not.
- 2239 Q Why did you talk to Dr. Schuchat at this time,
- 2240 March 5th, 2020, about getting more diagnostic tests to
- **2241** market?
- 2242 A I don't remember what the genesis of this
- 2243 specific conversation was. What I can tell you is, in
- 2244 general, we had discussions across U.S. government about
- 2245 this and it would have been a natural conversation to occur
- 2246 with someone high up in CDC.
- 2247 Q Do you recall who reached out to who or --
- 2248 A I don't.
- 2249 Q -- or why?
- 2250 A No.
- Q Was there concern that there were inadequate
- 2252 tests available at that time?
- 2253 A As I mentioned before, as many tests available

- as early as possible in a public health emergency is the
- 2255 best public outcome.
- 2256 Q I'd just like to ask that question again. Yes
- 2257 or no, was there a concern that there were inadequate tests
- 2258 available at that time?
- 2259 A We felt that there should be more tests
- 2260 available at that time.
- 2261 Q Was that discussed with Dr. Schuchat?
- 2262 A I don't remember if it was specifically
- 2263 discussed with Dr. Schuchat.
- Q Was it discussed with others in the U.S.
- 2265 government?
- 2266 A I don't know, [Majority Counsel].
- 2267 O Do you recall whether similar concerns, about
- 2268 the testing availability, were discussed at the task force
- 2269 meetings?
- 2270 A We definitely discussed test availability and
- 2271 the need to have more tests at the task force meetings.
- Q What was specifically discussed?
- 2273 A I don't remember the details of the
- 2274 conversation, but we had broad discussions about commercial
- 2275 as well as laboratory test developers and how we could
- 2276 potentially enable them to get the tests out there as
- 2277 quickly as possible.
- 2278 Q Directing your attention to the next email in

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2279 the chain, which is on page 035. Ms. Lenihan responded,

- 2280 "Thanks Dr. Schuchat. I asked the team to focus on the
- 2281 maximum steps we can take with you all to make sure we got
- 2282 as many tests out there as we could."
- I know that you said you don't recall what ideas were
- 2284 discussed. Do you recall what steps were taken at that
- 2285 time to increase the number of tests available in the
- **2286** market?
- 2287 A I don't remember, [Majority Counsel].
- 2288 Q Do you recall if any proposals were not taken?
- 2289 A I don't know.
- 2290 Q Following your discussion with Dr. Schuchat in
- 2291 Ms. Lenihan's email, do you recall what happened next?
- 2292 A I don't.
- 2293 Q Did the approach to testing change at this
- **2294** point?
- 2295 A [Majority Counsel], just to clarify your
- 2296 question. Approached by whom or by --
- 2297 Q How about, let's start with FDA.
- 2298 A This did not really change our approach to
- 2299 testing. What began at that time, [Majority Counsel], was
- 2300 an acceleration of commercial entities' test development.
- So we had been spending a lot of time, particularly
- 2302 in the month of March, some in February, of reviewing data
- 2303 on an ongoing basis. So that didn't really change our

95

2304 approach, but it did -- it was a matter of fact that more

- 2305 of the commercial developers were sending data to us.
- 2306 Q I would like to ask the same question with
- 2307 respect to CDC. Are you aware if CDC's approach to testing
- 2308 and getting more tests on the market changed at this point?
- 2309 A I don't know.
- Q Were you able to get more tests out to the
- 2311 market quickly and in sufficient numbers to meet demand in
- 2312 this early March time period?
- 2313 A No.
- 2314 Q Why not?
- 2315 A The issues that we discussed, [Majority
- 2316 Counsel], availability of supplies, number of tests that
- 2317 could be manufactured, significant supply chain issues.
- 2318 Q When would those issues ultimately be
- 2319 resolved?
- 2320 A It's a really complicated question, [Majority
- 2321 Counsel], because even to this date there are people who
- 2322 argue that we don't have enough tests available.
- 2323 And so it depends on what one would think is an
- 2324 adequate amount of tests. And I think you've seen lots of
- 2325 public health experts around the country who argue there
- 2326 have never been enough or adequate number of tests. So I
- 2327 think it's, as I said, complicated.
- 2328 With respect to March, we began to see at some point

2329 in the spring the resolution of some of the reagent issues

- 2330 as well as the swab issues that were significant
- 2331 bottlenecks at that time.
- 2332 O How were those issues resolved?
- 2333 A It was a multidisciplinary effort. For
- 2334 example, sending planes to northern Italy would be one
- 2335 example of it. Discussions with China, because there were
- 2336 certain export restrictions that were put into places, as I
- 2337 remember.
- 2338 Q In hindsight, do you believe adequate steps
- 2339 were taken to resolve those supply chain issues? And I
- 2340 guess, as a related question, do you believe more could
- 2341 have been done at that time?
- 2342 A So because it's multifactorial, FDA's
- 2343 responsibility is really about identifying where the
- 2344 supplies come from that are consistent with our regulations
- 2345 and consistent with our quality oversight, and I feel that
- 2346 we were able to identify those.
- 2347 If I were to look back from that and answer the
- 2348 question from an FDA perspective, I would tell you that we
- 2349 did not and do not have the systems in place to do that in
- 2350 realtime; that it takes calls at different hours of the day
- 2351 and night. Because as you know, the time differences are
- 2352 significant particularly in China and India.
- 2353 So I do believe that, from an FDA perspective, there

2354 are approaches that could be taken to the supply chain that

- 2355 could allow for more rapid identification of where those
- 2356 bottlenecks are.
- Q What would those steps be?
- 2358 A Some of them would be reporting requirements
- 2359 by both U.S. and foreign entities regarding what the supply
- 2360 chain is rather than having the agency -- which we did
- 2361 during the crisis -- call companies; having that part of an
- 2362 overall database so that we could easily interrogate and
- 2363 understand where those bottlenecks are. Less of a manual
- 2364 process, more of a defined prospective process, if you
- 2365 will.
- 2366 Q Were there other steps that would also be
- 2367 helpful to address those issues?
- 2368 A Not off the top of my head, [Majority
- 2369 Counsel], right now. But I'm sure there are plenty that
- 2370 could be reviewed and looked at.
- 2371 Q You just answered from the FDA perspective.
- 2372 Taking a broader perspective across all of the federal
- 2373 government, do you believe that adequate steps were taken
- 2374 to resolve those supply chain issues and could more have
- 2375 been done at that time?
- 2376 A [Majority Counsel], I am no expert on
- 2377 diplomacy, interactions with foreign governments, you know,
- 2378 those sort of emergency response issues and also

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2379 geopolitical issues. So it's really difficult for me to

- 2380 make judgments about that.
- But I'll go back to what I said before. It's really
- 2382 important to do this review, because asking the questions
- 2383 about what could be done better is really important. So
- 2384 from a medical background, there's always something you can
- 2385 do to be better.
- 2386 Q Were these issues, the supply chain issues and
- 2387 possible solutions, addressed at the task force meetings
- 2388 during this period?
- 2389 A Yes. Yes, they were.
- 2390 Q Do you recall if any proposals were made at
- 2391 task force meetings that were not effectuated immediately?
- 2392 A I'm not aware of that.
- 2393 Q Did anyone raise any concerns specifically
- 2394 that these actions, the actions that were being taken, were
- 2395 insufficient to address the supply chain and other
- 2396 roadblocks on testing?
- 2397 A What I remember, [Majority Counsel], is a
- 2398 significant sense of urgency, and the conversation being
- 2399 that we should be doing everything we can to expedite it.
- 2400 Q During a visit to CDC on March 6th, President
- 2401 Trump said anyone that wants a test can get a test. Was
- 2402 that true at the time?
- 2403 A Again, I'm not sure what the President was

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2404 intending at the time, but there was not enough tests at

- 2405 the time to meet demand.
- 2406 Q In your opinion, what were the consequences of
- 2407 the lab contamination and the sending of faulty
- 2408 CDC-developed tests to labs?
- 2409 A Repeat the question. I'm sorry, [Majority
- 2410 Counsel].
- 2411 Q In your opinion, what were the consequences of
- 2412 the lab contamination and the sending of faulty
- 2413 CDC-developed tests to labs?
- 2414 A One important consequence was the
- 2415 identification of a problem with the test which, if it
- 2416 hadn't been identified, would have led to inaccurate
- 2417 results. That's key in a public health emergency, and
- 2418 really gets back to the issue that I brought up that having
- 2419 a faulty test really is problematic for any healthcare
- 2420 provider, but certainly for public health officials.
- 2421 Q What were the consequences of the general
- 2422 shortages of tests?
- 2423 A I think there was a general impression, and I
- 2424 think it's based in reality, of not enough tests to meet
- 2425 demand. And as I had mentioned I think a couple times
- 2426 during the interview, [Majority Counsel], that more tests
- 2427 as early as possible is an incredibly worthwhile goal
- 2428 during a public health emergency like this.

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2429 Q Do you think that the number of tests could

- 2430 have been scaled up more quickly?
- 2431 A I think I addressed that before, [Majority
- 2432 Counsel], in terms of the complexity of the issue. And so
- 2433 for example, [Majority Counsel], if there were readily
- 2434 available virus, if there were readily available reagents,
- 2435 if supply chain issues get resolved, if the geopolitical
- 2436 issues get resolved, then the conditional tests
- 2437 could -- yes. There's a lot of ifs in there.
- 2438 Q Okay. Thank you, Dr. Hahn.
- 2439 A Thank you, [Majority Counsel].
- 2440 Q I'd like to move on to some of the
- 2441 therapeutics that were considered and approved during this
- **2442** period.
- 2443 When did you become aware that hydroxychloroquine and
- 2444 chloroquine were being evaluated as potential coronavirus
- 2445 treatments?
- 2446 A In March of 2021 -- 2020. Sorry.
- 2447 Q How did this come to your attention?
- 2448 A So I'm not exactly remembering the sequence,
- 2449 but there's a couple different sources.
- 2450 Internally, one thing that FDA does is it monitors
- 2451 supply chain and monitors usage of drugs, and we became
- 2452 aware of a significant amount of prescriptions for the
- 2453 drugs and usage taking place. And anecdotally -- a lot of

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2454 this is anecdotal -- but anecdotally what we were hearing

- 2455 is physicians were prescribing to other healthcare
- 2456 providers, themselves, et cetera, as even a prophylactic
- 2457 and in some cases as a treatment, because there were in
- 2458 vitro data and one Phase 2 trial which suggested it was a
- 2459 benefit. And, of course, we had no off-the-shelf
- 2460 therapeutic at the time and people were dying. And the
- 2461 drug had a very long history of safety, 30 years, in the
- 2462 treatment of lupus and rheumatoid arthritis.
- 2463 So that was the internal. And we continued to
- 2464 monitor that, and we had graphs that showed a substantial
- 2465 spike in the usage.
- 2466 Also at that time, it was reported on one of the news
- 2467 outlets, and the President of course mentioned it at a
- 2468 press conference, about hydroxychloroquine. And so that,
- 2469 of course -- I don't know cause-and-effect there, [Majority
- 2470 Counsel] -- but that of course increased the notoriety of
- 2471 the drug, if you will.
- Q Do you recall when it first came to your
- 2473 attention?
- 2474 A I don't.
- 2475 Q Do you recall what the early data or clinical
- 2476 indications suggested about the possible efficacy of the
- 2477 drugs, I guess, first as a prophylactic and then second as
- 2478 a treatment?

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2479 A Well, first I'll come off by

2480 saying there's -- I'll first state, excuse me, to correct

2481 the record, that there were no definitive level 1 evidence

2482 at that point for either of the clinical settings that you

2483 described.

2484 What there was at the time was a Phase 2 trial that's

2485 been published and in vitro or test tube data basically

2486 showing that it had some efficacy against the virus, which

2487 of course are prerequisites for performing definitive

2488 trials and anecdotal.

2489 Q Those trials, were those performed in the

2490 United States?

2491 A Trials were performed across the world with

2492 the use of hydroxychloroguine and chloroguine.

2493 Q Do you recall what the first study or trial

2494 that came out was?

2495 A So not the Phase 2 trial that I'm referring

2496 to?

2497 Q That's my question. Was that the first one,

2498 or were there additional papers and research published?

2499 A So the first literature that I was aware of

2500 and that the agency sort of looked at was this Phase 2

2501 trial. I forget the journal, but it was a French study in

2502 inpatients. There were reports, and I don't know how

2503 detailed they were in the peer review right now in front of

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11102 100

2504 me.

2505 But the first randomized control trial, which we

2506 consider to be the gold standard for evaluation of a drug,

2507 was, my remembrance, the recovery trial from the United

2508 Kingdom and those results came out in June of 2020.

2509 Q For the French study, did you review it at the

2510 time?

2511 A The agency did, yes.

2512 Q Were there any concerns expressed about the

2513 methodology or the sample size of that study?

2514 A In general, with Phase 2 trials, because

2515 there's no comparator arm, that would be the major concern

2516 about the data, whether -- in the medical world we use the

2517 term "selection bias" -- whether there'd be selection bias,

2518 because there's no comparison to a placebo.

2519 It's a limitation of the study. I think you used the

2520 term "critique." So it's a limitation of the study, and

2521 any decision you make has to be made in the context of

2522 understanding the limitations of the dataset.

2523 Q Okay.

2524 A But going through the peer review process,

2525 it's helpful, because experts have looked at it.

2526 Q Just at a very general level, what's the risk

2527 of the selection bias?

2528 A So at a general level, you could -- an

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2529 investigator who's investigating could potentially select

- 2530 individuals for an intervention that predisposes them to
- 2531 response; or you could select people who don't need it and
- 2532 you don't know it.
- 2533 So all of those meaning, in the cancer world where
- 2534 I'm from, are very common things that you know are
- 2535 limitations of Phase 2 trials.
- 2536 Q Do you recall the size of that trial?
- 2537 A I don't.
- 2538 Q Would it refresh your recollection if I said
- 2539 it was under 40 individuals?
- 2540 A It would refresh my recollection. I'm
- 2541 assuming you know that number. I don't remember that, I'm
- 2542 sorry.
- 2543 O That's all I'm asking for. You mentioned that
- 2544 hydroxychloroquine and chloroquine were discussed on a news
- 2545 channel or a news program.
- What was your recollection?
- 2547 A My recollection is that the President
- 2548 mentioned that at the press conference, and that he had
- 2549 heard it on TV.
- 2550 Q And prior to that time, had you discussed
- 2551 these treatments with President Trump?
- 2552 A I don't remember the exact time when they were
- 2553 discussed, [Majority Counsel], whether it was before or

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2554 after.

2555 Q During this period, were you briefing

2556 President Trump on possible therapeutics?

2557 A Not on a regular basis, no.

2558 O How often?

2559 A I would respond to requests from the White

2560 House on where we were. We had put in place a systematic

2561 approach to therapeutic development where we kind of

2562 surveyed the landscape and looked at the science, and we

2563 provided a number of documents to the White House regarding

2564 our approach to that and how we were working with

2565 developers of the therapeutics both off the shelf as well

2566 as de novo agents.

2567 O And were you also discussing possible

2568 therapeutics at the task force meetings?

2569 A Yes, it was discussed.

2570 Q And were you also discussing possible

2571 therapeutics at the FDA?

2572 A Yes. Oh, yes.

2573 Q Was someone in particular leading the effort

2574 to identify possible therapeutics that would be useful for

2575 responding to the coronavirus?

2576 A We had a number of different teams at the FDA.

2577 So there was an effort called CTAP, Coronavirus Treatment

2578 Acceleration Program, which was cross-disciplinary, and it

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2579 involved both CBER, Center for Biological Evaluation

- 2580 Research, as well as CDER, Center for Drug Evaluation
- 2581 Research, with occasional input from Dr. Shuren, CDRH.
- 2582 So there was internally a group that did this, and
- 2583 then we relayed that information to the White House and the
- 2584 task force.
- 2585 Q And so you mentioned Dr. Shuren at the CDRH.
- 2586 Was Dr. Marks the person leading the effort at CBER?
- 2587 A It would be Dr. Marks and his team. I wasn't
- 2588 part of the day-to-day meetings, but Dr. Marks and his team
- 2589 would have been involved.
- 2590 [Majority Counsel]. I believe we are just about at
- 2591 time, so we can go off the record.
- 2592 (Recess.)
- BY [MAJORITY COUNSEL].
- 2594 Q Before the break, Dr. Hahn, we were talking a
- 2595 little bit about hydroxychloroquine. I am going to show
- 2596 you an email that that we will mark as Exhibit 7.
- 2597 (Exhibit No. 7 was identified for
- 2598 the record.)
- 2599 BY [MAJORITY COUNSEL].
- 2600 Q This is a March 18th email from Robert Kadlec
- 2601 to AMA2, copying you and Brian Harrison. It's Bates
- 2602 numbered SSCC-0037728.
- 2603 I'd like to direct you to the earliest chain in the

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2604 email written by AMA2. Dr. Hahn, who is AMA2?

2605 A So that's an email address that is Secretary

- 2606 Azar's.
- 2607 Q Secretary Azar wrote, "I don't understand the
- 2608 difference, but please be sure we are looking at both this
- 2609 and hydroxychloroquine and manufacturing. Laura Ingraham
- 2610 mentioned on her show that Sanofi makes hydro."
- 2611 Did you understand this reference to mean that
- 2612 Secretary Azar learned about chloroquine and
- 2613 hydroxychloroquine on the Laura Ingraham show?
- 2614 A I just don't know.
- 2615 Q Was this the first time you had received
- 2616 suggestions about possible therapeutics based on TV
- 2617 programs like the Laura Ingraham show?
- 2618 A I'm sorry, [Majority Counsel]. Is the
- 2619 question the first time I've heard about therapeutics, or
- 2620 therapeutics specifically from a TV show?
- 2621 Q The first time that you received a
- 2622 recommendation specifically from something that was
- 2623 discussed on a TV show.
- 2624 A I believe it was, but I can't be 100 percent
- 2625 sure since there was a lot of discussion in the media about
- 2626 potential therapeutics.
- 2627 Q Did it ever happen again?
- 2628 A That -- I'm sorry, [Majority Counsel]. "It"

2629 meaning that a therapeutic was discussed on TV and then it

- 2630 was brought to my attention?
- 2631 Q Yes.
- 2632 A [Majority Counsel], there was so much incoming
- 2633 about potential therapeutics that I couldn't possibly say
- 2634 no to that just because -- you know, the sources of
- 2635 people's information might have been mentioned to me. I
- 2636 just don't remember. But I can tell you, literally on a
- 2637 daily basis, particularly early in the pandemic, from a
- 2638 variety of different reporters we would get information
- 2639 about potential therapeutics.
- 2640 Q Did you take any action as a result of this
- **2641** email?
- 2642 A I don't believe I did, [Majority Counsel].
- 2643 [Majority Counsel], I'll just tell you, when I read
- 2644 this email, what jogs my memory is that -- typically, this
- 2645 is an FDA core responsibility, trade name, generic name,
- 2646 who makes the drug, where the supply is from. So it would
- 2647 not have at all been unusual for Dr. Kadlec to reach out to
- 2648 us to ask the question, where did this drug come from, who
- 2649 makes it, et cetera, trade name?
- 2650 So I cannot tell you if that did or did not occur,
- 2651 but that would be a typical situation, and that would be
- 2652 within the FDA's core responsibilities.
- 2653 Q I will show you what I'll marked as Exhibit 8.

2654 This is a compilation of White House Coronavirus Task Force

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- 2655 meeting agendas.
- 2656 (Exhibit No. 8 was identified for
- the record.)
- 2658 BY [MAJORITY COUNSEL].
- 2659 Q I'd like to direct your attention to page 22
- 2660 and 23. You'll notice that there are numbers at the top
- 2661 center of the page.
- 2662 Mr. Armstrong. Is it the right-hand numbers on
- 2663 those?
- 2664 [Majority Counsel]. The ones at the center, the
- 2665 larger numbers.
- 2666 BY [MAJORITY COUNSEL].
- 2667 Q So page 22 refers to a March 19th, 2020
- 2668 agenda, and page 23 is a second but different March 19th,
- 2669 2020 task force agenda.
- Do you see those agendas?
- 2671 A I do, [Majority Counsel].
- 2672 Q On page 22, the agenda lists "FDA
- 2673 Announcement Dr. Hahn"; and then on 23, the agenda lists
- 2674 "Supplies Update Dr. Stephen Hahn", with a sub-bullet
- 2675 regarding "FDA Hydroxychloroquine (HC) Status."
- 2676 The first question is, these agendas appear to be
- 2677 dated the same day and at the same time. Do you recall why
- 2678 there were two agendas and, if so, which one might be

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2679 correct?

2680 A I can't speak to March 19th, [Majority

2681 Counsel]. I don't remember, which is why I can't speak to

2682 it. It was -- it did occur where one agenda was put out

2683 and then it was revised to the second agenda. I cannot

2684 tell you which one was the one that was actually used.

2685 Q Do you recall what was discussed at that task

2686 force meeting with respect to hydroxychloroquine?

2687 A I do not recall the specifics of this

2688 particular meeting on that day.

2689 Q Okay. Thank you.

You mentioned before the break that President Trump

2691 spoke about hydroxychloroquine and chloroquine at a task

2692 force press briefing. Do you recall that?

2693 A I recall the circumstances, yes.

2694 Q During a March 19th, 2020 task force press

2695 briefing, President Trump said, "It's shown very

2696 encouraging, very, very encouraging early results and we're

2697 going to be able to make that drug available almost

2698 immediately. And that's where the FDA has been so great,

2699 they -- they've gone through the approval process, it's

2700 been approved."

2701 He also stated about the drugs, "I think it could be

2702 a game changer."

You participated in that press briefing. What was

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2704 your reaction to that, when you heard the former

- 2705 President's statements?
- 2706 A So we're really careful at the FDA about the
- 2707 words we use. So it's true that these drugs are approved;
- 2708 they're approved and available for certain indications.
- 2709 Doctors can write prescriptions of drugs for what we
- 2710 call off-label, not the intended use. So this is confusing
- 2711 to many people, including providers. I don't specifically
- 2712 know whether the President was referring to the fact that
- 2713 it's an already approved drug, or whether he was saying it
- 2714 was approved for COVID, because in fact it had not been
- 2715 approved for COVID. And we wouldn't use that term, anyway.
- 2716 We would use the word "authorized."
- 2717 O Did you agree with his statements, that
- 2718 hydroxychloroquine and chloroquine had shown "very, very
- 2719 encouraging early results" and that it could be a "game
- 2720 changer"?
- 2721 A I was very clear with the White House and the
- 2722 President about the fact that they were preliminary data,
- 2723 but they were preliminary and that we really did need to
- 2724 have control data in a randomized controlled trial to be
- 2725 able to definitively tell the American people whether these
- 2726 drugs would work.
- 2727 Q And did you encourage President Trump to share
- 2728 with the American people that they had "very, very

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2729 encouraging early results"?

- 2730 A Repeat that?
- 2731 Q Had you encouraged President Trump to say that
- 2732 there had been "very, very encouraging early results" or
- 2733 that it could be a "game changer"?
- 2734 A [Majority Counsel], is your question referring
- 2735 to whether I relayed encouragement to the President
- 2736 regarding this, or is it around a specific conversation?
- 2737 I'm just wondering what.
- 2738 Q Let me rephrase the question.
- 2739 What had you told President Trump with respect to the
- 2740 potential efficacy and the early indications about the use
- 2741 of hydroxychloroguine and chloroguine?
- 2742 A So -- and I think I prefaced the previous
- 2743 question with this; that I had been really clear to
- 2744 everyone about the fact that we had preliminary data, both
- 2745 laboratory data and other data, clinical data -- some being
- 2746 anecdotal, by the way -- that did not rise to the level
- 2747 typically of definitive data. So I communicated that to
- 2748 the White House, including to President Trump.
- 2749 Q And did you review his remarks prior to that
- 2750 press conference?
- 2751 A I don't remember.
- 2752 Q Sitting here today, do you believe you would
- 2753 have approved the statements that they had shown "very,

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2754 very encouraging early results" and that it could be a

- 2755 "game changer"?
- 2756 A I would not have.
- **2757** Q Why not?
- 2758 A So, [Majority Counsel], my background, both as
- 2759 FDA Commissioner, but also as a cancer doctor, is that one
- 2760 has to be really careful about the way one as a physician
- 2761 makes statements about therapeutics, but -- in general
- 2762 approaches to people with illnesses. And I believe it was
- 2763 at one of the press conferences I made the comment, we want
- 2764 to give hope, but not false hope.
- 2765 So just being cognizant to that as a provider, that's
- 2766 why I would have said that's probably not the best way to
- **2767** say it.
- 2768 Q What's the concern with sharing potentially
- 2769 incorrect or overly optimistic statements?
- 2770 A So let me just be clear.
- 2771 I think it's a good thing to share that there's a
- 2772 potential therapeutic. I think that provides
- 2773 people -- that's the hope part of it. But I think that, as
- 2774 I mentioned, what the concern would be is what you don't
- 2775 want to do is provide false hope for people to think that
- 2776 something definitively works.
- 2777 Q What's the risk if they get that information
- 2778 and have false hope about the potential efficacy?

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2779 From a potential provider, it's an emotional

- 2780 issue for patients. It's -- you know, it's thinking that
- 2781 there's something out there that would help them that might
- 2782 not. It might, but it might not.
- 2783 From an FDA perspective, we want to give as accurate
- information as we can possible to providers and patients. 2784
- That's part of our job. And when we make decisions, we try 2785
- to be clear about the level of evidence that we use to make 2786
- decisions. 2787
- 2788 Q During the press briefing, you said that,
- 2789 "Hydroxychloroquine is a drug that the President has
- directed us to take a closer look at as to whether an 2790
- expanded use approach could be done to see if it actually 2791
- 2792 benefits patients, and again we want to do that in the
- setting of a clinical trial, a large pragmatic clinical 2793
- 2794 trial to actually gather that information and answer the
- question that needs to be answered." 2795
- 2796 Did President Trump direct FDA to take a closer look
- at hydroxychloroquine? 2797
- 2798 Α Yes.
- 2799 What did he say? Q
- I don't remember the specifics of the 2800
- conversation, [Majority Counsel]. But, in general, if 2801
- promising therapeutics, diagnostics, or whatever came to 2802
- the fore -- and this is not just a White House issue, this 2803

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2804 is member of Congresses, governors, you know, mayors,

- 2805 throughout the pandemic would reach out directly to me or
- 2806 to others in leadership at the agency and say, hey, this is
- 2807 promising. Can you all take a look at it? That, [Majority
- 2808 Counsel], occurred I can't even tell you how many times a
- 2809 day and every day, at least in the beginning.
- 2810 Q Did President Trump direct FDA to make
- 2811 hydroxychloroquine and chloroquine immediately available to
- 2812 the American people?
- 2813 A I don't remember his doing that. The
- 2814 conversations we had from a general point of view were
- 2815 about the fact that the drug may work, it may not work,
- 2816 that we needed studies to actually be able to determine
- 2817 that.
- 2818 Q Did President Trump ever direct FDA to issue
- **2819** an EUA?
- 2820 A No. Well, not to me.
- Q Did he ever direct anyone else?
- 2822 A I don't know.
- 2823 Q Did anyone else in the Trump administration
- 2824 direct you or anyone at FDA to issue an EUA for
- 2825 hydroxychloroquine or chloroquine?
- 2826 A No. Well, [Majority Counsel], let me answer
- 2827 this. I don't know about every conversation that occurs at
- 2828 the agency. What I can tell you is I have not heard of

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2829 anyone else being directed and I was not directed.

2830 Q You mentioned that you wanted to look at

2831 whether hydroxychloroquine benefits patients in the setting

2832 of a clinical trial. Did that happen prior to the issuance

2833 of an EUA for hydroxychloroguine or chloroguine?

2834 A It was being actively studied, and there was

2835 one published report at the time.

2836 Q Was that the French?

2837 A That was the French study, yes.

2838 Q Did a clinical trial -- scratch that.

2839 Would the results of a large pragmatic clinical

2840 trial, as you put it, would that be necessary normally, in

2841 your mind, to provide the basis for an EUA for a potential

2842 treatment?

2843 A And I am going to question the term "normal."

2844 So remembering that the statute behind EUAs requires may be

2845 effective risk-benefits in favor of and no alternatives

2846 available. That really depends upon the timeframe, what

2847 was available at the time, what we knew about the drug, and

2848 what data we had available that suggested the efficacy.

So you can imagine, [Majority Counsel], that it not

2850 only matters time and data that's collected, but also who's

2851 the population who's going to get it. If -- and I'll put

2852 it in cancer perspective. People who get a vaccine are

2853 healthy, in general. So the risk-benefit is in favor of

2854 making sure it's not toxic, right? Because a lot of people

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- 2855 are going to get it.
- 2856 If you're a cancer patient with a life-threatening
- 2857 disease, you're willing to accept more toxicity. Remember,
- 2858 of course, that in spring, the case fatality rate for this
- 2859 was substantial for COVID.
- 2860 So that was part of our calculation. That's what FDA
- 2861 does.
- 2862 Q Thank you. I'd like to show you what I'm
- 2863 going to mark as Exhibit 9. This is a March 28th, 2020
- 2864 email from Ms. Lenihan to Ms. Amin, copying you and Dr.
- 2865 Shah, Bates numbered SSCC-0037912.
- 2866 (Exhibit No. 9 was identified for
- the record.)
- BY [MAJORITY COUNSEL].
- 2869 Q I'd like to direct your attention to the
- 2870 bottom of the first page. Donald Beers wrote to a number
- 2871 of individuals on March 19th. "I am reluctant to get ahead
- 2872 of the client on this, but a reasonable expectation of
- 2873 events is that we are going to be facing great pressure to
- 2874 make chloroquine, and perhaps other drugs, available to
- 2875 COVID-19 patients and to healthcare workers at risk. The
- 2876 alternatives for" -- and then the additional text is
- 2877 redacted.
- 2878 You don't appear to be copied on this email, but you

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2879 were subsequently forwarded it; is that correct?

2880 A It looks like that, yes, [Majority Counsel].

2881 Q This email was written after President Trump's

2882 remarks at that press briefing we were discussing a moment

2883 ago; is that correct?

2884 A Could you remind me about when the press

2885 briefing was?

2886 Q I can show you, actually.

2887 A Okay. Thank you.

2888 (Exhibit No. 10 was identified for

the record.)

2890 BY [MAJORITY COUNSEL].

2891 Q I am handing you a copy of a document titled

2892 Remarks by President Trump, Vice President Pence, and

2893 Members of the Coronavirus Task Force in Press Briefing,

2894 issued on March 19, 2020.

2895 Does this reflect what time the press briefing

2896 started, Dr. Hahn?

2897 A [Majority Counsel], I just don't remember what

2898 this refers to, whether this was the time the document was

2899 created or when the remarks were made. I just don't know,

2900 [Majority Counsel]. But if in fact the remarks were made

2901 at that time, then, yes, this email would be after that.

2902 Q For the record, the document says James S.

2903 Brady Press Briefing Room, 11:31 a.m. Eastern time.

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2904 Turning back to Exhibit 9. What was your 2905 understanding of what Dr. Beers was referring to when he 2906 said, "I am reluctant to get ahead of the client on this, 2907 but a reasonable expectation of events is that we are going 2908 to be facing great pressure to make chloroquine, and perhaps other drugs, available"? 2909 2910 So I didn't have a conversation with Mr. Beers 2911 about this, so I'm not sure what he means with respect to client or with respect to pressure. 2912 2913 Were you part of conversations with others at 2914 FDA where there was a concern about facing pressure to make 2915 chloroquine or hydroxychloroquine available to COVID-19 patients? 2916 [Majority Counsel], I'm pretty confident that 2917 Α 2918 I was. I just don't remember the specifics. 2919 Do you recall anyone expressing a concern that 2920 the former President would pressure FDA to make drugs 2921 available? 2922 Again, [Majority Counsel], I don't have Α specific recollection, but that would not surprise me at 2923

all of having been involved in these conversations.

know, at the time, this was discussed widely and a lot of

people knew about it, and we were, I'll use the -- we were

Because it was the topic of the day. As you

Why not?

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2929 the pointed edge of the stick for authorization. So this

2930 would ultimately come to decisionmaking within FDA and

2931 everybody knew that.

2932 Q Did you feel that the former President had

2933 been pressuring FDA to make hydroxychloroquine or

2934 chloroquine available?

2935 A What I felt, and I said this publicly before,

2936 the -- there was great pressure in general because of the

2937 urgency of the situation and the fact that people were

2938 dying. The President repeatedly expressed his interest in

2939 making sure that we moved quickly to make medical products

2940 available.

2941 Q And is it fair to say, generally speaking,

2942 that making medical products available in the middle of a

2943 crisis is a worthy goal?

2944 A Yes, I would agree.

2945 Q Was there a time that perhaps that pressure

2946 was inappropriate in any way?

2947 A Meaning ever in my tenure?

2948 Q Yes.

2949 A Yes.

2950 Q What happened?

2951 A Well, it relates in part to the

2952 hydroxychloroquine issue.

2953 So we issued the EUA, as you know, and then we

2954 started to collect real-world evidence. Dr. Abernethy was

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- 2955 helping us with that, as well as others. We had an
- 2956 internal system that's called Sentinel which helps us look
- 2957 at side effects, et cetera. And we knew that trials would
- 2958 be reporting out. We started to survey the landscape and
- 2959 talked to people. When would the definitive data come in?
- 2960 Because one of the really important things about EUAs is
- 2961 the flexibility associated with it.
- 2962 So my analogy as a doctor in the emergency room,
- 2963 somebody is sick. You make a decision to save their life
- 2964 based upon the best available data. You admit them to the
- 2965 ICU. Lots of results are coming in, and you revise your
- 2966 decision. In fact, if you don't revise your decision,
- 2967 that's bad doctoring.
- 2968 A very similar situation here. We would take and
- 2969 constantly review all of our decisions from the context of
- 2970 incoming data.
- 2971 So all that occurred. And of course eventually we
- 2972 revoked that EUA, and then we received an application for
- 2973 another EUA for hydroxychloroquine in the outpatient
- 2974 setting.
- 2975 And there were discussions that I had with Mr.
- 2976 Navarro in particular that I would say probably rose to the
- 2977 level of what you just asked me with respect to pressure.
- 2978 Q What happened during those conversations with

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Mr. Navarro?

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2980 A Sorry, I should have said Dr. Navarro.

2981 O Dr. Navarro.

2982 A I apologize, my fault.

2983 Dr. Navarro was in receipt of data. The data that he 2984 sent to me were not randomized clinical trials, but were in

2985 general supportive of the use of hydroxychloroquine or

2986 chloroquine for COVID.

2987 His conclusion, after review of the data, were that

2988 this was supportive of an EUA in the use and continued

2989 supportive. We took a different stance at the FDA. So

2990 that disagreement, which of course ultimately became

2991 somewhat public, was a source of pressure, to be honest

2992 with you.

2993 Q What did Dr. Navarro say to you?

2994 A I don't have specific recollections of all the

2995 calls. But he was very demonstrative about his belief that

2996 hydroxychloroquine would work, and was working, and that it

2997 had met the statutory standard for an EUA.

2998 Q And how would you respond to that?

2999 A I would tell him that we've been very

3000 carefully reviewing the data. I would point out

3001 that -- and eventually it became five. Initially there was

3002 just one randomized clinical trial, which is the highest

3003 level of evidence that we would use for making an

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3004 adjudication came out. And it made no sense to continue

- 3005 the EUA in the setting of a Phase 1 trial that basically
- 3006 indicated that, in that setting, hydroxychloroguine didn't
- 3007 work. And it was directly related to how we had written
- 3008 the intended use.
- 3009 Q You mentioned that the conversations bordered
- 3010 on the inappropriate. How so? Why did you feel that he
- 3011 was pressuring you inappropriately?
- 3012 A [Majority Counsel], I could be wrong, but I
- 3013 don't believe I said bordering on the inappropriate.
- 3014 Q I apologize.
- 3015 A I just want to be clear.
- Just the persistence associated with the
- 3017 conversations about asserting that the data were
- 3018 supportive, given all the publicity around it and given the
- 3019 publicity about the rationale for our decision in the Phase
- 3020 1 trial, you know, that, I felt, was pressure.
- 3021 Q How would you describe the tenor of those
- 3022 discussions? Would they get heated?
- 3023 A You know, it's so subjective, [Majority
- 3024 Counsel]. I guess, in general, I would say no.
- 3025 Q What do you mean subjective?
- 3026 A Well, it's in the eyes of the beholder. If,
- 3027 by heated, did you mean screaming and yelling, the answer
- 3028 is no. Not that I remember. If you mean sort of

3029 definitive, the data support this kind of the way academics

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3030 would argue, the answer would be yes. So it really depends

- 3031 on how you define that.
- 3032 Q You mentioned that Dr. Navarro, was it -- did
- 3033 he advocate that a new EUA should be issued authorizing
- 3034 hydroxychloroquine in an outpatient setting, or did he do
- 3035 something else? Did he provide you with the text or a memo
- 3036 or some other work product?
- 3037 A He provided me with literature. He did
- 3038 advocate for an outpatient EUA for hydroxychloroquine.
- 3039 Q Did Dr. Navarro advocate for any other
- 3040 specific policies or actions with respect to
- 3041 hydroxychloroquine?
- 3042 A Other than what I just mentioned our
- 3043 discussions were about, I cannot remember a time that he
- 3044 did.
- 3045 Q Do you recall if Dr. Navarro sought funding or
- 3046 assistance in setting up clinical trials for
- 3047 hydroxychloroquine?
- 3048 A I don't know.
- 3049 Q Do you recall if Dr. Navarro sought to
- 3050 distribute hydroxychloroquine prophylactically?
- 3051 A You know, I don't know. Although a number of
- 3052 clinical trials were being performed. Whether Dr. Navarro
- 3053 was involved in those -- because one of the trials was, I

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3054 believe, at Henry Ford Hospital, and he had been in close

3055 touch with the investigators there. So whether he was

3056 actively involved, I just don't know, but I do know that

3057 connection.

3058 Q How did you know that he was in close contact

3059 with the researchers at Henry Ford?

3060 A He told me.

3061 Q When did Dr. Navarro advocate for reinstating

3062 the EUA?

3063 A It would have been in the June and July

3064 timeframe.

3065 Q When was the EUA revoked?

3066 A That's part of the records somewhere, I'm

3067 sure. I'm sorry, [Majority Counsel], I think it was in

3068 June.

3069 Q We will get to that.

3070 Back to the March time period. You mentioned

3071 that -- we were discussing that President Trump referenced

3072 hydroxychloroquine at the March 19, 2020 press briefing.

3073 What happened next? What steps was FDA taking with respect

3074 to hydroxychloroquine at that time?

3075 A We were taking a very active stance for a

3076 couple of things. One was to collect real-world evidence.

3077 So you can gather -- Dr. Abernethy was really good at this.

3078 You could gather -- when you have a collaborative

3079 relationship, you can gather evidence from medical records,

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- 3080 for example, in a de-identified way that's HIPAA compliant.
- 3081 And you can look at prescribing patterns, you can inquire
- 3082 about outcomes, you can inquire about toxicities.
- 3083 So we were collecting those data, as you could
- 3084 imagine we would, and we were also collecting data around
- 3085 supply chain, so the APIs, the precursors, as well as the
- 3086 supply broadly available in the country. So we were
- 3087 spending a lot of time looking at that.
- 3088 Q I am going to mark as Exhibit 11 a March 22,
- 3089 2020 email from you to Dr. Deborah Birx that is not Bates
- 3090 numbered, but the subject reads, "Urgent Oz: Clinical
- 3091 Trial Drug Shortage."
- 3092 (Exhibit No. 11 was identified for
- 3093 the record.)
- BY [MAJORITY COUNSEL].
- 3095 Q I'd like to direct you to the bottom of the
- 3096 second page of this document. It shows that Dr. Birx on
- 3097 March 22nd, 2020 at 10:17 a.m. wrote, "Dr. Oz, This was
- 3098 posted yesterday on the CDC website and serves to address
- 3099 the issues you raised. Deb."
- 3100 It then copies "Information For Clinicians on
- 3101 Therapeutic Options for COVID-19 Patients."
- 3102 In response, Dr. Mehmet Oz responded, "Thanks for
- 3103 sharing, but this does not address the shortage issue. We

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3104 already have an IRB for prophylaxis and applying for

- 3105 treatment trial today, but don't have drugs to complete, so
- 3106 please share expectations that can inform our work. Can we
- 3107 at least get batches of drugs for a hundred trial patients?
- 3108 If you don't wish to put in writing, please call."
- 3109 Dr. Birx subsequently forwarded this to you and
- 3110 Dr. Redfield, and you responded, but most of the
- 3111 information in the email was redacted. But you did say,
- 3112 "Do you have any time to talk about this?"
- 3113 Did you ultimately speak to Dr. Birx about this
- 3114 issue?
- 3115 A Dr. Birx and I spoke quite a bit about this
- 3116 issue. Whether it was in response to this email, I can't
- 3117 specifically say. But, yes, we had multiple -- as did
- 3118 Dr. Redfield and I and occasionally Dr. Fauci.
- 3119 Q You said you had multiple conversations about
- 3120 this issue. Was it about the drug shortage generally, or
- 3121 specifically to Dr. Oz's request?
- 3122 A Drug shortages generally, and therapeutics in
- 3123 particular.
- 3124 Q What was your reaction to Dr. Oz's email and
- 3125 request?
- 3126 A So my reaction was this was a significant
- 3127 problem. So one of -- there were a couple of things that
- 3128 we were concerned at the agency: The surge in use, off-

3129 label, if you will. Now, we don't regulate the practice of

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- 3130 medicine, so that's not our domain to say whether doctors
- 3131 should prescribe medication, but we were seeing it.
- 3132 We have to respond to that because -- there are two
- 3133 major issues here. One is the people who receive these
- 3134 drugs for FDA-approved indications, they were having
- 3135 trouble getting those. That's a core responsibility, and
- 3136 those are drugs that are approved for those conditions, so
- 3137 lupus and rheumatoid arthritis.
- 3138 The second was we really felt strongly, and I
- 3139 personally felt strongly, that we needed randomized
- 3140 clinical trial data. If there were no drug available to
- 3141 actually do the studies, we would never get the answer.
- 3142 So, to me, it was really important that we had drug
- 3143 supply, one, for those who had approved indications; but,
- 3144 two, to perform the clinical trials.
- 3145 Q Was any action taken to respond to Dr. Oz's
- 3146 request?
- 3147 A Not that I am aware of.
- 3148 Q Are you aware whether any drug supplies were
- 3149 provided to Dr. Oz for the trial?
- 3150 A I'm not aware.
- 3151 Q Dr. Oz is a well-known TV host in addition to
- 3152 being a doctor. Are you aware of how Dr. Birx came to
- 3153 communicate with him about those?

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3154 A I am not.

3155 Q Did you ever communicate with Dr. Oz related

3156 to this?

3157 A I don't believe so.

3158 Q Are you aware whether others in the Trump

3159 administration communicated with Dr. Oz?

3160 A I don't know.

3161 Q Did you ever communicate with other TV hosts

3162 related to the pandemic?

3163 A Yes.

3164 Q Who?

3165 A Laura Ingraham.

3166 Q What did you discuss with Laura Ingraham?

3167 A So I was introduced to Laura Ingraham by folks

3168 at the White House. And we would communicate about what

3169 she was hearing with respect to the pandemic and at least

3170 initially regarding hydroxychloroquine.

3171 Q Who at the White House introduced you to

3172 Ms. Ingraham?

3173 A I don't remember who introduced me, but I do

3174 remember being at a White House meeting in the Oval Office

3175 with her.

3176 Q When did that meeting occur?

3177 A [Majority Counsel], I don't know. I'm sure

3178 you can refresh my memory.

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3179 Q Approximately, do you recall when it was?

3180 A March, April. It's in that timeframe.

3181 Certainly, I don't remember it being June, July.

O Do you recall who was at that meeting?

3183 A There were two doctors, who I believe that

3184 Laura Ingraham had on her show, or had previously on her

3185 show, who had data regarding hydroxychloroquine.

3186 Q Who were those doctors?

3187 A I don't remember their names. I'm sure it's

3188 in the press somewhere.

3189 Q Apart from Ms. Ingraham and these two

3190 physicians, who else attended the meeting?

3191 A The President was there. And I don't

3192 know -- I don't remember who else was there.

3193 O Were there other White House staff in

3194 attendance?

3195 A It would be a guess. My guess would be yes,

3196 but I don't know for sure.

3197 Q You mentioned that hydroxychloroquine was

3198 discussed. What specifically?

3199 A What was discussed was these doctors' data

3200 that they had available to them regarding the drug, in

3201 support of using the drug as a treatment for COVID-19.

3202 Q You mentioned that you talked to Ms. Ingraham

3203 about other topics. What specifically?

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3204 A Typically, she --

3205 Mr. Armstrong. Excuse me, pause to go off the

3206 record.

3207 (Discussion off the record.)

3208 BY [MAJORITY COUNSEL].

3209 Q Before we went off the record, I had asked,

3210 did you discuss other topics, topics other than

3211 hydroxychloroquine, with Ms. Ingraham?

3212 A Yes, I did.

3213 Q What other topics?

3214 A Ms. Ingraham would, if she heard about other

3215 therapies -- and I don't remember specifics around

3216 that -- or interesting scientific information, she would

3217 refer that to me. It happened more in the beginning, but

3218 it was not at all out of line compared to what others

3219 around the country did as well. I mean, it was a daily

3220 occurrence.

3221 Q Going back to the Oval Office meeting that you

3222 were just referencing that you attended with Ms. Ingraham,

3223 the two physicians, and President Trump. Did the President

3224 provide any directive to you or others at that meeting with

3225 respect to hydroxychloroquine?

3226 Mr. Armstrong. May I object here. The White House

3227 counsel's office in recent weeks has asked that we respect

3228 any communication between Dr. Hahn and the President, Vice

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3229 President, or the chief of staff. Not that we can't

- 3230 discuss those conversations, but that, if we do, we keep
- 3231 them at a high level where it's talking about concerns and
- 3232 impressions or topics, and it's not kind of a transcribed
- 3233 account of the actual conversation itself.
- 3234 [Majority Counsel]. Are you instructing your client
- 3235 not to answer the question?
- 3236 Mr. Armstrong. I am not. I am relaying the White
- 3237 House's ask, and just I want that to be on the record.
- 3238 And, if you would, it would be appreciated if we could keep
- 3239 those at that level.
- I've got two branches here, and Dr. Hahn is not going
- 3241 to be in the midst of that battle. But I just want to
- 3242 raise that.
- 3243 [Majority Counsel]. Thank you. I am going to ask
- 3244 the question, and if you need to object if you think that
- 3245 it's getting into any potentially privileged territory, I
- 3246 would just ask that you put it on the record so that we can
- 3247 build the record and move forward in that way instead of
- 3248 perhaps avoiding the topics entirely.
- BY [MAJORITY COUNSEL].
- 3250 Q Dr. Hahn, let me just re-ask the question.
- 3251 Did President Trump provide any directive to you at
- 3252 that meeting, or shortly after that meeting, with respect
- 3253 to -- that was a terrible question. Let me strike that.

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3254 Did President Trump provide any directive to you at

- 3255 that meeting with Ms. Ingraham?
- 3256 The Witness. Can I speak to counsel?
- 3257 [Majority Counsel]. Yes.
- 3258 (Discussion off the record.)
- 3259 The Witness. Not that I am aware of.
- BY [MAJORITY COUNSEL].
- 3261 Q Did the President provide any directive to you
- 3262 with respect to hydroxychloroquine after that meeting?
- 3263 A No, he did not.
- 3264 Q Did anyone else --
- 3265 A Let me clarify what I meant by that.
- 3266 Other than the urgency of the situation and the speed
- 3267 with which we were doing it. But a directive about a
- 3268 specific outcome, no.
- 3269 Q You were discussing earlier the shortages of
- 3270 hydroxychloroquine and chloroquine in the country at that
- 3271 time. What actions was FDA taking to address those
- 3272 shortages?
- 3273 A It's part of our statutory authority to be
- 3274 able to interact with suppliers of both API, so precursors
- 3275 of drugs. And these are both generic drugs and so
- 3276 they're -- often generic drugs, both manufactured, but also
- 3277 the precursors, are made in foreign countries and about 70
- 3278 percent of them in India and China.

3279 So we spend a lot of time working with manufacturers

3280 to see how we could have domestic production, that's really

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3281 hard to ramp up quickly, but also how we could make sure we

3282 got API and actual drugs from these countries. So we spent

3283 a lot of time looking at the supply chain issues.

3284 (Exhibit No. 12 was identified for

3285 the record.

3286 BY [MAJORITY COUNSEL].

3287 Q I am going to hand you what has been marked as

3288 Exhibit 12. This is a March 25th, 2020 email from Janet

3289 Woodcock to Robert Charrow and you, Bates numbered

3290 SSCC-0037716.

3291 I'd like to direct you to the top of the second

3292 page -- or maybe the bottom of the second page. At the

3293 bottom of the page, Mr. Charrow wrote to Ms. Amin, "EUA for

3294 Donated Drug. When do you expect it to issue?"

Ms. Amin forwarded the email to Dr. Woodcock, to you,

3296 and others, and Dr. Woodcock responded, "What EUA are you

3297 referring to? We are working on the chloroquine right now,

3298 should have it done by the time the testing is done (3-4)

3299 days). The hydroxychloroquine one we have not gotten a lot

3300 of information on. It is a US-approved drug and we'd like

3301 to reserve 600,000 doses of 200 milligrams each for the

3302 clinical trial."

3303 This email was in turn forwarded to you and

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3304 Mr. Charrow. Do you see that?

- 3305 A Yes, I sure do.
- 3306 Q Do you recall what the proposed clinical trial
- 3307 was that Dr. Woodcock was referring to here?
- 3308 A I don't remember this specific one, but there
- 3309 were multiple. And we had been keeping track of that, so
- 3310 double digit numbers of trials that had been proposed or
- 3311 were ongoing.
- 3312 Q Was it a reference to the clinical trial that
- 3313 Dr. Oz proposed in his email?
- 3314 A I don't know.
- 3315 Q Do you recall if FDA assisted Dr. Oz in any
- 3316 way with his clinical trial?
- 3317 A I don't recall that at all.
- 3318 Q Moving up on the page, Mr. Charrow responded
- 3319 at 3:33 p.m. He wrote, "As per my discussion with Stephen,
- 3320 the EUA I am interested in would be for donated
- 3321 hydroxychloroquine that would not necessarily be in
- 3322 clinical trials. Some of the donated drug would be used
- 3323 for clinical trials, but most would likely not be."
- 3324 Do you recall the discussion that was referenced by
- 3325 Mr. Charrow?
- 3326 A I don't remember the specifics of the
- 3327 conversation. What I do remember, [Majority Counsel], is
- 3328 that we knew that the problem, as I described previously,

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3329 was great surge in demand, limited supply, people with

- 3330 FDA-approved indications, people who were writing
- 3331 off-labeled prescriptions, which was leading to the surge
- 3332 in demand, and then the clinical trials.
- From an FDA perspective, I would have normally
- 3334 communicated that these are the major concerns we have in
- 3335 getting as much of the drug into the system as possible to
- 3336 address those, because they're all really important.
- 3337 Q Do you recall how the drug was going to be
- 3338 used in this circumstance?
- 3339 A So our intention at FDA was the drug to be
- 3340 used for all of the three situations that I just described;
- 3341 for FDA approved indications, for clinical trials for sure,
- 3342 and also to meet the demand for off-label. We, again,
- 3343 don't regulate the practice of medicine so we don't control
- 3344 that, and that really has to be an individual
- 3345 patient-doctor discussion. But if we see it and we see
- 3346 pressure on the system, we try to respond to make supply
- available.
- 3348 Q Did anyone raise concerns about the surge in
- 3349 demand specifically, that that could be problematic beyond
- 3350 from just the supply standpoint?
- 3351 A I think we all -- I mean, because those of us
- 3352 who are clinicians and practicing clinicians understand
- 3353 that the tension between off-label use of drugs is

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- 3354 incredibly common, but also the fact that this puts
- 3355 pressure on the system as a whole for the issues that I
- 3356 just described.
- 3357 And so there was definitely discussion about the fact
- 3358 that this had implications for the U.S. healthcare system.
- 3359 Q Moving up on the document, Dr. Woodcock
- 3360 responded, "The clinical trial I am referring to would only
- 3361 need 600,000 doses. It would go to treat healthcare
- 3362 workers exposed to COVID-19 agent."
- It continues, "We can do an EUA for CHQ along with
- 3364 the chloroquine one."
- 3365 Do you recall whether FDA was discussing a clinical
- 3366 trial to treat healthcare workers exposed to the COVID-19
- 3367 at that time?
- 3368 A Yes. I don't know if it's this specific
- 3369 trial, but as I mentioned, we were keeping track of all the
- 3370 trials. As you know, investigators have to apply for an
- 3371 IND to perform a clinical trial with an investigational
- 3372 agent or an off-label in this case, but investigational in
- 3373 that case.
- 3374 So we would be aware if someone had applied for that.
- 3375 And there were multiple trials that were looking at
- 3376 treatment, both inpatient and outpatient, preexposure
- 3377 prophylaxis. So I work in an ICU, I know I'm going to be
- 3378 exposed, so I'll pretreat myself, and then postexposure

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3379 prophylaxis. So yes.

- 3380 Q I'd like to direct your attention back to
- 3381 Exhibit 8, which is the compilation of the White House task
- 3382 force agendas. I would like to direct your attention
- 3383 specifically to page 29, which is on March 27th, 2020
- 3384 agenda.
- 3385 At Roman VII, it reads, "FDA Update on Plasma &
- 3386 Treatment Action Plan Dr. Stephen Hahn." The sub-bullet
- 3387 says "Chloroquine Efficacy."
- 3388 Did you provide an update on chloroquine at this task
- 3389 force meeting?
- 3390 A [Majority Counsel], I can't recall a specific
- 3391 meeting. What I can tell you is that we provided regular
- 3392 updates on the COVID treatment acceleration program, CTAP.
- 3393 And within that context, we would have provided information
- 3394 about these drugs, their availability, clinical trials that
- 3395 were scheduled, and what the current status of the data
- 3396 would be.
- 3397 Q At this time, so March 27th, 2020, what was
- 3398 the current status of the data or understanding of possible
- 3399 efficacy?
- 3400 A So we had some published data. But really
- 3401 what we were looking at was collected data in the
- 3402 real-world setting. So from medical records.
- 3403 Q And what does that indicate?

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3404 A So early at that point, in terms of efficacy,

3405 the data were very preliminary. And, [Majority Counsel],

3406 just -- I'll say this in a kind of unscientific way. But

3407 you couldn't necessarily -- you could not draw definitive

3408 conclusions from what we were seeing either way at that

3409 time.

3410 [Majority Counsel]. I'd like to mark as Exhibit 13 a

3411 March 28th, 2020 letter from Dr. Rick Bright regarding

3412 Request for Emergency Use Authorization for Use of

3413 Chloroquine Phosphate or Hydroxychloroquine Sulfate

3414 Supplied From the Strategic National Stockpile for

3415 Treatment of 2019 Coronavirus Disease.

3416 (Exhibit No. 13 was identified for the

3417 record.)

3418 BY [MAJORITY COUNSEL].

3419 Q What is this document, Dr. Hahn?

3420 A This is a letter of request for the issuance

3421 of an EUA for the drugs that are listed there, chloroquine

3422 phosphate or hydroxychloroquine sulphate, for the use and

3423 treatment of coronavirus disease.

3424 Q Just to clarify, is this the letter requesting

3425 the EUA or the --

3426 A Oh, I'm sorry.

3427 Q -- or the actual issuance of the EUA?

3428 A This is -- I'm sorry, let me read it. I

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3429 apologize.

This is the issuance of the EUA.

3431 Q Who was involved in making the decision to

3432 grant this EUA?

3433 A This decision was made and is the

3434 responsibility for the Center for Drug Evaluation Research.

3435 Q And who was the ultimate decisionmaker within

3436 that?

3437 A Dr. Woodcock.

3438 Q Did you participate in discussions regarding

3439 whether this EUA should be issued?

3440 A Yes.

3441 Q And with whom?

3442 A With Dr. Woodcock.

3443 Q And what was discussed?

3444 A The data behind it, where the reviewers were.

The way this happens, [Majority Counsel], is that a

3446 request comes in, in this case from BARDA. They provide a

3447 data packet to support it. Our reviewers review it. They

3448 come to a conclusion. It goes up the chain of command at

3449 CDER, and then ultimately the center director signs off on

3450 it.

Depending on the importance/urgency of the situation,

3452 that may or may not get discussed with the Commissioner.

3453 Q In this situation, did it get discussed with

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the Commissioner? 3454 3455 Α Yes. 3456 [Majority Counsel]. Can we go off the record just a 3457 minute? 3458 (Recess.) [Majority Counsel]. We can go back on the record. 3459 BY [MAJORITY COUNSEL]. 3460 At the time that this EUA was issued for 3461 Q hydroxychloroquine, did you agree with the decision? 3462 3463 Α Yes. On page 2 of the EUA, it reads at the middle 3464 of the page, "Based upon limited in-vitro and anecdotal 3465 clinical data in case series, chloroquine phosphate and 3466 hydroxychloroquine sulfate are currently recommended for 3467 treatment of hospitalized COVID-19 patients in several 3468 countries, and a number of national guidelines report 3469 incorporating recommendations regarding use of chloroquine 3470

Are you aware which particular studies or national guidelines were relied upon in granting this EUA?

phosphate or hydroxychloroquine sulfate in the setting of

3475 A The main study was the French study that we 3476 discussed. I'm not sure about the national guidelines.

Q Did anyone discuss concerns about the basis

3478 for issuing this EUA at the time?

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COVID-19."

3479 A I think, in general, we discussed the pros and

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3480 cons of this. So, yes, there were extensive discussions

- 3481 about it, particularly at the center level, but
- 3482 Dr. Woodcock and I did as well.
- 3483 Q What cons were discussed at that time?
- 3484 A So the cons that were discussed is -- and it's
- 3485 not really a con. But the discussion around, did this meet
- 3486 the level -- the statutory-required level of data to
- 3487 support may be affected.
- 3488 Because as you can imagine, [Majority Counsel], "may"
- 3489 is a really -- there's a lot of gray in "may." And we came
- 3490 to the conclusion that it did and that the risk-benefit was
- 3491 in favor of it.
- 3492 And also, which is very typical, [Majority Counsel],
- 3493 of the FDA, there have to be pragmatic components of this.
- 3494 For example, if we were ever to get an answer that would
- 3495 really definitively tell us, we need a drug to perform the
- 3496 clinical trials and we needed to make sure that people who
- 3497 needed it for approved indications had it. So there was a
- 3498 significant pragmatic component to this.
- 3499 Q At some point, did you come to believe that
- 3500 hydroxychloroquine was not effective in treating the
- 3501 coronavirus?
- 3502 A Certainly when the recovery trial results were
- 3503 reported, that was a significant result. And at that

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3504 point, my opinion was we had level 1 data that shows that

3506 Internally, we had a discussion, [Majority Counsel],

3507 that it's very possible that -- and, [Majority Counsel],

3508 I'm going to use the term -- "clinical trial" term, so I

3509 apologize ahead of time. But if the effect size is small,

3510 so if it's a couple of percent benefit, you need a trial of

3511 like hundreds of thousands of people to detect that.

So a trial doesn't definitively say no, but it says,

3513 in this setting, under these circumstances, the answer is

3514 no with high probability.

it's not working.

3515 So we continued to understand that it might have some

3516 effect and that the laboratory data might be correct, but

3517 we had to go with the data that were available, vis-à-vis

3518 my analogy to the doctor in the emergency room and the ICU,

3519 updated data.

3520 Q By what time, what date did you start to form

3521 the opinion that hydroxychloroquine was not effective?

3522 A June, when the recovery trial results came

3523 out.

3505

3524 Q Did you start to have concerns before then?

3525 A We were monitoring in real-time, so it depends

3526 on what you mean -- and I don't want to parse words, I'm

3527 sorry, [Majority Counsel], but what you mean by concern.

3528 It's our job to be concerned and monitor. It's our job to

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3529 look at the data. And when there is a threshold or a

- 3530 trigger that's pulled that makes us, say, come to the
- 3531 conclusion that it's not effective, that's when it's our
- 3532 duty to make revisions or revoke EUAs. And it happened
- 3533 throughout the pandemic. It's happening now.
- 3534 So it isn't like June 3rd we saw some real-world
- 3535 evidence data and I said, oh, it's not -- or Janet did or
- 3536 whoever. It's -- except for when the recovery trial came
- 3537 in, because that was pretty definitive and it was the first
- 3538 randomized trial.
- 3539 Q Did you start to see preliminary indications
- 3540 that suggested it might not be effective?
- 3541 A And this is where real-world evidence hurts
- 3542 and helps. We were seeing preliminary evidence on both
- 3543 sides of the equation.
- 3544 [Majority Counsel]. I'm going to mark as Exhibit 14
- 3545 an April 6, 2020 email from you to Mr. Grogan. It does not
- 3546 have a Bates number on it, but the subject line is "Journal
- 3547 publisher raises red flags about French malaria drug
- 3548 study."
- 3549 (Exhibit No. 14 was identified for
- 3550 the record.)
- BY [MAJORITY COUNSEL].
- 3552 Q I'd like to direct you to the second email in
- 3553 the chain. She wrote, "I think that the issue of patient

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3554 selection is one that is going to come up over and over

- 3555 again, as per our conversation this morning."
- 3556 What was the issue of patient selection that
- 3557 Dr. Abernethy was referring to?
- 3558 A [Majority Counsel], the patient selection
- 3559 issue is what we discussed about the limitations of Phase 2
- 3560 trials; that one of the biases that gets introduced in a
- 3561 noncomparative trial is patient selection bias. So who
- 3562 gets selected for it and whether that sort of changes the
- 3563 conclusions you can draw from it.
- 3564 Q What did you specifically discuss with
- 3565 Dr. Abernethy?
- 3566 A I don't remember the conversations
- 3567 specifically. But in reference to this email, we would
- 3568 have discussed, having both been clinical trialists, the
- 3569 issue that we're all aware of, which is that Phase 2 trials
- 3570 have this limitation.
- 3571 And Dr. Abernethy -- I'm going to connect it to the
- 3572 last answer. Dr. Abernethy would provide the real-world
- 3573 evidence to me, and one of the biases of real-world
- 3574 evidence, despite measures to try to control for it, is
- 3575 patient selection bias.
- 3576 Q Why did you forward this email to Mr. Grogan?
- 3577 A Because there was a lot of interest at the
- 3578 White House on collection of data. I wanted them to be

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3579 aware that these criticisms existed. You know, [Majority

3580 Counsel], a lot of this is about education, right? You

3581 asked me the question about what are the limitations. You

3582 probably already knew the answer when you asked the

3583 question of me, but there are a lot of people who don't

3584 know that, and I think it's really important for there to

3585 be awareness of what are the levels of evidence that are

3586 used by the agency. Why would we not -- why would we

3587 prioritize level 1 evidence, a randomized trial, over

3588 something like this? Here's a core reason.

3589 Q I am going to hand you a document that I will

3590 mark as Exhibit 15. This is a compilation of some text

3591 messages between you and Dr. Abernethy. The first page is

3592 Bates numbered SSCC-0036417.

3593 (Exhibit No. 15 was identified for

3594 the record.)

BY [MAJORITY COUNSEL].

3596 Q I'd like to direct your attention to the

3597 second page that's marked 429.

3598 A Yep.

3599 Q On April 8th, 2020, you asked Dr. Abernethy,

3600 at the very bottom of the page, "My meeting on HQ data got

3601 pushed to this morning. Any new data or development since

3602 5 pm yesterday?"

3603 Were you and your team closely monitoring the data on

3604 hydroxychloroquine during this time period?

3605 A Yes. And Dr. Abernethy was terrific about

3606 sort of monitoring the, if you will, the healthcare records

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3607 around the country in a de-identified way. And she would

3608 put together PowerPoint presentations, or her team would,

3609 so that I could update people about the status. So I was

3610 asking for that.

3611 Q Were you having daily updates on

3612 hydroxychloroquine?

3613 A I don't believe we had daily updates.

3614 Q About how frequent do you think the updates

3615 were?

3616 A At least initially a couple times a week, but

3617 it tapered after that.

3618 Q Dr. Abernethy responded at 8:22 a.m., "Looking

3619 to see if I see anything new now."

3620 She continued, "I am reading through the emails you

3621 are sending - this is a real problem (the example from

3622 Laura I)."

And the rest of the text is redacted.

And then you responded, "I hear you."

3625 I'd like to unpack these messages. What was the

3626 "real problem (the example from Laura I)", that

3627 Dr. Abernethy was referring to?

3628 A [Majority Counsel], I'm going to -- this is

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3629 going to be speculation because I don't remember the 3630 specific circumstances. But the data that we received from a variety of sources, including the doctors that Laura 3631 3632 Ingraham related that she had on her show, they were observational. They weren't even Phase 2 trials. So that 3633 3634 was where you would go into your practice, look at who got the drug, and look at outcome and draw conclusions from it. 3635 The next email refers to Dr. Zelenko, the same set of 3636 data. The problem there is that that's even lower than a 3637 Phase 2 trial. Because at that point it's not just patient 3638 3639 selection, it's an issue of not -- because in a Phase 2 study, you would have defined criteria about who would be 3640 3641 entered. This is an observational, some people call it case 3642 3643 cohort trials, and the conclusions you can draw from that are very limited. So therein lies the problem. 3644 3645 What is the concern with that type of study 3646 that Dr. Zelenko was performing? You said it was even lower than a Phase 2 trial. 3647 Right. So if you do a Phase 2 trial, 3648 Α typically you have an IRB that's been reviewed by an ethics 3649

committee, you have a consent form. There are exclusion

population to reduce this selection bias. It's still

there, it's just lower in that setting, and ethics

and inclusion criteria. You're trying to define the study

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3654 committees review that.

3655 When you do an observational study, what you're doing

3656 is, I treated a whole bunch of patients or this collection

3657 of doctors did with X. I'm going to go back and look at

3658 the medical records, I'm going to look at what happened to

3659 them and I am going to draw conclusions.

3660 That is -- without a specific inclusion and exclusion

3661 criteria, so that level of evidence is a lot lower. And

3662 it's problematic. It's very difficult to draw doctor-type

3663 conclusions about how to treat someone based upon a

3664 collection of anecdotes, basically.

3665 Q Dr. Abernethy had also said, with respect to

3666 the Zelenko data, "Just want you to know what I am worried

3667 about."

3668 Do you know what she was specifically worried about?

3669 A I can't speak specifically to that issue. But

3670 what I can tell you is that it was likely related to this

3671 issue of the level of conclusions that could be drawn, or

3672 not drawn, frankly, more importantly.

3673 Q Did you have additional discussions about

3674 these issues?

3675 A Dr. Abernethy and I discussed this throughout

3676 the pandemic. And it wasn't just related to this issue; it

3677 was related to collection of other types of data and

3678 evidence.

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3679 Q By the date of this text message, April 8th, 3680 2020, did you have concerns -- were there more indications that perhaps hydroxychloroquine or chloroquine were not 3681 effective for treating the coronavirus? 3682 As I said, we were monitoring in realtime and 3683 we had data on both sides suggesting both. And, again, 3684 it's why you need level 1 evidence to ultimately come to 3685 some conclusion. 3686 3687 Q Okay. [Majority Counsel]. We can go off the record. 3688 3689 (Recess.) BY [MINORITY COUNSEL]. 3690 So we talked about treatments. Treatments are 3691 3692 obviously still an issue today. Is it important from an 3693 FDA perspective to review and evaluate any possible 3694 treatment to a disease that's killed almost a million 3695 people? 3696 Α Absolutely. And those possible treatments would come from 3697 multiple sources, not just your review of literature, but 3698 3699 it could come from other doctors that you know out in the field, non-doctors out in the field. I mean, really, if 3700 it's brought to you, you should evaluate it? 3701

[Minority Counsel], yes. I'll again comment

3702

3703

Α

on the word "should."

We, during the pandemic, used a science-based

3705 approach to that. So were there data that supported it and

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- 3706 did it make sense to us, knowing that we had seen this
- 3707 broad spectrum of different types of treatments. So we
- 3708 were very open to receiving information from any source to
- 3709 look at this without bias to begin with, but we did assess
- 3710 on the basis of science. And we rejected some because we
- 3711 didn't think the science supported it.
- 3712 Q So obviously, there would be treatments that
- 3713 worked for other things that you would know, on its face,
- 3714 would probably not work for COVID that you shouldn't waste
- 3715 staff time in evaluating?
- 3716 A Yes, that might be the case. Also, if someone
- 3717 presented information that looked intriguing and we hadn't
- 3718 thought about it before, we would consider it and take a
- 3719 look and suggest a pathway moving forward.
- 3720 Q So the early evaluation of hydroxychloroguine
- 3721 and chloroquine, like you said, was a science-based
- 3722 approach and was not a drug that would have been, on its
- 3723 face, thrown out immediately?
- 3724 A No, it would not have been thrown out
- 3725 immediately.
- 3726 Q You were asked about, during your tenure, if
- 3727 there was pressure to keep the EUA or instated in the EUA
- 3728 on HCQ, and mentioned Dr. Navarro; is that correct?

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3729 A Correct.

3730 Q Was there anyone else that pressured you or

- 3731 made you feel uncomfortable about HCQ?
- 3732 A [Minority Counsel], I wouldn't say that
- 3733 Dr. Navarro made me feel uncomfortable. Was he persistent?
- 3734 Yes, as I mentioned all the conversations.
- 3735 So in answering [Majority Counsel]'s question, yeah,
- 3736 I mean, it was pressure because he was very persistent
- 3737 about it. But no one else exerted other pressure, other
- 3738 than the urgency of the moment. And I've been on the
- 3739 record multiple times saying that.
- 3740 Q Is there -- and I understand I'm going to play
- 3741 semantics a little bit -- is there a difference between
- 3742 persistence and pressure? We all work on the Hill, we get
- 3743 a lot of questions from a lot of different people 10, 15
- 3744 times a week. I consider that persistence, but not
- 3745 pressure.
- 3746 A So I would say you are right. We receive
- 3747 calls from members of Congress, and I did, literally every
- 3748 day. Now -- and from governors and mayors, et cetera. And
- 3749 by the way, it was a bipartisan sport. And I appreciated
- 3750 it because I didn't know everything that was going on at
- 3751 the agency 100 percent at the lower level, so it helped me
- 3752 to have that perspective.
- 3753 Some of it was information that could help expedite,

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3754 some of it wasn't, and we had to make that decision

- 3755 internally. But I never rejected that from the sources we
- 3756 got it from because, as you point out, it's an emergency
- 3757 and we had to make the best decisions possible.
- I also did not judge that as being pressure. I can
- 3759 tell you that people disagreed with my assessment of it.
- 3760 They have told me that, people in the press, people on the
- 3761 Hill, et cetera.
- 3762 It's why I appreciated [Majority Counsel] clarifying
- 3763 for me what she meant about this, because that, to me, is
- 3764 an important component of this. But when [Majority
- 3765 Counsel] asked me the question about Dr. Navarro, I did see
- 3766 that as pressure because, not of the persistence per se of
- 3767 the message, just sort of how many times and almost how
- 3768 relentless it was.
- 3769 Q Okay.
- BY [MINORITY COUNSEL].
- 3771 Q Is it fair to say that you were having a
- 3772 robust academic debate with Dr. Navarro? He would listen
- 3773 to you, you would listen to him? It sounds like it was a
- 3774 back-and-forth.
- 3775 A Yeah, there was some back and forth, but it
- 3776 was -- yeah. You know, without getting into gross details,
- 3777 it was often one-sided. And you'd have to ask Dr. Navarro
- 3778 if he actually listened to what I said. But it was a

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3779 back-and-forth about the data, and we had a fundamental

3780 disagreement about the data and then what supported it.

BY [MINORITY COUNSEL].

3782 Q Was Dr. Navarro your direct report in the

3783 federal government?

3784 A No.

3785 Q Did you make any decision based on

3786 Dr. Navarro's statements?

3787 A No.

3788 [Minority Counsel]. All right.

3789 The Witness. Let me just be clear. Dr. Navarro

3790 brought to my attention that an EUA came in. We didn't

3791 make a decision to review the EUA because he told us, but

3792 we did review the EUA. So I want to be clear that that

3793 might be on the record that that was brought to my

3794 attention by Dr. Navarro, but by no means did we say we

3795 were going to review the EUA because he told me about it.

3796 BY [MINORITY COUNSEL].

3797 Q The review of the EUA was based on science and

3798 FDA --

3799 A Procedures and policy.

3800 Q -- procedure, not Dr. Navarro's statements?

3801 A Correct.

3802 [Minority Counsel]. I have a few more questions on

3803 therapeutics.

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BY [MINORITY COUNSEL].

3805 Q At the time, there were a lot of different

3806 commentators, medical and nonmedical commentators. I heard

3807 a podcast about budesonide being a great treatment, and

3808 that caught my attention because I use budesonide in a

3809 sinus rinse. So that's an off-label use right, I think,

3810 there, but it of course is supposed to go in a nebulizer.

3811 So is that one? Did you look at budesonide?

3812 A I don't recall looking at budesonide.

3813 Q But doctors prescribed it, right? Is that

3814 your --

3815 A I'm not aware of that.

3816 Q -- understanding?

3817 A Again, it wouldn't surprise me. There were a

3818 lot of off-label uses of drugs for COVID-19.

One could argue that corticosteroids were an

3820 off-label use for COVID-19. It's not really because it's a

3821 generic widely-used drug.

But my point is doctors were trying a lot of things.

3823 I was a provider. I do not blame them for trying things.

3824 If I had heard about a study and I had a sick patient and

3825 the risk-benefit ratio seemed right, who knows, I might

3826 have made the same decision. And really, again, it's the

3827 privacy of a doctor-patient relationship.

3828 Q Hydroxychloroquine in the early days, before

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3829 the studies and the data came out, I walked into my local

- 3830 CVS and I said to the pharmacist, who I have a great
- 3831 relationship with, what do you think about
- 3832 hydroxychloroquine? And she said, I don't know. It's
- 3833 not -- this is not -- it's not indicated for COVID. She
- 3834 said, but a lot of people are prescribing it. Doctors are
- 3835 prescribing it for their family members. She's, like, I'm
- 3836 having a run on my pharmacy, and she said, I shut it down.
- 3837 She said, I quit distributing it.
- 3838 So is that something that is your understanding,
- 3839 pharmacists have that authority to sort of stop filling
- 3840 prescriptions if they have any knowledge of?
- 3841 A So at the local level, pharmacies can decide
- 3842 not to stock a drug and not have it available. It's a
- 3843 private business. Where medicine gets regulated, as in you
- 3844 may not prescribe it, Dr. Hahn, is at the state level, not
- 3845 at the federal level.
- 3846 So that would not be anything that FDA would be
- 3847 involved in. Our job at FDA would be to say to the doctor,
- 3848 doctors, here's the evidence in support and against it.
- 3849 Read this literature, make an informed decision in the
- 3850 privacy of a room with your patient assessing the risks and
- 3851 benefits. Doctors do that every day.
- 3852 Q So a lot of doctors, you would agree, early
- 3853 on, in like March, April, May, were prescribing

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3854 hydroxychloroquine?

3855 Some of my colleagues.

3856 Is that your understanding?

3857 Yes, some of my colleagues -- I heard from

friends and colleagues in academia who you would think 3858

would have access to most of the data. There was real fear 3859

out there and, yes, that was happening. 3860

3861 And you're not aware of any of these doctors Q

being all Republicans or all Democrats? I live in 3862

3863 Arlington, and this was happening in Arlington, so I think

3864 it's safe to assume most of them were Democrats. But

you're not aware of any --3865

Α No. 3866

3867 -- like political bias for or against

3868 hydroxychloroquine, are you?

3869 No, I am not. And I will just tell you, there

were governors and mayors who contacted me about the 3870

availability of the drug, and that was also bipartisan. 3871

3872 That at the time was not, seemed to be, a partisan issue at

the time. 3873

And if you're out there in America in, say, 3874

Seattle or where COVID happened earlier, would it almost be 3875

3876 malpractice not to look at all these options if your

patients are dying or being hospitalized? 3877

I'm really careful about the use of the term 3878 Α

158

3879 "malpractice." It depends on the local standard of care.

- 3880 Q Not malpractice in the legal sense.
- 3881 A I would say, in the conditional tense, a
- 3882 doctor should try to make him or herself aware of the
- 3883 literature regarding treatment of a disease that's for
- 3884 treating something as serious as this and examine all the
- 3885 possibilities of treatment. That's what a doctor would do,
- 3886 typically.
- 3887 Q Do you feel like -- or is it your -- or would
- 3888 you agree with the statement that -- so you said President
- 3889 Trump conveyed a sense that we needed to move quickly to
- 3890 make all medical products available to the American people
- 3891 and those that treat.
- 3892 There's been some reporting recently, and The Wall
- 3893 Street Journal I think did an op-ed, President Trump -- and
- 3894 you were probably engaged to a certain extent -- in
- 3895 Operation Warp Speed. So there was definitely an urgency
- 3896 in those early days. And we've seen Delta and Omicron, and
- 3897 really the sense of urgency probably should not have -- and
- 3898 I'm not saying it did. But do you think that there was
- 3899 sort of a downtick in the sense of urgency to develop, to
- 3900 make available more therapeutics?
- 3901 The Wall Street Journal published an editorial that
- 3902 said that, in recent years, we've engaged in Operation
- 3903 Snail Speed vis-à-vis therapeutics. Do you have any

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3904 knowledge of that?

3905 A So I have no knowledge of what's happening in

3906 the current administration. I can tell you that we, from

3907 the earliest days, March, developed our program at FDA to

3908 accelerate treatments for coronavirus. We thought it to be

3909 really important and we started with off-the-shelf drugs

3910 and assessment of those followed by the development of new

3911 drugs. So remdesivir was an example of an off-the-shelf

3912 drug, for example.

3913 So our foot was on the pedal the entire time about

3914 that because we realized that would be an issue. I can't

3915 really speak to the issue of what the priorities are now

3916 because I'm not involved in it.

3917 Q Okay. Going back to the last exhibit.

3918 Dr. Abernethy said, "I will send you thee slides on the

3919 Brazil study. Bottom line is that the dose of CQ

3920 rec" -- which I think CQ is chloroquine; is that right?

3921 A Yes.

3922 Q And rec I think probably means

3923 recommended -- "by Chinese led to increased deaths and

3924 cardiovascular events."

3925 A Yes.

3926 Q So it looks to me like the Chinese were doing

3927 testing around chloroquine. Is that your understanding of

3928 what she was saying?

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3929 A That was my understanding. And I believe

- 3930 there was even published literature.
- 3931 Q And it looks like it didn't work; is that
- **3932** right?
- 3933 A What Dr. Abernethy is referring to is a
- 3934 Brazilian study which compared two doses of a drug; one is
- 3935 a high dose and one is a low dose. And what I believe she
- 3936 is saying here is that the high dose was a dose recommended
- 3937 by the Chinese from their studies.
- 3938 Q Do you have any information related to -- have
- 3939 you ever dealt with the Chinese government on therapeutics?
- 3940 A No.
- 3941 Q So the Chinese recommended the high dose, and
- 3942 that didn't work?
- 3943 A And the Brazilians compared it, and what they
- 3944 saw associated with the high dose -- or, you know, I should
- 3945 say what the dose that was recommended by the Chinese in
- 3946 that study for the Brazil led to increased risk of
- 3947 cardiovascular deaths. So they stopped the trial.
- 3948 Q Thanks for clarifying that.
- 3949 BY [MINORITY COUNSEL].
- 3950 Q To clarify the answer to one of your answers
- 3951 from the Majority counsel. It was Dr. Janet Woodcock that
- 3952 issued the first EUA for hydroxychloroquine; is that
- 3953 correct?

3955 center, and therefore representing the FDA, issues the EUA.

Yeah. That's a really good question.

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3956 But Janet Woodcock was the center director, so she would

3957 have final signoff, and only under extraordinary

3958 circumstance would a commissioner reverse that.

3959 Q So Dr. Woodcock was final signature out the

3960 door?

3954

3961 A The responsible party.

3962 Q Did you evaluate her decision on that EUA?

3963 A I did. I spoke to her and I looked at the

3964 document, yes.

Α

3965 Q Do you know if Dr. Woodcock is someone that

3966 can easily cave to political pressure?

3967 A Dr. Woodcock is not someone who can easily

3968 cave to political pressure.

3969 Q And is she currently the acting commissioner

3970 for the FDA for the Biden administration?

3971 A I believe so, still. Yes.

[Minority Counsel]. Thank you.

3973 (Lunch recess.)

BY [MAJORITY COUNSEL].

3975 Q Dr. Hahn, before the break we were talking

3976 about hydroxychloroquine. I'd like to mark a new exhibit,

3977 mark as Exhibit 16 an April 11th, 2020 email from Patrizia

3978 Cavazzoni to you, Ms. Lenihan, and Dr. Woodcock, Bates

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numbered SSCC-0037720.

3979

In the email, Dr. Cavazzoni writes, "We discussed

3981 within the Center the question of whether the EUA could be

3982 expanded to include outpatients with COVID-19. This is

3983 something we don't support at this stage, due to the

3984 heightened risk of serious or fatal arrhythmias in the

3985 outpatient setting."

3986 (Exhibit No. 16 was identified for

3987 the record.)

3988 BY [MAJORITY COUNSEL].

3989 Q Dr. Hahn, do you recall discussing the concern

3990 of heightened risk of fatal arrhythmias in the outpatient

3991 setting from the use of hydroxychloroquine?

3992 A I recall the conversations around cardiac

3993 toxicity.

3994 O What was discussed?

3995 A It's a well-known effect of these drugs of

3996 something called QT prolongation, which is a precursor to

3997 abnormal heart rhythms, which can be serious. So it's

3998 something that was top of mind -- it should be for

3999 physicians, but certainly on the regulatory side -- that

4000 this is something that physicians should be aware of.

4001 Q Did you agree with Dr. Cavazzoni's assessment?

4002 A Yes, I did.

4003 Q And you shared her concerns about the

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4004 potential risks to using hydroxychloroquine in an 4005 outpatient setting? 4006 Α I'm sorry, what was the question, [Majority 4007 Counsell? 4008 0 Did you share her concerns about the potential 4009 risks to using hydroxychloroquine in an outpatient setting? 4010 With whom, [Majority Counsel]? I'm sorry. Α With Dr. Cavazzoni. 4011 Q It was from Dr. Cavazzoni. 4012 Α 4013 Did you agree with her? Did you also share Q 4014 her concerns about that risk? Oh, I'm sorry. Did I personally share those 4015 Α same concerns? 4016 4017 Correct. 0 4018 Α Yes. 4019 Were any steps taken with respect to expanding 4020 the EUA to cover outpatients at that time? 4021 Α We did not take steps to expand the EUA. 4022 [Majority Counsel]. I'd like to mark as Exhibit 17, it's a May 8th, 2020 email from you to Dr. Deborah Birx, 4023 Tyler Ann McGuffee, and Ms. Lenihan as recipients. For the 4024 record, it does not have a Bates number but the subject 4025 line is "Follow up discussion (5/8) 3:00 p.m. Ward Room." 4026

the record.)

4027

4028

(Exhibit No. 17 was identified for

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BY [MAJORITY COUNSEL].

4030 Q On the second page of this email, Ms. McGuffee

4031 wrote, "Dr. Birx is requesting to convene a follow-up

4032 discussion with principals and asked whether you and other

4033 doctors on the task force would be able to attend."

The two responses are largely redacted, but you'll

4035 see on the first page that Dr. Birx writes, "This was just

4036 to give us cover for the" -- redacted -- "discussion."

4037 Do you recall what this meeting was related to?

4038 A I do not. One clue is it sounds like Dr.

4039 Woodcock was given a dial-in number. Typically,

4040 Dr. Woodcock would be involved in discussions around

4041 monoclonals and antivirals.

4042 Q Okay.

4043 A But I can't tell you for sure. I just don't

4044 know.

4045 Q Do you recall what Dr. Birx may have been

4046 referring to when she said "this was just to give us

4047 cover"?

4048 A I don't. We had meetings a lot among the

4049 doctors and, you know, we discussed a wide range of topics.

4050 So it wasn't always labeled in the meeting subject what we

4051 were doing. So maybe she was referring to that. Again,

4052 it's speculation.

4053 Q Thank you. On April 24th, 2020, FDA issued a

165

4054 drug safety communication cautioning against the use of

4055 hydroxychloroquine or chloroquine for COVID-19 outside of

4056 the hospital setting or in a clinical trial due to risk of

4057 heart rhythm problems.

4058 Why was that issued?

4059 A [Majority Counsel], as I had mentioned, we

4060 were collecting real-world evidence. And this is very

4061 typical for the agency, but particularly COVID. Are we

4062 seeing safety signals? Are we seeing efficacy signals, as

4063 we discussed, regarding any drug either approved or

4064 authorized? So this was in line with that.

4065 And when we see something -- the agency has a lot of

4066 experience, because you have to ask the question, when does

4067 it raise the level of giving a warning to physicians? And

4068 the Center for Drug Evaluation and Research decided that it

4069 had reached that level and that we needed to tell

4070 physicians.

4071 Because the other part of this, the flip side is,

4072 [Majority Counsel], if you see one or two reports of

4073 something, it doesn't necessarily mean that it's a serious

4074 concern because it could be just related to something else.

4075 But when you start to see a pattern, that's when you need

4076 to tell folks. So we monitor on an ongoing basis to be

4077 able to do that.

4078 Q Were there discussions at that time about

4079 whether FDA should rescind the EUA for hydroxychloroquine?

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4080 A We continuously discuss the issue of what to

4081 do about the EUA. As you saw, the discussion about whether

4082 it should be expanded, the discussion about the safety

4083 alert. And then, of course, we were waiting for the

4084 results of randomized trials to maybe give us a sense of

4085 whether it should be modified.

4086 And, [Majority Counsel], I know I said this before,

4087 but for almost every EUA we were looking at new incoming

4088 data that would help modify potentially.

4089 Q Thank you. I'd like to direct your attention

4090 back to Exhibit 13, which was the March 28, 2020

4091 authorization for hydroxychloroquine and chloroquine.

4092 A Yes.

4093 Q First, just to clarify, you mentioned

4094 previously that this was decided by Dr. Woodcock.

Do you remember that testimony?

4096 A Yes.

4097 Q I'd like to direct your attention to the

4098 last -- second-to-last page of the document, which shows

4099 that it was signed by Denise Hinton. Does that refresh

4100 your recollection about who was ultimately the

4101 decisionmaker on this?

4102 A You mean does it change?

4103 Q Or does it change your recollection?

167

4104 A No, it doesn't. So this is an internal

- 4105 process and Admiral Hinton is our chief scientist, and all
- 4106 EUAs go through Admiral Hinton. Now, Admiral Hinton has
- 4107 the opportunity, I suppose, to either reject or accept it,
- 4108 but the decisionmaking -- and I don't believe that ever
- 4109 happened during the pandemic. But this is a process of how
- 4110 it goes through the Commissioner's office.
- 4111 So I totally stand by what I said about the fact that
- 4112 the decision was made by Dr. Woodcock in CDER.
- 4113 Q Thank you. As I think we mentioned
- 4114 previously, on June 15th, 2020, FDA revoked the emergency
- 4115 use authorization for hydroxychloroquine and chloroquine.
- 4116 How was that decision reached?
- 4117 A This is a deliberative process by the center,
- 4118 and it's -- I'm going to say it again, so I'm sorry to be
- 4119 redundant. But the incoming, all the data -- we look at
- 4120 the totality of evidence, including the randomized -- the
- 4121 recovery trial. And if it justifies and rises to the level
- 4122 of changing -- either changing the intended use or
- 4123 contraindications added or revocation, that's done.
- This -- and this is an example of a decision that is,
- 4125 again, made at the center level, but would be something
- 4126 that would be informed to the commission.
- 4127 Q What considerations were considered, for lack
- 4128 of a better word, in making that decision?

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4129 A So pretty straightforward. May be effective,

4130 risk-benefit ratio is in favor of it, no alternatives

4131 available.

And so in all three sort of situations here, a

4133 randomized trial that showed it wasn't efficacious, now

4134 some safety concerns that can be or may be not associated

4135 but probably are. And then the third one was we had other

4136 drugs for inpatients, which is remdesivir.

4137 Q Who was involved in that decision?

4138 A The revocation?

4139 Q Yes.

4140 A The center. I can't give you specific names,

4141 but that's a center-level decision.

4142 Q And so which center in particular?

4143 A Center for Drug Evaluation and Research. It

4144 might have been that Dr. Cavazzoni was now the interim

4145 head, but it would have been Dr. Cavazzoni or Dr. Woodcock

4146 if she was still the head of center. I don't remember the

4147 date of that transition.

Q Did you agree with the assessment or the

4149 decision to --

4150 A Yes, I did agree.

4151 Q I'm going to mark as Exhibit 18 a compilation

4152 of text messages between you and Colin Rom, which is Bates

4153 SSCC-0036729.

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4154 (Exhibit No. 18 was identified for 4155 the record.) 4156 The Witness. This is 18, correct? 4157 [Majority Counsel]. Eighteen, yes. BY [MAJORITY COUNSEL]. 4158 I'd like to direct your attention to the 4159 second-to-last page of the document, which is Bates 4160 4161 numbered ending in 825. On June 25, 2020, you wrote a text message to 4162 4163 Mr. Rom. And I apologize, the text is not entirely clear, 4164 but it appears to read, "I need you to work with Anand's team to create hydroxychloroquine TPs for the VP. Olivia 4165 requested it. I would like to review first." 4166 Who does Anand reference? 4167 Anand Shah was a deputy commissioner. 4168 4169 Do you understand, was Olivia a reference to 0 Olivia Troye? 4170 4171 Α Yes. What did you discuss with Ms. Troye? How were 4172 these talking points to be used? 4173 So I don't remember the specific conversation. 4174 Α But from a higher level, Olivia would contact me and say 4175 4176 the Vice President intends to either receive questions or 4177 talk about X. Could you, from the agency, provide talking

points?

4178

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4179 Which, I have to tell you, I really appreciated

- 4180 because Olivia and the Vice President really wanted to have
- accurate information to be able to communicate. 4181
- always readily availed ourselves of that request or 4182
- provided information in response. And typically, I wanted 4183
- to review it to make sure that it was accurate from my 4184
- perspective. 4185
- 4186 Do you recall what the scope or subject matter
- of the talking points were supposed to be? 4187
- 4188 Α I don't.
- 4189 Why would talking points be needed for the
- Vice President on hydroxychloroquine at this point in time, 4190
- ten days after the EUA would be revoked? 4191
- I really don't know. I don't remember. But, 4192
- 4193 [Majority Counsel], as you know, it was a media press
- 4194 conversation that continued. I mean, it continued really
- 4195 until fall, maybe beyond.
- 4196 After the EUA was revoked, were there
- 4197 continued discussions within the Trump administration about
- hydroxychloroquine? 4198
- 4199 Α Yes.
- You mentioned the conversations with 4200
- Dr. Navarro previously. Is that just one example? Were 4201
- 4202 there additional?
- I would have queries and discussions with 4203 Α

4204 hydroxychloroquine in a variety of venues; for example, my

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- 4205 regular meetings with the Secretary, other members of the
- 4206 task force. So, yeah, there were ongoing discussions about
- 4207 all sorts of therapeutics, hydroxychloroquine being one of
- 4208 them.
- 4209 Q Who do you specifically recall discussing
- 4210 hydroxychloroquine with?
- 4211 A I discussed it with the doctors. So Dr.
- 4212 Fauci, Redfield, and Birx. I kept them informed a lot
- 4213 because they're physicians and clearly interested in the
- 4214 therapeutic side.
- 4215 Q What did you specifically discuss with them?
- 4216 A I would give updates about what our
- 4217 decisionmaking was. So before we issued the revocation,
- 4218 and I knew it was coming, I let the docs know.
- 4219 Q Did the doctors on the task force agree with
- 4220 the decision to revoke the EUA?
- 4221 A I'm trying to recall the specific discussions.
- 4222 [Majority Counsel], I'll say it this way. Nobody
- 4223 disagreed. And sort of at a high level, yeah, I think
- 4224 there was consensus that it was the right thing to do.
- 4225 Q Is it fair to say that the doctors agreed with
- 4226 the assessment that hydroxychloroquine was not effective
- 4227 and had a risk to certain patients, a severe risk of heart
- 4228 arrhythmias and other issues?

4229 A I don't remember the specific details of the

4230 conversations. But in the context of the revocation, there

172

- 4231 was general agreement that it was the right thing to do.
- 4232 Q Apart from the doctors on the task force, who
- 4233 else did you discuss hydroxychloroquine with?
- 4234 A As I said, Dr. Navarro would be one. It would
- 4235 be brought up at the task force. There's a lot of people
- 4236 present, the Vice President, you know. I'm sure I
- 4237 discussed it with Olivia as well just the circumstances
- 4238 around it, because it was often clearly topical. It was
- 4239 big news.
- 4240 Q What do you recall discussing specifically
- 4241 with the Vice President?
- 4242 A I don't remember specific conversations other
- 4243 than in the context of the task force. And what would
- 4244 happen is that I would be asked to speak about why we took
- 4245 a certain action.
- 4246 Q Did you discuss hydroxychloroquine with
- 4247 President Trump after the revocation?
- 4248 A After the revocation, I did have discussions
- 4249 with President Trump about therapeutics, including
- 4250 hydroxychloroquine, after the revocation.
- 4251 Q What did you discuss?
- 4252 A Just in general, the data that we used to
- 4253 support our decision, my support of that decision, and that

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4254 we would continue to look at data.

- 4255 Q Did President Trump express any disagreements
- 4256 with the actions taken by the FDA?
- 4257 Mr. Barstow. I think that's where we're probably
- 4258 close to the line.
- 4259 Mr. Armstrong. That's really towards the line of
- 4260 asking about the specifics of the conversation. Could he
- 4261 respond generally in terms of the topic itself, if not the
- 4262 response from the President of the United States?
- 4263 [Majority Counsel]. To be clear, are you asserting a
- 4264 privilege?
- 4265 Mr. Armstrong. It's not my privilege to assert.
- 4266 Mr. Barstow. Yes, I'm instructing Dr. Hahn not to
- 4267 answer the question.
- 4268 [Majority Counsel]. Okay.
- 4269 BY [MAJORITY COUNSEL].
- 4270 Q In that case, Dr. Hahn, if there is a response
- 4271 that you can provide that will navigate the privilege lines
- 4272 that your counsel or Kevin have discussed with you, then,
- 4273 please, I'm happy to take anything that you can share with
- 4274 us.
- 4275 A [Majority Counsel], all of the discussions
- 4276 that we're referencing at the White House were along the
- 4277 lines of providing information about the basis for our
- 4278 decisions. Some people disagreed, some people didn't; some

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4279 people agreed as I mentioned. Our decision was our

- 4280 decision, and it was left at that.
- 4281 Q Did President Trump direct you to take any
- 4282 action with respect to hydroxychloroquine?
- 4283 (Discussion off the record.)
- The Witness. [Majority Counsel], no.
- 4285 BY [MAJORITY COUNSEL].
- **4286** Q No, he did not?
- 4287 A He did not.
- 4288 Q Are you withholding any information from your
- 4289 answer on the basis of privilege?
- 4290 A No. And can you restate your question so I
- 4291 can be completely sure here?
- 4292 Q My question was, did President Trump ask you
- 4293 to take any action with respect to hydroxychloroquine?
- 4294 A Okay. So the answer is no.
- 4295 Q After the EUA was revoked, are you aware
- 4296 whether any other administration officials continued to
- 4297 take action to promote hydroxychloroquine for use as a
- 4298 coronavirus treatment?
- 4299 A Well, I mentioned Dr. Navarro. I think that's
- 4300 a matter of the record that he did. And I mentioned also
- 4301 that he and I had repeated conversations.
- 4302 Q President Trump continued to promote
- 4303 hydroxychloroquine publicly, including re-tweeting messages

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4304 on July 28th, 2020 that touted the drug as a cure to the

- 4305 coronavirus crisis.
- 4306 Do you recall that?
- 4307 A I don't recall that specific tweet, but I do
- 4308 know that there were multiple references over time.
- 4309 Q Did you have any reaction to the references
- 4310 that President Trump made about hydroxychloroquine during
- 4311 this period?
- 4312 A Other than what I have told you, which is that
- 4313 I stood by our decision, no.
- 4314 Q President Trump also reportedly brought up
- 4315 hydroxychloroquine in an August 2020 phone call to NIH
- 4316 Director Francis Collins expressing his displeasure about
- 4317 the revocation of the EUA.
- Were you aware of that?
- 4319 A I don't remember that at all.
- 4320 Q Did you ever learn of that from discussions
- 4321 from Director Collins or anyone else?
- 4322 A [Majority Counsel], this is the first time
- 4323 that I can remember hearing about it. Perhaps it occurred,
- 4324 but I can tell you this is kind of news to me right now.
- 4325 Q Are you aware whether President Trump took any
- 4326 other actions to push for hydroxychloroquine in the summer
- **4327** or fall of 2020?
- 4328 A I'm not aware of any specific actions.

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4329 Q Earlier, you were discussing some of your

- 4330 interactions with Dr. Navarro with respect to
- 4331 hydroxychloroquine, which I believe you characterized as
- 4332 relentless and one-sided.
- 4333 You asked if -- and I'm characterizing this because I
- 4334 don't have the language in front of me. But I believe it
- 4335 might have been characterized as a sort of academic debate.
- 4336 Did you agree with that characterization?
- 4337 A So, in part, in that it was an exchange over
- 4338 interpretation of data. So that is a sort of classic
- 4339 academic discussion. We came to different conclusions of
- 4340 the data in front of us.
- 4341 Q Does Dr. Navarro have a scientific background?
- 4342 A I believe Dr. Navarro's Ph.D. is in economics.
- 4343 I believe, I don't know. Other than that, I don't know.
- 4344 Q Was he a physician?
- 4345 A I do not believe Dr. Navarro is a physician.
- 4346 Q Are you familiar with Steven Hatfill, who was
- 4347 a medical adviser on Dr. Navarro's team?
- 4348 A No. I mean, I might have heard the name. I
- 4349 don't recall anything now.
- 4350 [Majority Counsel]. I am going to mark as Exhibit
- 4351 19, a September 22nd, 2020 letter from Dr. Hatfill to Mark
- 4352 Meadows.
- 4353 (Exhibit No. 19 was identified for

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the record.)

BY [MAJORITY COUNSEL].

4356 Q Have you ever seen a copy of this letter

4357 Dr. Hahn?

4358 A I have not.

4359 [Majority Counsel]. For the record, this is Bates

4360 numbered GWU-0001135.

4361 BY [MAJORITY COUNSEL].

4362 Q In this letter, Dr. Hatfill criticized FDA and

4363 the COVID-19 treatment panel for keeping early infected

4364 patients quarantined at home without treatment until they

4365 became so ill that they had to be admitted to a hospital.

4366 Once in hospital, they would be given HCQ, which would not

4367 work well because the patients were now too ill.

4368 Is this a critique that you had heard previously from

4369 Dr. Navarro or others?

4370 A No.

Okay, [Majority Counsel], let me be clear. So I had

4372 heard critiques about how our failure to keep the

4373 authorization and expand it to outpatient setting had led

4374 to people's deaths. That I had heard, that criticism.

4375 What I had not heard is the statement about keeping people

4376 at home.

4377 Q Thank you. Do you recall if there was

4378 discussion about providing hydroxychloroguine to people in

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4379 a widespread manner for prophylactic use?

- 4380 A I understood that there were a number of
- 4381 clinical trials that were being looked at in the
- 4382 postexposure and preexposure setting, and off-label.
- 4383 Q Are you aware if there was data at this time
- 4384 with respect to the efficacy of early use of
- 4385 hydroxychloroquine?
- 4386 A There were uncontrolled data that suggested
- 4387 that it might be a benefit. And, theoretically, it's not a
- 4388 far leap to say that a drug that has a small effect size
- 4389 could actually be better when the burden of disease or the
- 4390 burden of virus is lower. It's a very reasonable
- 4391 hypothesis to test.
- 4392 And one other part of this that I was consistently
- 4393 having, FDA doesn't regulate the practice of medicine. If
- 4394 a physician decided to give this in that setting, in the
- 4395 preexposure or postexposure or early disease setting,
- 4396 that's a decision that a physician needs to make. Now, I
- 4397 want them to understand all the risks and benefits so they
- 4398 can advise their patient.
- 4399 Q The letter continues, "The President has been
- 4400 grossly misadvised by the COVID Task Force on the proper
- 4401 pandemic response to COVID-19."
- It then continues, number 1: "Two members of the
- 4403 COVID-19 Task Force (Drs. Fauci and Hahn) need to be

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4404 urgently replaced."

Were you aware that Dr. Hatfill had advocated for you

4406 and Dr. Fauci to be replaced?

4407 A I had not.

4408 Q Did you ever hear whether Dr. Navarro shared

4409 those views?

4410 A I had not heard.

Q Did you ever hear of anyone else at the White

4412 House advocating for you to be removed from the White House

4413 Coronavirus Task Force?

A Not that I remember.

4415 Q What about outside of the task force?

4416 A I don't remember any circumstance where that

4417 was the case. It could have been.

4418 Q Finally, on page 2, the letter states, "The US

4419 COVID-19 strategy must be changed to a focused,

4420 community-outreach approach involving the outpatient and

4421 prophylactic use of hydroxychloroquine with Zinc

4422 supplementation. The focus is on the early treatment of

4423 COVID outpatients with their close contacts."

In the subsequent paragraphs, he advocates for

4425 setting up community health centers, help lines, and other

4426 resources to help educate, promote, and distribute

4427 hydroxychloroquine in communities.

4428 Mr. Armstrong. Where does it say this in the letter?

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4429 I apologize.

- 4430 [Majority Counsel]. Bullets 2 through probably 5.
- 4431 Mr. Armstrong. Thank you.
- BY [MAJORITY COUNSEL].
- 4433 Q Are you aware whether there was ever
- 4434 consideration at the White House for advocating for
- 4435 widespread prophylactic use of hydroxychloroquine?
- 4436 A I'm not aware.
- 4437 Q Are you aware if any actions were taken based
- 4438 on Dr. Hatfill's recommendations in this letter?
- 4439 A I'm not aware.
- 4440 Q When did you become aware that convalescent
- 4441 plasma was being evaluated as a potential coronavirus
- 4442 treatment?
- 4443 A Early on in the pandemic. I became aware in
- 4444 March when Peter Marks and I discussed this. But, really,
- 4445 in the earliest parts of the pandemic the Chinese, for
- 4446 example, had been studying plasma as early as February, I
- 4447 believe.
- 4448 Q How did it come to your attention?
- 4449 A So plasma has been used to treat infectious
- 4450 disease, I believe, for close to 100 years. And it makes
- 4451 sense, because convalescent plasma contains antibodies from
- 4452 natural infection and it is in general very safe. So it's
- 4453 a natural therapeutic to look at. And we were very

4454 interested in pursuing this as a relatively -- or I should

- 4455 say, a potentially effective therapeutic for COVID-19.
- And in fact, we really tried to encourage the
- 4457 academic community to perform randomized clinical trials.
- 4458 That effort failed at least initially, and so we initiated
- 4459 with the Mayo Clinic what's called an expanded access
- 4460 program where we made it available to physicians around the
- 4461 country under this expanded access program as an
- 4462 investigational, and then data were collected to look at
- 4463 outcomes. It was our way of trying to get real-world
- 4464 evidence around the use of plasma.
- 4465 Q Were you involved in the decisionmaking
- 4466 process for granting an EUA for convalescent plasma?
- 4467 A The would have continued at CBER, Center for
- 4468 Biological Evaluation Research, as CDER was for
- 4469 hydroxychloroquine. That decision was made at the center
- 4470 level, but I was very closely involved in the discussions
- 4471 with Dr. Marks.
- Q What did you discuss with Dr. Marks?
- 4473 A From the beginning, we discussed what kind of
- 4474 evidence would be needed. Dr. Marks also discussed this
- 4475 with Dr. Woodcock. And so we had multiple discussions
- 4476 about what evidence would fulfill the statutory
- 4477 requirements for an EUA.
- 4478 And because we weren't likely to get a result from a

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- randomized clinical trial soon, the center focused on 4480 making sure that, as early as possible, we could get a read
- 4481 on this from the expanded access program.
- 4482 You mentioned Dr. Marks and Dr. Woodcock.
- Were others involved in the discussions around a decision 4483
- to grant an EUA for convalescent plasma? 4484
- Within the agency? 4485 Α

- Starting within the agency. 4486 Q
- 4487 Α So there would have been a whole team, just
- 4488 like with hydroxychloroquine, probably Keagan Lenihan was
- 4489 involved. I'm sure someone from the Office of the Chief
- Counsel was involved, and certainly the center. 4490
- Now, the Commissioner and the Commissioner's office 4491
- 4492 would not typically -- and we weren't from that I
- remember -- involved in the center level review of data and 4493
- 4494 That's sort of kept there. That's discussion.
- communicated up the chain of command. So that is 4495
- 4496 typically -- that is what occurred, excuse me, for plasma.
- 4497 What about outside of FDA?
- Plasma generated a great interest on the task 4498 Α
- 4499 force and specifically among the doctors. So Drs. Fauci,
- Redfield, and Birx, Dr. Giroir, Dr. Kadlec. 4500
- Were there others in the White House that were 4501
- focused on convalescent plasma? 4502
- 4503 Α There were a number of people -- I'm blanking

- 4504 on names -- but there were a number of people in
- 4505 Mr. Kushner's office who were very interested in this. And

- 4506 we had -- Dr. Marks and I had multiple discussions about
- 4507 it.
- I quess, first, did you discuss this with 4508
- Mr. Kushner specifically or just --4509
- I don't think so. The folks who worked around 4510 Α
- him, yes, for sure. I could be not remembering a 4511
- conversation, but I do not think we did. 4512
- 4513 You said that there were a number of people in Q
- 4514 Mr. Kushner's office who were interested in this. What did
- you discuss with them? 4515
- Whether the data -- the questions were usually 4516
- 4517 straightforward. Do the data support that it may be
- effective? Do we think it's safe? What's the 4518
- 4519 availability?
- 4520 So, you can give plasma by intravenous injection kind
- 4521 of like a blood packet, but you can also concentrate it and
- give it as a shot, which would be used potentially as a 4522
- prophylactic or a treatment, much easier to distribute than 4523
- this. The question of whether it should be given in the 4524
- inpatient or outpatient setting, because it's more 4525
- 4526 difficult to give in the outpatient setting, those were the
- sort of medical discussions that we had. 4527
- 4528 Q Was there any discussion about the timeline

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- 4529 for possible approval of an EUA?
- 4530 A Yes.
- 4531 Q With Mr. Kushner's staff, specifically?
- 4532 A Ultimately with Mr. Kushner's staff. But
- 4533 early on it was mostly focused, as I remember, at the task
- **4534** force.
- 4535 Q Did you discuss the decision on convalescent
- 4536 plasma -- strike that.
- 4537 Did you have discussions about convalescent plasma
- 4538 and the possible decision of granting an EUA with
- 4539 individuals at HHS?
- 4540 A [Majority Counsel], just to be clear, are you
- 4541 asking about within the Secretary's office or --
- 4542 Q I was thinking agency-wide, not just the
- 4543 Secretary's office.
- 4544 A Okay. So, yes, discussions with Dr. Kadlec
- 4545 because ultimately it was BARDA that requested the EUA, so
- 4546 that would be a natural discussion. I had discussions with
- 4547 Dr. Fauci from NIAID, and Dr. Collins from NIH,
- 4548 Dr. Redfield and Dr. Giroir. That's what I remember at
- 4549 this point.
- 4550 (Exhibit No. 20 was identified for
- 4551 the record.)
- 4552 BY [MAJORITY COUNSEL].
- 4553 Q I'd like to show you what's been marked as

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4554 Exhibit 20. For the record, this is an August 19, 2020

- 4555 email from Paul Alexander to you as well as a number of
- 4556 other individuals, and it is Bates numbered SSCC-0015402.
- 4557 On August 19, 2020, Dr. Alexander wrote, "Hi Dr. Hahn
- 4558 and Anand, see this table as per discussion today."
- 4559 Do you recall having a discussion with Dr. Alexander
- 4560 about convalescent plasma?
- 4561 A I don't remember a specific discussion. It
- 4562 could have occurred, [Majority Counsel].
- 4563 Q Do you recall meeting Dr. Alexander?
- 4564 A Oh, yes.
- 4565 Q How did you get introduced to Dr. Alexander?
- 4566 A At HHS, through Mr. Caputo's office.
- 4567 Q Did you have discussions with Dr. Alexander?
- 4568 A Yes, we certainly had discussions about COVID
- 4569 in general, evidence generation. He had a real interest,
- 4570 as mentioned here, in what levels of evidence would be
- 4571 necessary to support decisions by doctors and the academic
- 4572 community, for example.
- 4573 Q You said you discussed COVID generally. What
- 4574 did you discuss with Dr. Alexander?
- 4575 A In general, therapeutics, diagnostics. Just a
- 4576 general discussion around COVID-19.
- 4577 Q In the email, Dr. Alexander continues on,
- 4578 "Michael and Wolf, this was the evidence I was referring

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4579 to, it's the current 18 studies on convalescent."

4580 He also says, "I share this to help give us cover in

4581 our decisions. It is to me" -- "It to me is

4582 well-positioned. My view is that CP should be used and is

4583 showing to be safe."

Did you ask him to perform this analysis?

4585 A No.

4586 Q Did you discuss this analysis with him?

4587 A I don't remember. I don't believe so, but I

4588 really don't remember, [Majority Counsel].

4589 Q What was your understanding of what he meant

4590 by that the analysis was "to help give us cover in our

4591 decisions"?

4592 A I'm not sure of what Dr. Alexander meant from

4593 cover from decisions. In general, what Dr. Alexander

4594 wanted to do was to review the data and, as we talked about

4595 before, level of evidence. He refers to bias in here in

4596 studies, and sort of come to some conclusions about whether

4597 studies would have bias or not that might affect how we

4598 make decisions.

4599 Q Do you recall reviewing this?

4600 A Do I remember looking at it?

4601 Q Yes.

4602 A No, I don't specifically remember looking at

4603 it. It jogs my memory a bit that I did receive this, but I

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4604 don't remember the details of reviewing it, [Majority

- **4605** Counsel].
- 4606 Q Did you use this analysis in any way?
- 4607 A For agency decisions?
- 4608 Q In general, in any way.
- 4609 A No. I mean, I suppose other than looking at
- 4610 it. But in terms of how we made decisions, no.
- 4611 Q Do you recall providing his analysis to
- 4612 anyone?
- 4613 A I don't remember that, [Majority Counsel], at
- **4614** all.
- 4615 Q Did Dr. Alexander play any other role with
- 4616 respect to evaluating or authorizing convalescent plasma?
- 4617 A So I want to be really clear about this.
- 4618 These decisions, again, are made at the center level. And
- 4619 although we always would listen to outside input, the
- 4620 decisions are clearly made based upon our review of the
- 4621 data by the reviewers and the center director. And that is
- 4622 true here.
- 4623 Q Did you have any other conversations or did
- 4624 you receive communications from Dr. Alexander with respect
- 4625 to convalescent plasma?
- 4626 A I don't remember. But I did receive multiple
- 4627 communications about a variety of subjects, COVID related,
- 4628 of course.

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4629 Q Approximately how many times do you believe 4630 that you met with Dr. Alexander during your time at FDA? 4631 Face to face? 4632 0 Yes. A handful I'm quessing. But my quess is it's Α 4633 less than five. 4634 What about phone calls? 4635 Q 4636 Not often. And I just don't remember exactly Α the number of calls, but it wasn't very often. 4637 4638 Less than five or more than five? Q 4639 Mr. Armstrong. Don't guess. The Witness. I don't remember, sorry. 4640 BY [MAJORITY COUNSEL]. 4641 4642 Can you tell us more about the specific You mentioned COVID generally, you mentioned his 4643 topics? 4644 research interest around how studies are conducted. other topics did you discuss with Dr. Alexander? 4645 4646 I don't remember specifics, but I think, broadly stated, most of it focused on therapeutics. 4647 Which therapeutics? 4648 Q As I said, I can't recall the specifics. 4649 Do you recall whether Dr. Alexander advocated 4650 Q 4651 for any particular actions with respect to therapeutics? Dr. Alexander clearly had his opinion about 4652 Α

actions that we should take, just like half of the members

4654 of Congress and the White House. It was nothing other than

- 4655 the usual from what we were hearing, [Majority Counsel], I
- 4656 mean, literally every day.
- 4657 Q What were the opinions that Dr. Alexander
- 4658 shared with you?
- 4659 A So, again, I can't talk about specifics. But
- 4660 this would be sort of the -- this wasn't necessarily
- 4661 opinion, but what I'm intimating or guessing from this is
- 4662 that he's suggesting that these would be data that support
- 4663 a positive decision for issuance of an EUA for plasma.
- 4664 Q What actions did Alexander
- 4665 suggest -- Dr. Alexander suggest that should be taken with
- 4666 respect to the pandemic more broadly?
- 4667 A I don't remember any specific actions that he
- 4668 recommended we take, other than these are the data, they
- 4669 might support the use of X or Y. But in terms of
- 4670 saying -- if that's what you're asking, did he say the FDA
- 4671 should authorize blank or you should take this specific
- 4672 action, I don't remember any circumstances where he did
- 4673 that.
- 4674 Q Understanding that it's been a while, but how
- 4675 did these meetings with Dr. Alexander come to take place?
- 4676 Would they have been scheduled in advance?
- 4677 A I don't believe so. Typically, I believe it's
- 4678 if I was at HHS for the day and I was meeting with other

4679 people, particularly if I went down to Mr. Caputo's office,

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4680 he would be there or he would be brought into a meeting

- 4681 from somewhere else.
- 4682 Q Did you regularly meet with Mr. Caputo?
- 4683 A Not -- I mean, it depends on what you mean by
- 4684 regularly. All of our comms went through HHS. So I had
- 4685 discussions because comms were really important. And that
- 4686 was the gatekeeping for -- or that office was the
- 4687 gatekeeper for our communications.
- 4688 Q What do you mean that that office was the
- 4689 gatekeeper for communications?
- 4690 A So I think as occurs in every administration,
- 4691 although by no means am I an expert, formal communications
- 4692 from the agency go through a chain of command that
- 4693 certainly would involve HHS in that office and sometimes
- 4694 the White House.
- 4695 Q Was FDA required to provide public messaging
- 4696 or other communications to Mr. Caputo for approval before
- 4697 release?
- 4698 A We were required to go through the chain of
- 4699 command, which was through that office. I don't know if it
- 4700 went specifically to Mr. Caputo, but they were required to
- 4701 go through that office. That was standard procedure from
- 4702 the beginning.
- 4703 Q And was that with respect to particular types

191

4704 of public communications or was it with respect to

- 4705 everything?
- 4706 A [Majority Counsel], even when Mr. Caputo
- 4707 wasn't there, I believe it was for all of them.
- 4708 Q Press releases?
- 4709 A Press releases, you got it.
- 4710 Q Interview requests?
- 4711 A Interview requests.
- 4712 Q Public briefings or other public events?
- 4713 A You know, I don't know the details there, but
- 4714 my guess is yes. If I was asked to speak at an event, my
- 4715 quess is that there was HHS signoff on that. I don't
- 4716 specifically know that.
- 4717 Q Did Mr. Caputo's office ever make substantive
- 4718 changes to public messaging or other communications that
- 4719 FDA sought to release?
- 4720 A Not that I remember, but there's some
- 4721 circumstances that I wouldn't be involved in the details of
- 4722 that.
- What I can tell you is I reviewed everything before I
- 4724 said something. And also, sort of every night I would get,
- 4725 this is our press release on X. So I would be aware of
- 4726 that.
- 4727 Q Who at FDA would have knowledge of whether Mr.
- 4728 Caputo's office ever tried to make substantive changes to

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4729 public messaging or other communications?

4730 A We had an Office of Media Affairs, OMA, and

4731 they would be the folks who would. And then there was

4732 another group in charge of OMA that would be responsible

4733 for a broader set of communications. When I left, it was

4734 Michael Felberbaum.

4735 Q Was someone else in charge at other points

4736 during the year?

4737 A Yes.

4738 Q Who?

4739 A Oh, gosh. I'd have to have something jog my

4740 memory, I'm sorry.

4741 Q Of course. Did Mr. Caputo's office ever block

4742 or refuse to permit FDA to release some sort of public

4743 messaging or other communication that FDA was seeking to

4744 release?

4745 A Just, in general, HHS had veto power over

4746 things like interview requests, public releases, et cetera.

4747 That wasn't just on the comms side, that was also on the

4748 legislative side. That was the way the system worked.

4749 Q And did HHS utilize that veto power?

4750 A Yes.

4751 Q When?

4752 A I mean, there were a variety of circumstances

4753 where that occurred. Also, one that I can think of right

4754 now is you showed me this timeline that Senator Alexander

4755 asked for, and we were told we couldn't provide that to the

193

4756 committee.

4757 Q Who told you that?

4758 A Directly from HHS. I don't know who

4759 specifically.

4760 Q What -- do you recall what was discussed?

4761 A No, I don't.

4762 Q Did you receive any reason why that

4763 information could not be provided?

4764 A No, I did not.

4765 Q Did anyone express any concerns about

4766 withholding that information from Congress?

4767 A I did.

4768 Q What did you say?

4769 A I mean, you know, Senator Alexander, who is

4770 chair of our authorizing committee and oversight committee,

4771 asked for a document that I thought was relevant to

4772 COVID-19. We were happy to provide it. So I thought it

4773 was important knowledge for people to have.

4774 Q Were you ever permitted to share that

4775 information with Senator Alexander?

4776 A Not to my knowledge.

4777 Q You mentioned that that was one example. Do

4778 other examples come to mind?

4779 A If I put more time into thinking, perhaps

4780 something would come up. But often -- for example, I would

- 4781 get an interview request, and there was pretty tight
- 4782 control of cycles that folks were or were not allowed to
- 4783 talk to certain press. So there were those circumstances.
- 4784 I can't give you specifics, but that's another thing that
- 4785 comes to mind.
- 4786 Q When you say certain press, were there certain
- 4787 outlets?
- 4788 A National media versus local versus talk radio,
- 4789 those sorts of things.
- 4790 Q Okay. Were there certain topic areas that
- 4791 were --
- 4792 A There didn't seem to be a pattern.
- 4793 Q It has been widely reported that HHS blocked
- 4794 CDC from issuing some public communications during the
- 4795 pandemic, including public briefings. Did you experience
- 4796 the same thing at FDA?
- 4797 A I can't recall if that occurred in terms of
- 4798 public briefings.
- 4799 Q We were talking specifically about HHS. Did
- 4800 the White House ever similarly veto or block public
- 4801 messaging or public communications that FDA sought to have?
- 4802 A Not that I'm aware of specifically around
- 4803 public messaging or communication.

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Q Thinking back as to your communications with Dr. Alexander -- and I apologize, I don't have the exact

4806 words that you said, but I believe you expressed something

4807 that Dr. Alexander had views.

4808 What did you mean by that?

4809 A Well, the way I interpreted it, [Majority

4810 Counsel], is points of views that another physician would

4811 have about a set of data and circumstances. And as you

4812 probably saw me not in the Twitter sphere as well as in

4813 published data, physicians around the country had a lot of

4814 opinions about COVID response, therapeutics, diagnostics,

4815 et cetera. So I really saw it in that context.

4816 Q Did you agree with Dr. Alexander's opinions?

4817 A Not always, no.

4818 Q What did you disagree with him about?

4819 A I mean, I don't have specifics here, [Majority

4820 Counsel], for you, but conclusions drawn from the data.

4821 There were probably -- and I'm saying probably, because I

4822 don't have the specific circumstances where I did not agree

4823 with the conclusions drawn.

4824 Q Okay. Thank you. It has been widely reported

4825 that in August 2020, President Trump called Director

4826 Collins and accused NIH of moving too slowly to approve the

4827 vaccine or therapeutics, including convalescent plasma.

4828 Have you ever heard this?

196

4829 A I've heard reports of a meeting, not

- 4830 necessarily the subject that you're describing.
- 4831 Q Just to be clear, did you hear this just from
- 4832 what was in the press, or did you learn it from someplace
- **4833** else?
- 4834 A I learned it from people in the
- 4835 administration.
- 4836 Q What did you hear?
- 4837 A That there was a meeting with Dr. Collins
- 4838 around NIH's objection to FDA's process and decisionmaking
- 4839 around convalescent plasma.
- 4840 Q Who did you learn this from?
- 4841 A Members of the White House. I'm trying to
- 4842 think who I heard it from. It might have even been from
- 4843 Dr. Collins. We had a meeting at the White House about
- 4844 plasma, the data that we needed, this time schedule, et
- 4845 cetera. It was a multidisciplinary meeting. I believe it
- 4846 was at that meeting that I heard from Dr. Collins that a
- 4847 meeting took place.
- 4848 Again, [Majority Counsel], I'm recalling from a
- 4849 year-and-a-half ago and I'm doing my absolute best.
- 4850 Q I appreciate that. Thank you. Did you ever
- 4851 learn what President Trump said to Dr. Collins during that
- 4852 meeting?
- 4853 A Not the specifics, no. Other than expressing

197

4854 dismay over NIH potentially putting up roadblocks, if you

4855 will, to decisionmaking on the regulatory side. That's

4856 what I had heard. Whether that happened, that is totally

4857 second- and thirdhand.

4858 Q According to the book Nightmare Scenario,

4859 President Trump stated to Dr. Collins, "My polling numbers

4860 are looking really good, but you doctors are killing me.

4861 We've got to have the data on Friday or it doesn't matter."

4862 Had you ever heard this?

4863 A No.

4864 Q Were you aware of any discussions with

4865 President Trump or members of the administration regarding

4866 the need to authorize convalescent plasma or another

4867 treatment or vaccine prior to the Republican National

4868 Convention?

4869 A This is the first that I've heard that,

4870 [Majority Counsel].

4871 [Majority Counsel]. I'm going to mark as Exhibit 21,

4872 an August 22nd, 2020 tweet from President Trump.

4873 (Exhibit No. 21 was identified for

the record.)

BY [MAJORITY COUNSEL].

4876 Q I apologize, it was harder to find a complete

4877 image graph for some of these than others.

Dr. Hahn, do you recall this tweet?

198

4879 Α I do.

4880 In the tweet, President Trump wrote, "The deep 0

state, or whoever, over at the FDA is making it very 4881

difficult for drug companies to get people in order to test 4882

the vaccines and therapeutics. Obviously, they are hoping 4883

to delay the answer until after November 3rd. Must focus 4884

on speed, and saving lives!" 4885

What was your reaction to this tweet, Dr. Hahn? 4886

I was disappointed in it. I thought that 4887 Α

perhaps some clarification needed to be put in front of the 4888

4889 President, because we -- FDA doesn't control who gets put

4890 in clinical trials to test vaccines and therapeutics.

That's not our role. And I really wanted to understand 4891

4892 what the President's concerns were regarding this. But

4893 that was sort of my response to this.

4894 Did you have any discussions about it with

4895 President Trump?

(Discussion off the record.) 4896

4897 The Witness. Okay. Repeat, I'm sorry, moving from

thing to thing here. 4898

BY [MAJORITY COUNSEL]. 4899

Did you have any discussions about this tweet 4900

4901 with President Trump?

4902 Α Yes.

4903 Q What did you discuss?

199

4904 A So at a general level, we discussed -- I

4905 inquired about what was meant by it. I discussed what

4906 FDA's role is. And we had a general discussion about our

4907 approach to -- you know, to medical product approval.

4908 O How would you characterize the tenor of that

4909 conversation?

4910 A Very cordial.

4911 Q Did you provide your explanation about what

4912 FDA's role was with respect to clinical trials to the

4913 President?

4914 A I did. I explained in general how FDA

4915 approaches it.

4916 Q What else did you discuss with the President?

4917 (Discussion off the record.)

4918 The Witness. I gave the President an update on

4919 convalescent plasma.

4920 BY [MAJORITY COUNSEL].

4921 Q What did you tell him?

4922 A I talked about our process regarding this

4923 and -- I don't remember the specifics, but we either were

4924 nearing a decision or had made a decision.

4925 Q Did President Trump give you any order or

4926 directive at that meeting?

4927 A No.

4928 Q When did this meeting happen?

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4929 It was not a meeting, [Majority Counsel]. 4930 Sorry, just to be clear, it was a phone call. And it was 4931 the day -- I think this was August 22nd, and 23rd was the 4932 press conference with the plasma, I believe. So this 4933 happened on Saturday the 22nd. Do you recall approximately what time of the 4934 Q day the telephone call happened? 4935 I believe it was afternoon. 4936 Α 4937 Did you seek to talk to the President? Q 4938 Α Yes, I did. 4939 Q Who did you communicate with to set that up? I believe I -- and, again, I'm guessing here. 4940 Α I believe I called the White House operator. 4941 Did you discuss -- did you discuss this tweet 4942 with anyone else in the White House? 4943 4944 Α I did. 4945 Q Who? 4946 Α Mr. Short. 4947 What did you discuss? Q 4948 I asked for his advice on how to handle this. Α What did Mr. Short say? 4949 Q He said I should talk to the President 4950 Α 4951 directly. 4952 Q Did you express any concerns to Mr. Short?

[Majority Counsel], what do you mean by

4953

Α

HVC028550

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4954 concerns?

4955 Q You can interpret concerns however you see

4956 fit.

4957 Okay. Α

What does that word mean to you? 4958 Q

4959 Α Okay. You'd make a great doctor, flip it back

to the patient. 4960

(Discussion off the record.) 4961

The Witness. [Majority Counsel] -- and I was going 4962

4963 to say this before this sidebar. But they were basically

4964 the same concerns I had. So I expressed -- you asked what

was my reaction. I discussed that with Mark and said 4965

what's your advice? Because I feel like I need to clarify. 4966

BY [MAJORITY COUNSEL]. 4967

What was Mr. Short's reaction? 4968

4969 He said I think you should talk to the

4970 President directly.

4971 Q Did Mr. Short give you any directive --

4972 Α No.

-- apart from that? 4973 Q

4974 Α No.

Did you talk to anyone else at the White 4975 Q

4976 House?

I don't believe so, [Majority Counsel]. 4977 Α

What about at HHS? 4978 Q

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4979 Α No, I don't believe so, there either. 4980 Did you take any action as a result of this Q 4981 tweet? 4982 [Majority Counsel], if you're asking the question was there regulatory action that we took as a 4983 result of the President's tweet, the answer is no. 4984 What about anything other than regulatory 4985 4986 action? There was -- I mean, I have no knowledge of 4987 Α anything that I or others at the FDA did that was a cause 4988 4989 and effect from this, other than the call, sorry. Just for the record, is there any information 4990 that you're holding back on the basis of privilege for any 4991 4992 of these answers? Other than specifics of the conversation, 4993 4994 which I don't have a complete recollection of anyway, but no, I'm not holding back on the broad issues related to it. 4995 4996 Approximately how long was your phone call 4997 with President Trump? I don't remember the exact time, but minutes. 4998 Α 4999 In your opinion, was there any validity to the statement that the President made in his tweet or 5000 5001 statements?

Well, the President was expressing an opinion,

it seems to me, and perhaps there was information he had

5002

5004 received which wasn't accurate. So I wouldn't characterize

203

5005 it as incorrect. I would characterize it as that my

5006 impression was that maybe he didn't have the full facts

5007 associated with our processes, and it was important for me

5008 to give him that information.

5009 Q Did you believe that there was a deep state at

5010 FDA that was making it difficult for drug companies?

5011 You already answered whether they had any role in

5012 testing vaccines or getting people to test vaccines and

5013 therapeutics. But more broadly, did you believe that there

5014 was a deep state at FDA that was making it difficult for

5015 drug companies to do anything?

5016 A No.

5017 Q Was anyone at FDA taking steps to delay an

5018 answer on vaccines and therapeutics until after November

5019 3rd?

5020 A I don't know the answer to that question.

5021 Q Were you hoping to delay the answer on the

5022 therapeutics and vaccines until after November 3rd?

5023 A Absolutely not.

5024 Q Are you aware whether anyone else received

5025 calls about the need to authorize -- strike that.

5026 On August 23rd, 2020, FDA granted the emergency use

5027 authorization for convalescent plasma. Who made the

5028 ultimate decision to authorize the EUA?

204

5029 A Dr. Marks and the review team at the Center

5030 for Biological Evaluation Research, CBER.

5031 Q When was that decision made?

5032 A That weekend.

5033 Q Do you recall what day?

5034 A Dr. Marks had communicated to me maybe even

5035 the week before that they had come to this conclusion.

5036 They were reanalyzing data as it came in just to have as

5037 complete of an accurate picture as possible. But the

5038 decision to proceed had mostly been made pending this

5039 additional review, and it was coming in on a regular basis.

5040 So I remember talking to Dr. Marks on Friday and

5041 Saturday, and it had been pretty much decided at that point

5042 that the EUA be issued.

5043 Q You said it was pretty much decided. Was

5044 there any aspect that was still contingent or wasn't

5045 finalized at that time?

5046 A Just final review of the data. The

5047 data -- [Majority Counsel], the term is "cleaned up." We

5048 needed to make sure that there was QA, quality assurance

5049 and quality control over the data, and that we were

5050 understanding that. And that just takes some time.

And what FDA does is sift through all of the lines of

5052 data. So really it was just to be sure that we made the

5053 absolutely best decision.

205

 $\ensuremath{\mathsf{5054}}$ Q Do you recall when the final decision was made

5055 to issue the EUA?

5056 A I believe it was Saturday evening or Sunday

5057 morning.

5058 O So is this after the tweet from President

5059 Trump and after your phone call?

5060 A I believe so.

5061 [Majority Counsel]. We are at time, so we can go off

5062 the record.

5063 (Recess.)

BY [MINORITY COUNSEL].

5065 Q Majority counsel left off with the decision to

5066 give an EUA for convalescent plasma took place after the

5067 tweet. But to be clear, it was not because of the tweet?

5068 A Yeah. Let me be really clear about this,

5069 [Minority Counsel], it was not because of the tweet. As I

5070 mentioned, the week before -- I mean, I had multiple

5071 discussions with Peter Marks about this. And, you know,

5072 it's not signed until it's signed. But we had decided, as

5073 I said, that we were going to issue the EUA; that we had

5074 met the statutory requirements. So we were crossing some

5075 Ts and dotting some I's, yes.

5076 But we -- and, as I told you, I was speaking to

5077 Dr. Marks on a regular basis and we made that

5078 decision -- or he had made that decision, he and his team.

206

5079 Q So the timing of the tweet, Exhibit 21, and 5080 the timing of the EUA for convalescent plasma are not

5081 related whatsoever?

They were not related whatsoever.

[Minority Counsel]. Thank you. That's all we have.

[Majority Counsel]. I'm going to mark as Exhibit 22

5085 an August 23rd, 2020 email that you wrote to Dr. Marks

5086 copying a number of other individuals. It does not have a

5087 Bates number, but the subject line is EUA

5088 Update - Confidential and Predecisional.

5089 (Exhibit No. 22 was identified for

the record.)

BY [MAJORITY COUNSEL].

5092 Q On August 22nd, 2020, at 8:16 p.m., Dr. Marks

5093 wrote, "Dear Commissioner, The EUA should be signed off by

5094 Denise by about 10 AM tomorrow. The ASPR is doing a final

5095 review of their revised submission based on OCC review, and

5096 then Denise can sign."

Is this consistent with your recollection that the

5098 decision was being made on Saturday night of the 22nd?

5099 A Yes. The final decision, yes, [Majority

5100 Counsel].

5101 Q What role did ASPR provide in doing that final

5102 review?

5103 A ASPR would have reviewed the comms approach,

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5104 not the scientific review, just to be clear.

5105 Q Thank you. The email continues. "Though

5106 there may be benefit for all non-intubated patients, as

5107 previously, the strongest data are in the non-intubated

5108 patients less than 80 years of age treated within 3 days of

5109 diagnosis with high titer convalescent plasma - at 7 days

5110 there is a 35% improvement in survival."

5111 "From my perspective it is a definite go."

5112 Was it your understanding that Dr. Marks was

5113 recommending that FDA approve -- or authorize convalescent

5114 plasma for EUA?

5115 A Yes, [Majority Counsel]. And as I mentioned,

5116 this was an ongoing conversation. The weekend before, the

5117 week before, the same conversation occurred. And as I just

5118 mentioned to Minority counsel, this was a matter of

5119 crossing the Ts and dotting the I's.

5120 Q At the time of the EUA announcement, were you

5121 familiar with the efficacy data for convalescent plasma?

5122 A Yes.

5123 Q I understand that you mentioned that everyone

5124 was dotting the I's and crossing the Ts with respect to

5125 issuing the EUA. Had FDA issued similar decisions like

5126 this on Sundays before?

5127 A We were issuing EUAs and signing off 24/7

5128 during the pandemic.

208

5129 Q To your knowledge, did President Trump or any

- 5130 members of his administration communicate that he thought
- 5131 FDA should approve convalescent plasma?
- 5132 A Do you mean authorized? Sorry.
- 5133 Q Authorized, yes. I apologize.
- 5134 A No, it's okay. I just want to make sure we
- 5135 get it right for the record.
- 5136 So there was no -- so I can tell you, it depends on
- 5137 what you mean by administration. But I would have
- 5138 conversations with folks at the White House where they
- 5139 would ask the following questions. Do you think it's safe?
- 5140 Do you think that it's probably effective or that it may be
- 5141 effective? And of course the answer to that is, yes, all
- 5142 of our data suggests that where, as I say, crossing the Ts
- 5143 and dotting the I's.
- It was the doctors -- and I received multiple calls
- 5145 from the doctors -- Dr. Giroir, Dr. Redfield,
- 5146 Dr. Birx -- who were expressing strong support for moving
- 5147 forward with the EUA.
- 5148 Q On August 23rd, 2020, you participated in a
- 5149 press conference with Secretary Azar and President Trump at
- 5150 the White House to announce the EUA. How did that press
- 5151 conference come about?
- 5152 A We had issued the EUA in the morning. It was
- 5153 decided by the White House typically -- which, as you

5154 remember with remdesivir, we did the same thing on the day

- 5155 of the issuance, there was a press conference to let the
- 5156 American people know about it.
- 5157 Q Were you involved in planning the press
- 5158 conference?
- 5159 A Not at the White House level. But at the FDA
- 5160 level, we reviewed the comms statements.
- 5161 Q Who was involved in preparing the comms
- 5162 statements?
- 5163 A Our comms team. Keagan was involved, Keagan
- 5164 Lenihan, was involved. I believe Dr. Marks was there as
- 5165 well.
- Do you mean preparing or in the conversations? I'm
- 5167 sorry, [Majority Counsel], just to be clear.
- 5168 Q Let's do both. So who prepared them?
- 5169 A Preparing would come directly from the Center,
- 5170 the data that would go into it to make sure it was
- 5171 accurate. OCC, the Office of Chief Counsel, would review
- 5172 it from the legal perspective, and then the comms team
- 5173 would shape, make sure everyone had seen it and reviewed
- 5174 it, and then it would typically go up to ASPR for review at
- **5175** HHS.
- 5176 Q Was anyone specifically in charge of
- 5177 validating data or statistics for the convalescent plasma
- 5178 messaging?

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5179 Α Yes. That would be CBER. 5180 Q So Dr. Marks? And his team, yes. 5181 Α 5182 And his team. During the press conference you stated, "I just want to emphasize this point because I 5183 don't want you to gloss over this number. We dream in drug 5184 development of something like a 35% mortality rate 5185 5186 reduction. This is a major advance in the treatment of patients, this is a major advance" -- you continued -- "a 5187 5188 35% improvement and survival is a pretty substantial 5189 clinical benefit. What that means is, and if the data continue to pan out, 100 people who are sick with COVID-19, 5190 35 would have been saved because of the admission of 5191 5192 plasma." Do you remember that? 5193 5194 Oh, I remember that. 5195 President Trump and Secretary Azar also made 5196 similar claims about the benefits of convalescent plasma at 5197 the press conference, correct? Α Correct. 5198 After the press conference, you and Secretary 5199 Azar and President Trump were widely criticized for citing 5200

inaccurate statistics about the benefits of convalescent

plasma during the conference.

Do you recall that?

5201

5202

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5204 Α I do. 5205 Did you agree with the criticism? Q 5206 I did. Α 5207 Why? Q I should have been -- I mean, this is really 5208 important for a physician and for public health officials. 5209 For context, remdesivir had a similar 30 percent 5210 reduction in mortality, but these are relative risks and I 5211 should have been very clear that it is a relative risk in 5212 5213 reduction. So it was inaccurate the way I presented it. 5214 And I apologized for it because, at the end of the day, those representations need to be accurate. And I have 5215 repeatedly and will continue to repeat that statement. 5216 5217 In what way was that statement inaccurate? It's relative, not absolute. So it isn't 35 5218 5219 out of a hundred. It is if 10 people were going to die, the reduction would be 35 percent from the 10 people. So 5220 5221 it's, of course, relative. How did you come to cite that inaccurate 5222 statistic at the press conference? 5223 So the number in terms of relative risk 5224 Α reduction is not inaccurate. That came from -- and I'm 5225 holding up the email that you gave to me -- as you can see, 5226 5227 directly from the center in their analysis when Dr. Marks says there's a 35 percent improvement in survival at seven 5228

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5229 days.

5230 So that number came directly from the scientists who

5231 reviewed the data. My error was not clarifying that it was

5232 a relative risk reduction.

5233 Q Were you provided a script or talking points

5234 for your remarks at the press conference?

5235 A Yes, mm-hmm.

5236 Q Who prepared that?

5237 A The agency did.

5238 Q Comms staff or scientists?

5239 A Everything was reviewed by the scientists, but

5240 comms staff would prepare it.

5241 Q I'm going to hand you what's been marked as

5242 Exhibit 23. This is an August 23rd, 2020 email from Emily

5243 Miller to you and two other individuals. It is not Bates

5244 stamped, but the subject line reads: Update TPs.

5245 (Exhibit No. 23 was identified for

5246 the record.)

BY [MAJORITY COUNSEL].

5248 Q This email is heavily redacted, but it appears

5249 that you were emailing with others about talking points

5250 related to convalescent plasma EUAs.

Does that appear to be correct?

5252 A Yes, that does appear to be correct.

5253 Q At 3:04 p.m., Kevin Bugin emailed you saying,

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5254 "Hi Steve, In this bullet," but the remaining text is

- 5255 redacted.
- 5256 You replied, "Yes, you are absolutely right. I like
- 5257 35% increase in survival."
- Do you recall what Dr. Bugin suggested?
- 5259 A No, I don't recall what he suggested.
- 5260 Q What did you mean by your response?
- 5261 A That a 35 percent relative
- 5262 increase -- relative increase in survival is a substantial
- 5263 treatment effect. And if that pans out with the data as it
- 5264 goes on, that's a good thing for patients. I like that.
- 5265 Q What did you mean by you "like that"?
- 5266 A Like I just said, it's a substantial benefit
- 5267 for patients. And also, because in the context of this,
- 5268 it's very safe. So if you look at the therapeutic window,
- 5269 the risk-benefit ratio with this sort of magnitude benefit
- 5270 is substantial.
- 5271 Q Emily Miller responded, "Message positive
- 5272 always. And can phrase it in real language as" -- and the
- 5273 rest of the text is redacted.
- Do you recall what Ms. Miller suggested?
- 5275 A I don't.
- 5276 Q What was your understanding of what Ms. Miller
- 5277 was recommending by saying, "Message positive always"?
- 5278 A You know, we were at a time in the pandemic

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5279 where there was a lot of discouragement about what was

- 5280 happening, the resurgence in COVID. And, you know, with
- 5281 these data, it was appropriate to provide hope to people,
- 5282 message positive about it.
- 5283 O Did you have other conversations with
- 5284 Ms. Miller about seeking positive messages to release to
- 5285 the American people?
- 5286 A I don't remember. I don't think so, but I
- 5287 don't remember that.
- 5288 Q Was FDA looking for opportunities to release
- 5289 positive messaging?
- 5290 A Not opportunities to release positive
- 5291 messages. Opportunities to release messages that were
- 5292 consistent with the data and the science. If they were
- 5293 positive, then we wanted to emphasize the positivity of it.
- 5294 Q Did you receive -- did you have similar
- 5295 conversations with others outside of FDA about wanting to
- 5296 message positively?
- 5297 A Possibly, [Majority Counsel]. I just don't
- 5298 remember specifics around that.
- 5299 Q Do you recall if you ever received this
- 5300 instruction from HHS?
- 5301 A Instruction to?
- To message positively.
- 5303 A No, I do not recall having received that

215

5304 instruction from HHS.

5305 Q You mentioned that there were talking points.

5306 Who specifically prepared your talking points?

5307 A I don't know who, but it would be the comms

5308 team at FDA.

5309 Q Are you aware of how President Trump and

5310 Secretary Azar came to make misleading statements at the

5311 press conference?

5312 A I don't know what their thought processes

5313 were. But we provided information to the White House and

5314 HHS.

5315 Q Did they follow whatever information that FDA

5316 provided?

5317 A You'd have to ask them specifically about

5318 that. But what I can say is we provided the information

5319 that I had. And you've heard the output. So I think -- I

5320 can't draw the conclusion of what went through the thinking

5321 for that.

Do you recall if they departed from a

5323 particular script or information?

5324 A I don't recall.

5325 Q What happened after the press conference? Did

5326 you have discussions about whether any follow-up action

5327 needed to be taken?

There really wasn't any follow-up action at

216

5329 the agency other than to apologize and to continue to

- 5330 message about the correct sort of interpretation of the
- 5331 data -- the accurate interpretation, relative risk
- 5332 reduction.
- 5333 Q How did you come to the decision that you
- 5334 needed to apologize?
- 5335 A Well, I saw the response, and it was the right
- 5336 thing to do. I had gotten advice about it as well and I
- 5337 believed it was right to do. Ultimately, it's my
- 5338 responsibility. I said it. I needed to make the decision
- 5339 about what to do in response.
- 5340 Q Who did you have discussions with?
- 5341 A Multiple people. Certainly inside the agency
- 5342 and some folks outside the agency as well.
- Q Who within the agency?
- A I don't remember everybody, [Majority
- 5345 Counsel]. Probably Keagan Lenihan would be one of them.
- 5346 Q Did you discuss whether you should apologize
- 5347 with Emily Miller?
- 5348 A You know, I don't remember that. Probably
- 5349 not, [Majority Counsel], but I don't remember specifically.
- Q Why do you say that?
- 5351 A Because I took the action on my own. I didn't
- 5352 ask for permission to do that from the normal channels.
- 5353 Q Why not?

Because I wanted to move quickly, and I wanted

217

5355 to correct the record on behalf of the agency.

5356 Q Did anyone specifically advise you to

5357 apologize?

5358 A Yes.

5359 Q Who?

5360 A A colleague, Wayne Pines, who I had known.

5361 Q What was Mr. Pines' position?

5362 A Mr. Pines was hired as, I believe -- and I

5363 could have the classification wrong, [Majority Counsel],

5364 so -- as a contractor or consultant. I forget. There's an

5365 actual specific term for that. So he had a contract, and

5366 really to help with communications.

5367 Q Did you know Mr. Pines before coming to FDA?

5368 A I did.

5369 Q How long had you known him?

5370 A Six months or so, something like that.

5371 Q Okay. Did anyone else advise you to apologize

5372 and correct the record?

5373 A Not that I remember.

Q Did anyone suggest that you should not

5375 apologize?

5376 A No. I mean, after the fact. But, you know, I

5377 think there was a lot -- and I'm only saying this because

5378 it was in the press commented that there were people in the

218

5379 administration who thought that I shouldn't have.

5380 Q Did you ever learn that people in the

5381 administration thought you shouldn't have apologized from

5382 any other source apart from the news media?

5383 A So your question is had I heard this other

5384 than -- no. No one specifically spoke to me about that.

5385 Q And you didn't hear it secondhand?

5386 A I don't think I did hear it secondhand. I

5387 might have, [Majority Counsel], but I just don't remember.

5388 Q Did you have any discussions with Secretary

5389 Azar about this?

5390 A Not that I remember.

5391 Q Did you have any discussions with President

5392 Trump?

5393 A Not that I remember.

5394 Q Did you have any discussions with anyone at

5395 the White House?

5396 A I don't believe so.

5397 Q Did you have discussions about whether

5398 Secretary Azar or President Trump should similarly issue

5399 apologies or correct their previous statements?

5400 A Are you asking did I have those discussions?

5401 Q Yes.

5402 A No.

5403 Q Are you aware of whether others did?

219

5404 A I don't know.

5405 Q Apart from the decision to apologize, did you

5406 have discussions about whether to take any other actions as

5407 a follow-up or as a consequence of the press conference?

5408 A Yes. We had internal discussions about how to

5409 provide ongoing data and accurate information about the

5410 data that supported this. So we put together a lay

5411 summary, which was a sort of distillation of the clinical

5412 data that would be relevant for the public to read about

5413 why the decision was made. And we did an ongoing

5414 assessment of the data to make sure that the data held up.

5415 Q Was that document or information released

5416 publicly?

5417 A Yeah. The lay summary was, I believe, yes. I

5418 think I referred to it in my late September testimony

5419 before the HELP Committee.

5420 Q Did you make any other decisions -- strike

5421 that.

5422 Did you have discussions about whether to take any

5423 other actions as a consequence of the misstatements that

5424 were made during that press conference?

5425 A I'm not sure I exactly understand what you

5426 mean, [Majority Counsel].

5427 Q Did, for instance, you discuss whether FDA

5428 should make changes to the review and approval policy of

220

5429 public statements to ensure that the information was

5430 accurate?

5431 A I made a personal decision, [Majority

5432 Counsel], at the time that, as Commissioner, if I thought

5433 it was important to communicate directly to the American

5434 people, that I would do so.

5435 Q Did you have discussions about whether to

5436 terminate or reassign any employees who were involved in

the press conference?

5438 A Yes.

5439 Q Who?

5440 A Emily.

5441 Q Why?

5442 A Emily became a story. And rather than this

5443 being about convalescent plasma and its benefit, the

5444 correction that I made in the apology, it became about an

5445 individual. And it was my judgment, and my call alone,

5446 that that was not good, that that hurt our ongoing efforts,

5447 and I asked Emily to be reassigned.

5448 Q And was she in fact reassigned?

5449 A Yes.

5451 A Within the Commissioner's office. I don't

5452 remember exactly where.

5453 Q But she stayed at FDA?

221

5454 A Correct.

5455 Q Was the reassignment a demotion?

5456 A No. Let me put it this way. I don't know

5457 about the GS characteristics of this, but I can tell you

5458 that, from my perspective, it was not in my view a

5459 demotion, just to move to a different setting where she

5460 could contribute.

5461 Q Had you lost confidence in Ms. Miller's

5462 abilities to perform her job?

5463 A I wouldn't necessarily say lost confidence as

5464 much as, when I looked back on the comms team, what I saw

5465 as a substantial amount of turmoil in the team, the outcome

5466 from this, the fact that it became a story. I put that

5467 together as something that we really needed to change,

5468 because confidence in the agency, particularly with

5469 upcoming vaccine decisions, was going to be critical and I

5470 made the decision.

5471 Q It has been reported that Mr. Pines had his

5472 contract cancelled by HHS; is that correct?

5473 A Well, I believe the official is that FDA

5474 cancelled the contract, but it was on advisement from HHS.

5475 Q Why was that decision made?

5476 A We were told that the contract was potentially

5477 inconsistent with longstanding policy.

5478 Q Who told you that?

222

5479 A I don't remember exactly. I remember having a

5480 conversation with Keagan Lenihan about it. But it was

5481 communicated from HHS. I'm not exactly sure where.

Q Are you aware of what that policy specifically

5483 was?

5484 A I remember at the time having a discussion. I

5485 just don't remember now what that policy was.

5486 Q Was the decision made -- strike that.

5487 Was there any discussion that Mr. Pines' advice that

5488 you should apologize had any connection to that later

5489 decision that his contract needed to be cancelled?

5490 A I'm sure there was discussion. I don't

5491 remember it specifically.

5492 Q Why do you say you're sure there was?

5493 A It would be a natural conclusion for someone

5494 to draw. Whether it was accurate or not, you know, that

5495 would be conjecture.

5496 Q But, to be clear, you're not aware one way or

5497 another that HHS officials were making a pretextual

5498 decision to cancel his contract because they were unhappy

5499 with the advice he gave you?

5500 A I am not aware of that, correct.

5501 Q Did you ever hear that HHS officials were

5502 angered by your apology?

5503 A I did not hear that HHS was angered, at least

223

5504 in realtime.

5505 O What about later?

The press reports we spoke about.

5507 Q How did you issue your apology following the

5508 press conference?

5509 A On Twitter, and then with interviews in the

5510 media.

5511 Q Did you write the tweets that you released

5512 yourself?

5513 A It was written for me, and then I edited it.

5514 I always looked at them when there were circumstances like

5515 this.

5516 Q You mentioned earlier that you were concerned

5517 about the potential impact the erroneous statements could

5518 have on FDA's credibility; is that correct?

5519 A That's correct.

5520 Q Why was that a concern at this time?

5521 A You know, we're in the middle of -- we have a

5522 divided country, a divided Congress, we have a presidential

5523 election, a once in a hundred-year pandemic, confluence of

5524 a lot of issues, we had upcoming vaccine decisions. And it

5525 was our opinion at the agency that, in order to save as

5526 many lives as possible, we had to not only look at the data

5527 and potentially authorize the vaccine, but make sure that

5528 people would be willing to take it.

224

5529 Q And were you concerned that this press

5530 conference could make people concerned about FDA's ability

5531 to safely or accurately authorize a vaccine?

5532 A I was worried that it might have impact, yes.

5533 Q Did you discuss that with anyone?

5534 A I'm sure I did, [Majority Counsel]. I just

5535 don't remember the specific discussions.

5536 Q Did you ever hear any reaction to your apology

5537 from officials from the Trump White House apart from what

5538 was in the press?

5539 A No.

5540 Q Did you ever discuss it with anyone at the

5541 White House?

5542 A Specifically the apology?

5543 Q Yes.

A Not that I remember.

Okay. Thank you.

5546 It has been reported that a number of Trump

5547 administration political appointees were hired to fill key

5548 positions at FDA that were previously filled by

5549 nonpolitical civil servants. Is that true?

5550 A I don't know about the historical record of

5551 whether career folks were in those positions; but there

5552 were a number of political appointees that the Trump White

5553 House asked us to take.

225

5554 Q Who at the Trump White House asked you to take

5555 those?

5556 A Well, it wasn't through me directly. It was

5557 through Keagan Lenihan. It was the Presidential Personnel

5558 Office, PPO.

5559 Q Were you provided a reason why the White House

5560 wanted to fill those positions?

5561 A I did have discussions with PPO about what

5562 sort of functions the people might apply.

5563 Q What did you discuss?

5564 A Just what the role was, what the purpose was,

5565 what advice they would be providing. Those sort of general

5566 discussions.

What roles did they seek to appoint people to?

5568 A One was on the comms side, Emily Miller as an

5569 example. Another was on the policy side, particularly

5570 around inspections, increasing domestic manufacturing as

5571 opposed to relying on foreign countries. Those are the two

5572 big ones. Drug quality was another one.

5573 Q Did you agree to the recommendation to fill

5574 these positions with political appointees?

5575 A So just to be clear about this. Whether you

5576 could characterize it as a recommendation I think is up to

5577 debate. I did interview the people, I did talk to them. I

5578 did outline what I thought the parameters of the job would

5579 be just so that everyone is on the same page. And so then,

226

5580 yes, I did agree.

5581 Q What do you mean by whether you could

5582 characterize it as a recommendation is up to debate?

5583 A It wasn't clear at the time whether the agency

5584 and I could say no.

5585 Q Okay.

5586 BY [MAJORITY COUNSEL].

5587 Q Why is that?

5588 A Just there was not clarity around that.

5589 Q Who communicated that to you?

5590 A Keagan Lenihan.

BY [MAJORITY COUNSEL].

5592 Q Did you specifically ask a question of whether

5593 it was a order?

5594 A I'm not sure, but I think it came up in sort

5595 of the context of discussing folks.

5596 Q Did you ultimately -- you said you interviewed

5597 all of the individuals who the White House passed their

5598 names along?

5599 A Yes, I did.

5600 O You mentioned Ms. Miller. Who were the

others?

David Gertler was the other one, and that's

5603 the extent of what I can remember.

227

Q Was John Wolf Wagner another individual who

5606 A Yeah. He was -- I'm not sure it was from PPO

5607 as much as it was from Mr. Caputo and ASPR.

5608 Q And did Mr. Caputo recommend him or was it

5609 similarly potentially --

fell into this category?

5605

5610 A That was more of a recommendation. And we had

5611 a discussion about it and I interviewed John.

5612 Q Starting with Ms. Miller, what were your views

of her from your interview?

5614 A She gave a very, I think, clear and I thought

5615 good assessment of the communication problems at HHS and at

5616 the FDA. And I liked her recommendation to develop a sort

5617 of strategic communications plan that could bleed into the

5618 time period of vaccines, make sure it was all coordinated

5619 in together.

5620 Q Did she have the type of background that you

5621 would normally consider for the position?

5622 A Well, she certainly was somebody who had been

5623 involved in comms. We had other depths of experience in

5624 the comms shop, so it seemed to be complementary to that.

5625 Q Did she have a scientific background?

5626 A Not that I remember, no.

Or work at other public health or scientific

5628 agencies?

228

5629 A She might have. You're jogging my memory 5630 about something in her background that might have been

- 5631 related to public health, but I'm speculating.
- 5632 Q What about Mr. Gertler. What were your
- 5633 impressions about him from the interview?
- David had been involved in the private retail
- 5635 pharmacy side, so had the perspective of sort of being on
- 5636 the ground for that. He also had a perspective on sort of
- 5637 the quality assurance of drugs, was particularly interested
- 5638 in the quality of drugs that came from China.
- 5639 Q Did he have the background that you would have
- 5640 normally considered for this position?
- 5641 A Yeah, he did have both a scientific and a
- 5642 pharmaceutical background, particularly real-world
- 5643 experience and pragmatic experience. I'm sure there are
- 5644 others who might have had more experience in that, but that
- 5645 was present in his skill set.
- 5646 Q What about Mr. Wagner. What were your
- 5647 impressions of him when you first met him?
- 5648 A He had been at the VA in a similar role, which
- 5649 I believe was a larger role, so I was kind of surprised
- 5650 that he was recommended to be at FDA. But given the
- 5651 situation and the magnitude of the pandemic, you know, I
- 5652 had a really good conversation with him. I think
- 5653 he -- certainly based upon his VA experience and his

229

5654 experience in government and with the media.

5655 Q Would you have hired each of these individuals

5656 but for the recommendation or order that you received from

5657 the White House personnel office or Mr. Caputo?

5658 A I mean, that's speculation, [Majority

5659 Counsel]. And not being in the situation of seeing a whole

5660 bunch of alternatives, it's hard for me to say.

So to be clear, did you have any

5662 communications where you sought to reject any of the

5663 recommended candidates?

A I did not have communications around

5665 rejection. I had communications around trying to clarify

5666 what the expectations were.

5667 Q You mentioned that Ms. Miller was reassigned.

5668 Did you ultimately fill her vacant position with a career

5669 official --

5670 A I did.

5671 Q -- or political?

5672 A Career.

5673 Q Did Mr. Wagner stay on at FDA through the end

5674 of your position?

5675 A No, he didn't.

5676 Q What happened with him?

5677 (Discussion off the record.)

The Witness. He had a medical event.

230

BY [MAJORITY COUNSEL].

5680 Q And so he was not removed or reassigned due to

5681 any performance or other issues?

5682 A So the answer to your question, which I think

5683 was couched in the negative, is he was reassigned by

5684 Michael Caputo to a position at HHS.

5685 Q Okay.

5686 A But his tenure with us was interrupted by the

5687 medical event. Sorry, I know that's kind of confusing, but

5688 I want to be accurate.

5689 Q I appreciate that. What about Mr. Gertler;

5690 did he continue on at FDA?

5691 A He did.

5692 O What was your relationship with Mr. Caputo?

5693 How would you characterize that?

5694 A It was cordial. We sometimes had discussions

5695 about what were the best strategic approaches for the

5696 agency from a comms point of view.

5697 Q How often did you work together?

5698 A It depended. Early on when we first started,

5699 all the principals at HHS met with him on a regular basis,

5700 probably every week or every other week. It dropped off

5701 for a time.

5702 Q Did he share proposals with respect to

5703 particular comms strategies for FDA?

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A I remember him sharing proposals about comms 5705 strategies for HHS, but not -- I don't remember anything 5706 specifically for HHS, but how FDA fit into the HHS comms 5707 strategy.

Q Moving on, are you aware whether President
Trump or any member of the administration sought to speed
up the review or approval of any coronavirus treatment?

5711 A You're asking did President Trump or anyone in 5712 the administration attempt to speed up. So the answer is,

5713 in general, the Trump administration, the President on down

5714 the administration was all about trying to get speedy

5715 approval of medical products for COVID.

5716 So in a broad sense, the answer is yes, because in 5717 that -- the President was all about that, the speed part of 5718 it.

5719 Q Did this -- were you ever concerned by the 5720 desire to speed up the review and approval of therapeutics?

5721 A That part of it, no, because -- I mean, we

5722 were in a public health emergency and it was totally

5723 appropriate to ask the question, what can you do to speed

5724 this up to get lifesaving treatments, vaccines, et cetera,

5725 into the hands of people?

5726 So I actually think, for all of public health in the

5727 United States, asking the question what can we do to speed

5728 things up is good. My job is to make sure that we follow

5729 the processes that assess the science in the best possible

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- 5730 light and make sure that the career scientists ultimately
- 5731 review those data and made the decisions.
- 5732 Q So you said that they were asking questions.
- 5733 And then is it fair to say that you would respond to those
- 5734 questions and sometimes --
- 5735 A Yes.
- 5736 Q -- say, this is possible, this is not?
- 5737 A Yes.
- 5738 Q What type of reaction would you receive if you
- 5739 said we can't do that?
- 5740 A It depends on the circumstance, but there was
- 5741 always an attempt to try to understand and to push back.
- 5742 There was a general sense that, you know, in some
- 5743 circumstances -- again, it depended on just the topic, but
- 5744 that there was bureaucratic slowness associated with this
- 5745 as opposed to a rational reason for the time that it took.
- 5746 Q Did you agree that there was a bureaucratic
- 5747 slowness?
- 5748 A Well, I do agree that the agency, HHS, et
- 5749 cetera, that we all could have done better from a process
- 5750 point of view. I mean, it has to undergo legal, ethics, et
- 5751 cetera, review.
- I do not agree on the scientific side, because
- 5753 I -- if you look at vaccines, it took us three weeks to

233

5754 review a completed application that was tens of thousands

- 5755 of pages long, and that would normally take months.
- 5756 So I was pretty confident on the scientific side we
- 5757 were pushing hard to do those reviews. But I think you
- 5758 could reasonably argue that the processes otherwise took
- 5759 longer than might have been necessary. And I totally
- 5760 understand why. Making sure that you're doing things that
- 5761 are consistent with the law, I don't have to tell you, is
- 5762 really important.
- 5763 Q What specifically could have been done better
- 5764 from a process point of view?
- 5765 A I think we were able to do that with vaccines.
- 5766 We had a multidisciplinary team that we put together that
- 5767 looked at -- we put together a Gantt chart. What were the
- 5768 beginning and end steps with time for the vaccine
- 5769 authorization, and who had what?
- 5770 And so pulling all those pieces together and saying
- 5771 this needs to get done in the fastest possible time. What
- 5772 do you think you can do? I found that very helpful in
- 5773 terms of trying to shorten that timeframe.
- 5774 Q It has been reported that President Trump met
- 5775 with HUD Secretary Ben Carson, Phoenix Biotechnology
- 5776 Vice-Chairman Andrew Whitney, My Pillow founder and CEO
- 5777 Mike Lindell, Mark Meadows, and others in the Oval Office
- 5778 in July 2020 regarding oleandrin.

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5779 Had you ever heard that? 5780 That there was a meeting? Yes, I had heard 5781 that. 5782 Did you participate in it? Q I did not. Α 5783 Why not? 5784 Q I don't know why not. 5785 Α 5786 Q Were you invited? Not to my knowledge. 5787 Α 5788 Q How did you later learn about this meeting? 5789 Α I received an email message from -- you mentioned his name. 5790 Andrew Whitney? 5791 Q 5792 Α Yeah, that such a meeting had taken place. Did you know Mr. Whitney prior to this time? 5793 Q 5794 Α I don't believe so. 5795 What did Mr. Whitney say in the email? (Discussion off the record.) 5796 5797 [Majority Counsel]. We can go off the record. (Recess.) 5798 BY [MAJORITY COUNSEL]. 5799 Before we took the break, Dr. Hahn, I asked 5800 what did Mr. Whitney say in his email to you? 5801 Mr. Armstrong. I am going to direct my client to not 5802

answer that and actually ask HHS.

5803

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Mr. <u>Barstow.</u> And his answer to that would reveal

5805 commercial confidential information, and so he can't reveal

5806 it today.

[Majority Counsel]. Okay.

5808 BY [MAJORITY COUNSEL].

5809 Q Did you have any additional discussions with

5810 Mr. Whitney or anyone else about the meeting in the Oval

5811 Office with President Trump?

5812 A I had discussions with Secretary Carson, with

5813 Mr. Meadows, and again with Mr. Whitney.

5814 Q What did you discuss with Secretary Carson?

5815 A Just, in general, his belief that the data

5816 that supported oleandrin as a therapeutic was strong, and

5817 he encouraged us to take a look at it.

5818 Q And did you take a look at oleandrin following

5819 Secretary Carson's recommendation?

5820 A Yes. This wasn't the first time that

5821 Secretary Carson had mentioned this to me, so this was an

5822 ongoing issue. But yes is the answer to your question.

5823 Q And what result? Did you come to an

5824 assessment about the potential efficacy about oleandrin?

5825 (Discussion off the record.)

The Witness. Trying to give you an answer. So yes,

5827 I did. But really this wasn't a Commissioner-level

5828 decision, this was a center-level decision.

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So I did discuss it with the center leadership,

Dr. Cavazzoni. And they looked at the application, they

got back to the company with what their recommendations

were. And what is public knowledge is there is a 483 from

the FDA about problems associated with it.

BY [MAJORITY COUNSEL].

5835 Q Did FDA issue an EUA for oleandrin?

5836 A Not to my knowledge.

5837 Q To be clear, could an EUA have been issued

5838 during your tenure as an FDA Commissioner that you would

5839 not have knowledge of?

5840 A Yes, it could have happened, yes. Of any

5841 medical process; is that what you mean?

5842 Q Yes.

5843 A Yes.

Q Under what circumstances?

5845 A So just a hypothetical here, [Majority

5846 Counsel], not anything that I know specifically. There

5847 could have been a diagnostic test early on that is one of a

5848 hundred of the same.

5849 You know, unless it was something new substantially

5850 added to the supply, new mechanism of action from a

5851 therapeutic point of view, new type of drug, et cetera, it

5852 typically wouldn't have come to my attention. And we had

5853 issued ten times more EUAs, during COVID, not during my

5854 tenure, than all other public health emergencies combined.

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5855 So, I mean, the numbers were staggering, double the

5856 workload, et cetera.

5857 Q How many EUAs were issued?

5858 A I knew you were going to ask me that. You

5859 know, I can't give you an exact number, but it was quite a

5860 few.

5861 Q You mentioned you had discussions with

5862 Mr. Meadows about the Oval Office meeting. What did you

5863 discuss with him?

5864 (Discussion off the record.)

The Witness. So, [Majority Counsel], I'll answer

5866 generally. We had a discussion about the application and

5867 the status of the application.

5868 BY [MAJORITY COUNSEL].

5869 Q Did Mr. Meadows ask you to take any action

5870 specifically?

5871 (Discussion off the record.)

The Witness. Yes, there was direction, but no action

5873 was taken.

BY [MAJORITY COUNSEL].

5875 Q What direction did he give you?

5876 Mr. Armstrong. I think that's over the line that

5877 we've been asked to abide by the White House counsel's

5878 office.

238

5879 BY [MAJORITY COUNSEL].

5880 Q You said that no action was taken. Did you

5881 have concerns with the directive that he gave you?

5882 A Again, it depends what you mean by concerns.

5883 But these decisions are made at the center level. The

5884 center level makes the decision based upon the science and

5885 the data. If the science and data don't support a

5886 decision, we won't make the decision is the bottom line.

5887 And we did not make the decision.

5888 Q It has been reported that President Trump

5889 sought to have FDA review oleandrin as a potential

5890 coronavirus treatment. Are you aware of whether that's

5891 true?

5892 A I don't know what you mean by sought. It was

5893 made aware to me that the President was interested in this.

5894 And as throughout the pandemic, whether it was President

5895 Trump, senators from states around the country, governors,

5896 they asked me to take a look. I did. Sometimes I pushed

5897 forward with it, and not necessarily decisionmaking, but

5898 the review, sometimes I didn't. So it was completely in

5899 line with what happened throughout the pandemic.

To me, in this situation, nothing unusual other than

5901 you need to take a look at this.

5902 Q Did you have any discussions about Mr. Trump's

5903 interest in oleandrin?

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5904 Α Discussions with whom, [Majority Counsel]? 5905 Q With President Trump. 5906 Α No. 5907 Did you have discussions about Mr. Trump's desire to have FDA review it with others? 5908 Secretary Carson and Mr. Meadows. 5909 Α What was your reaction to your conversations 5910 Q 5911 with Mr. Meadows and Secretary Carson? [Majority Counsel], would you mind clarifying 5912 Α 5913 what you mean by my reaction? 5914 Did you have any reaction? Did you consider Q 5915 the request to be inappropriate? As I said, throughout the pandemic we would 5916 5917 have lots of requests. You know, we would like you to look I took those all into consideration, and at the end 5918 5919 of the day I made it clear to everyone who made those requests to me that we would be making decisions at the 5920 center level based upon the science and the data. 5921 5922 Were you contacted multiple times about oleandrin by Secretary Carson? 5923 5924 Α Yes. How many times? 5925 Q I don't remember. 5926 Α

More than five?

Mr. Armstrong. What do you not remember?

5927

5928

Q

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5929 The Witness. I don't remember the number. 5930 Possibly yes, [Majority Counsel], but I don't. 5931 BY [MAJORITY COUNSEL]. 5932 What about Mr. Meadows? 0 Yes. Are you asking --5933 Α 5934 Q Did you have multiple conversations with him? 5935 Yes. Α 5936 Do you recall how many? Q Under five. 5937 Α 5938 Did you have conversations with others in the Q 5939 White House or in the Trump administration, more broadly, about oleandrin? 5940 Not that I remember. 5941 Α 5942 Did President Trump express interest in FDA 5943 authorizing monoclonal antibody treatments such as those 5944 made by Regeneron and Eli Lilly? 5945 Α Yes. What do you remember? 5946 Q 5947 Well, as you remember, the President got ill and it's public record that he received the Regeneron 5948 product under an EIND. And he believed that that product 5949 helped him recover from COVID, and he shared his personal 5950 5951 medical history with me and his course and asked me to 5952 speak to his doctors. So he had an interest in it, yes.

5953

Q

Did Mr. Trump ask you to speed up FDA's review

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5954 and approval of Regeneron or any other monoclonal antibody

- 5955 treatment?
- 5956 The President, President Trump, always asked
- 5957 about -- or not always -- but when we spoke, asked about
- 5958 the status of what we were doing on the therapeutic side
- and then vaccines. And his message was consistent. I need 5959
- you to do it as quickly as you can. 5960
- And I, just at a high level, would provide 5961
- information about our processes, because at the end of the 5962
- 5963 day, our processes are in place to save lives and to
- 5964 prevent harm.
- 5965 It has been reported that President Trump and
- Mr. Meadows pushed you to accelerate the agency's review 5966
- 5967 and grant EUAs for the monoclonal antibody treatments made
- by Regeneron and Eli Lilly. A senior official reportedly 5968
- told The Washington Post that you received multiple calls 5969
- from the White House in early October saying "the message 5970
- is clear, let's get it done." Is that true? 5971
- 5972 Α I don't believe that's true, [Majority
- 5973 Counsel].
- Did you have discussions with Mr. Meadows 5974 Q
- about the monoclonal antibody treatments? 5975
- 5976 Α This I'm fairly clear about -- and during that
- frame that you're describing, no. 5977
- Approximately how many calls did you receive 5978 Q

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5979 from President Trump about the monoclonal antibody

5980 treatments?

5981 A [Majority Counsel], a couple, a handful.

5982 Often, though, I would provide him with updates. I was

5983 often proactive about giving him -- because of his personal

5984 interest in this -- about updates on these issues.

5985 Q Did you take any action as a result of your

5986 phone calls with Mr. Trump?

5987 A No.

5988 Q What was your view of the possible efficacy of

5989 the monoclonal antibody treatments at that time in early

5990 October 2020?

5991 A There were limited datasets. Phase 2,

5992 randomized trials. So there was a comparator arm, but they

5993 weren't definitive Phase 3 randomized trial. So a similar

5994 story to what we have heard before, but the data was

5995 encouraging on the efficacy side.

5996 Q Did you have any concern at that time about

5997 potentially authorizing the treatments?

5998 A Yes. On the toxicity -- there's two issues.

5999 One is on the toxicity side.

So patient selection -- we'll go back to that

6001 issue -- is really important in patient versus outpatient,

6002 how sick the person is. And then the other issue is

6003 something called escape variance, which is, would the

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6004 administration of monoclonal antibody lead to variance of

6005 concerns.

6006 Q On October 8th, President Trump claimed that

6007 the Regeneron drug was a cure and a gift from heaven, and

6008 stated, "We're going to make them available immediately.

6009 We have an emergency use authorization that I want to get

6010 signed immediately."

6011 What was your reaction to that statement?

6012 A I don't actually remember that statement,

6013 [Majority Counsel].

Q Were EUAs ultimately issued for the Regeneron

6015 and Eli Lilly monoclonal antibodies?

6016 A Yes.

6017 Q When?

6018 A I'm sorry?

Open Do you recall when?

6020 A I don't recall when. I mean, it was in that

6021 timeframe, late October, early November. I

6022 believe -- well, we could check. Just, it would be a guess

6023 on my part. But, yes, they were ultimately authorized.

Q Was the timing influenced in any way by

6025 President Trump's interest in the treatments?

6026 A No.

6027 Q It has been reported that top health officials

6028 and national security officials in the Trump administration

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6029 created a plan in the summer and fall of 2020 for global

6030 vaccine donations. The officials reportedly planned to

6031 initially prioritize vaccine doses for strategic allies

6032 like Israel, Canada, Taiwan, South Korea, and some European

6033 nations, prioritizing those donations over donations for

6034 low and moderate income countries.

Were you aware of that?

A No, I was not.

6037 Q Are you aware of who was leading discussions

6038 about global vaccine donations during the pandemic?

6039 A No.

6040 Q You mentioned previously that you spoke to

6041 President Trump and provided briefings to him about the

6042 timeline for review and approval of the vaccines; is that

6043 correct?

6044 A Correct.

6045 Q What did you discuss with President Trump?

Mr. Armstrong. One more time?

BY [MAJORITY COUNSEL].

6048 Q What did you discuss with President Trump with

6049 respect to the vaccine timeline?

6050 A They were general discussions about -- more so

6051 than timeline was a -- in fact, I tended not to discuss

6052 timeline just because it was dependent upon the receipt of

6053 data. But an explanation, for example, of what it meant

6054 for a data safety monitoring board to look at the data and

245

6055 check off if -- I mean, it's just a complicated process, to

- 6056 try to provide perspective on all of that process.
- 6057 Q How would you characterize the conversations?
- 6058 A Cordial.
- 6059 Q Are you aware whether President Trump or any
- 6060 member of the administration sought to speed up the review
- 6061 or approval of any coronavirus vaccines?
- 6062 A There was a great deal of interest. And I
- 6063 think across the board there was an interest in having the
- 6064 review sped up as much as possible.
- 6065 Q Are you referring to Operation Warp Speed, or
- 6066 other aspects that would attempt to speed up the review and
- 6067 approval?
- 6068 A So Operation Warp Speed would have been one.
- 6069 That would have been on the development side. But once the
- 6070 data were handed off to us, that was our responsibility.
- 6071 So we had oversight over the clinical trial,
- 6072 obviously, and we wanted to do everything we could to
- 6073 expedite that. And we also did a rolling review, meaning
- 6074 that a significant amount of the data were reviewed before
- 6075 the final dataset came.
- But the final dataset, for example, in the
- 6077 Pfizer -- well, in the applications were substantial. And
- 6078 this is all public data, so I'm being really careful here,

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6079 but were reviewed at the VRBAC meeting.

But the bottom line is there's a lot of data to

6081 review, so we go line by line. So when those data are

6082 submitted to us as an application, then the clock starts

6083 and we really push hard to get that done.

That's what I was referring to before. Typically for

6085 a vaccine it takes four months, six months. We compressed

6086 that to three weeks.

6087 Q Did you have any concern that compressing that

6088 period would impact FDA's ability to evaluate the safety

6089 and efficacy of the vaccine?

6090 A No, for two reasons. One is we had spent a

6091 lot of time -- as I said, we put together a Gantt chart as

6092 to what the steps would be. We tried to remove any of the

6093 roadblocks to that. That's one.

And, secondly, we were going to be flexible. If we

6095 found a problem with the data, we would take longer. I

6096 mean, we were not going to cut corners in our assessment,

6097 and we were going to follow the letter of our vaccine

6098 guidance.

6099 Q Was that important?

6100 A Very important.

6101 Q Why?

6102 A Because it was about -- I mean, it's a

6103 vaccine. It's about doing the right thing for the American

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6104 people.

- 6105 Q Were you concerned that the American people
- 6106 might doubt the strenuousness of FDA's review process or
- 6107 recommendations made with respect to the vaccines?
- 6108 A Yes. There's a lot of public statements about
- 6109 the fact that, you know, I was concerned that people think
- 6110 we might be cutting corners.
- 6111 So the irony of the situation is that there were
- 6112 folks who thought we were taking too much time and folks
- 6113 who thought that we might be cutting corners and that it
- 6114 might not be safe or effective. So I think -- not
- 6115 perfect -- but I think the agency did a good job of trying
- 6116 to weigh the risk/benefit of that.
- 6117 Q I'd like you to take out the August 22nd, 2020
- 6118 tweet from President Trump, Exhibit 21.
- This is the tweet where President Trump stated, "The
- 6120 deep state, or whoever, over at FDA is making it very
- 6121 difficult for drug companies to get people in order to test
- 6122 the vaccines and therapeutics. Obviously, they are hoping
- 6123 to delay the answer until after November 3rd. Must focus
- 6124 on speed, and saving lives! @SteveFDA."
- Did you have any discussions with President Trump
- 6126 specifically about your concern that -- your concern that
- 6127 the American people needed to understand the strenuousness
- 6128 of FDA's review process and that no corners would be cut

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6129 with reviewing and approving the vaccines?

- 6130 A Just at a general level.
- 6131 Q Were you concerned that President Trump's
- 6132 statements like the August 22nd tweet might cause the
- 6133 public to lose confidence in FDA's work?
- 6134 A [Majority Counsel], I was concerned about the
- 6135 entire environment: A presidential election, bitter
- 6136 divisions in the country and in Congress. And, to me, it
- 6137 was a pretty significant combination of factors that led to
- 6138 a decrease in science and confidence in science and
- 6139 medicine, et cetera.
- So there were multiple factors. It wasn't only this
- 6141 tweet. It was, I have to tell you, the entire set of
- 6142 circumstances.
- 6143 Q Did you discuss those circumstances with
- 6144 President Trump directly?
- **6145** A I did not.
- 6146 Q Did you discuss those concerns with people at
- 6147 the White House?
- 6148 A I discussed in general terms at the White
- 6149 House how the political atmosphere in general, as I just
- 6150 described, I was concerned was affecting confidence.
- O Did you make suggestions about what action
- 6152 should or should not be taken?
- 6153 A I made suggestions with respect to what our

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6154 communications strategies should be around letting people

- 6155 know that we weren't cutting corners; that we were doing
- 6156 everything we could to ensure that these were as safe and
- 6157 effective as possible.
- 6158 O Did statements like that made by the President
- 6159 in his tweet, did that impact the morale of your staff?
- 6160 A Yes, it did.
- 6161 Q How so?
- 6162 A I think the staff felt -- not "I think" -- but
- 6163 the staff felt that this was -- and they had been working
- 6164 really hard, our workload had doubled, and they also were
- 6165 worried about the potential impact that it would have on
- 6166 the public perception of the agency. There's a lot of
- 6167 pride at the agency and what they do.
- 6168 Q Who expressed those concerns to you?
- 6169 A From multiple sources, center directors, our
- 6170 chief of operations, within the Commissioner's office as
- 6171 well.
- 6172 Q Who can you recall specifically discussing
- 6173 those concerns?
- 6174 A I mean, I don't recollect specific
- 6175 conversations, but I do know that it was brought up.
- 6176 Q Were you concerned specifically that President
- 6177 Trump's statements could impact public confidence in FDA's
- 6178 work and the safety and efficacy of the vaccine?

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6179 A Taken in isolation, to me it was the broad

- 6180 context of what was going on and the multiple
- 6181 conversations. The people who said they wouldn't take the
- 6182 vaccine if it was authorized under President Trump's watch.
- 6183 The vitriol and the divisions that we had in the midst of a
- 6184 presidential election.
- So I wouldn't say there's one specific thing that did
- 6186 that, but I can tell you that our feedback from our
- 6187 stakeholders who we met with repeatedly was that this
- 6188 environment was problematic from that perspective.
- 6189 Q But to be clear, that included the President's
- 6190 statements?
- 6191 A That included.
- 6192 Q President Trump referenced November 3rd
- 6193 Election Day in the August 22nd tweet. He also made
- 6194 numerous comments publicly suggesting that vaccines could
- 6195 be available before Election Day.
- For instance, on September 4th, 2020, he said, "We
- 6197 remain on track to deliver a vaccine before the end of the
- 6198 year and maybe before November 1st."
- 6199 At any point did President Trump express a goal to
- 6200 you of having coronavirus vaccines available before the
- 6201 election?
- 6202 A I'm sorry, [Majority Counsel], repeat the
- 6203 question.

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6204 Q At any point did President Trump express a 6205 desire to have the vaccines available before Election Day? 6206 No. Α 6207 0 Did others? Α No. 6208 Did President Trump express a goal of having 6209 Q vaccines by November? 6210 6211 Α President Trump expressed his desire for these to be approved as quickly as possible to save lives. 6212 6213 Did others in the White House express a desire Q 6214 to have the vaccines approved in October specifically? Α There was no one at the White House who 6215 contacted me and expressed a desire for a specific 6216 6217 timeframe for emergency use authorization. Did you hear it from others? 6218 You know, it was reported in the press, but 6219 I'm not -- not directly from others, at least that I can 6220 6221 remember at that time.

- Q 6222 Okay.
- 6223 [Majority Counsel]. We are at time. We can go off
- the record. 6224
- 6225 (Recess.)
- BY [MINORITY COUNSEL]. 6226
- 6227 So, Dr. Hahn, you were talking about
- 6228 during -- about the vaccine, that you were concerned about

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6229 the entire environment around it, the President's tweets,

- 6230 other things going on.
- Does that include the, at the time, Democratic
- 6232 candidates for president and vice president statements?
- A Yes, it does.
- 6234 Q So at the time vice presidential candidate
- 6235 Harris said if Donald Trump tells us to take -- tells us
- 6236 that we should take it, meaning the vaccine, I'm not taking
- 6237 it. Was that concerning?
- 6238 A Yes.
- 6239 Q Candidate for President Biden at the time
- 6240 said, "If and when the vaccine comes, it's not likely to go
- 6241 through all the tests that need to be done and the trials
- 6242 that are needed to be done."
- Was that concerning?
- 6244 A Yes.
- 6245 Q And did the vaccine go through all the tests
- 6246 and trials that needed to be done?
- 6247 A It did go through all the tests and trials
- 6248 that needed to be done to evaluate it.
- BY [MINORITY COUNSEL].
- 6250 Q I have a few sort of broad questions.
- Were you ever involved in any discussions related to
- 6252 school closures?
- 6253 A Just broadly at the task force. But that

253

6254 wasn't really the FDA's jurisdiction, so --

- 6255 Q Okay. Do you have an opinion on whether the
- 6256 virus came out of a lab and that could be an accident or
- 6257 purposeful -- hopefully not -- but came out of a lab or
- 6258 evolved naturally?
- 6259 A I am not an expert at this at all, so I really
- 6260 can't speak to it.
- 6261 Q Would you think that Dr. Redfield would be an
- 6262 expert on that?
- A Dr. Redfield would indeed be an expert on
- 6264 that.
- 6265 Q Two days ago, Dr. Redfield told Bret Baier on
- 6266 Fox News that he believed that the virus very likely could
- 6267 have come out of a lab based on -- and he didn't really get
- 6268 into the science -- but he said based on the fact that it
- 6269 was so infectious to humans. And Dr. Fauci recently said
- 6270 that, quote, "Card-carrying virologists believe that it
- 6271 evolved naturally."
- Do you think that Dr. Redfield would be, quote, a
- 6273 "card-carrying virologist"?
- 6274 A I think Dr. Redfield is a noted infectious
- 6275 disease doctor and public health expert. So I don't know
- 6276 about card-carrying, I don't know what that means, but
- 6277 certainly is an expert in the field.
- 6278 Q Thank you. Would you certainly give -- would

6279 you lend any credibility to what Dr. Redfield would say on

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- 6280 this topic?
- A Absolutely.
- 6282 Q It sounds like yes.
- 6283 A Yes.
- [Minority Counsel]. Thank you.
- BY [MINORITY COUNSEL].
- 6286 Q Dr. Hahn, I have a couple questions. You've
- 6287 spent a lot of time take talking about emergency use
- 6288 authorization. Are you familiar with compassionate use
- 6289 authorization?
- 6290 A I am.
- 6291 Q Can you please describe generally what that
- 6292 is?
- 6293 A We refer to it at the agency as EIND,
- 6294 Emergency IND, investigational drug application.
- What it is, if a company agrees, a physician can ask
- 6296 for the emergency use of an investigational agent to treat
- 6297 someone who is in an emergency situation. If that's
- 6298 permitted, if the company allows it, we have a very simple
- 6299 application. We usually review it and allow it after 24
- 6300 hours, or not, depending on the circumstances.
- 6301 Q Did you have any conversations with Dr. Birx
- 6302 about a possible compassionate use authorization for
- 6303 COVID-19 vaccine?

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A Not EIND compassionate use. We did have a discussion regarding expanded access programs started throughout the terms. It would be another way of getting vaccines. It was the mechanism we used to evaluate plasma, gathering real-world evidence. So we did have a discussion

6310 Q Did you have any discussions about this with 6311 either of the two major vaccine providers?

6312 A Those conversations did take place at the 6313 center level. I believe also Dr. Birx had conversations.

But those discussions would have to have agreement by the companies.

6316 Q But you did not personally have them?

6317 A I did not personally have them, no.

G318 Q Thank you.

BY [MINORITY COUNSEL].

about that for vaccines.

6309

6320 Q I have one more. Do you think it's important 6321 to understand the origins of SARS-CoV-2?

6322 A Yes, I do.

O Do you think that one day we will know the origins?

6325 A I hope that we do.

O Do you think that China has been forthcoming and has assisted the world in understanding the origins?

6328 A I don't know the details about that. What I

256

6329 can tell you is that we felt that, from a public health

- 6330 perspective on the task force, that the details of the
- 6331 disease, for example, were not relayed and communicated in
- 6332 a way that would have fostered the appropriate public
- 6333 health response across the world.
- 6334 Q In the task force in those early days, was the
- 6335 lab leak theory ever discussed?
- 6336 A Not that I remember at the task force.
- 6337 Q Did you ever have any conversations with Dr.
- 6338 Fauci about the lab leak theory?
- 6339 A I don't believe so.
- 6340 Q Did you ever have any conversations with Dr.
- 6341 Fauci about EcoHealth?
- 6342 A I don't know what EcoHealth is.
- 6343 Q Okay.
- [Minority Counsel]. Then, again, thank you.
- 6345 [Majority Counsel]. Dr. Hahn, would you like to keep
- **6346** going?
- The Witness. Please.
- BY [MAJORITY COUNSEL].
- 6349 Q In September 2020, it was widely reported that
- 6350 FDA was working on new guidance that would be followed
- 6351 before authorizing a vaccine-related EUA.
- How did that come about?
- 6353 A [Majority Counsel], if it's the guidance that

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6354 I think you're referring to, that was August, September,

- 6355 October.
- 6356 Q Okay. Then when did that process start?
- 6357 A In the summer.
- 6358 Q In the summer? And why?
- 6359 A So we felt strongly that we needed to provide
- 6360 guidance to industry about what actually would be required,
- 6361 provide as much transparency about that to industry, so we
- 6362 started off with a vaccine guidance that was issued, I
- 6363 believe, the end of June, early July. Then we provided
- 6364 additional guidance about what criteria we would be looking
- 6365 at for an actual EUA.
- So the first guidance was about, here's how to
- 6367 develop the vaccine. The second guidance was about these
- 6368 are the data we need to see to feel comfortable, again,
- 6369 potentially providing an authorization. No promise, but
- 6370 this is what we needed to see.
- 6371 Q Who led this effort to develop this new
- 6372 quideline?
- 6373 A We did, at the FDA.
- 6374 Q Was there one person specifically that was
- 6375 leading the effort?
- 6376 A Well, the vaccine division under Dr. Marks and
- 6377 CBER, Center for Biological Evaluation Research.
- 6378 Q You said that the purpose of the guidance was

6379 to provide clarity or transparency to manufacturers. What

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6380 specific criteria was discussed that would be put into the

6381 second piece of the guidance about what --

6382 A We would need to see --

6383 Q -- you would need to see? Exactly.

6384 A So we would need to see data from at least one

6385 adequately powered randomized trial on the efficacy side.

6386 And then with respect to toxicity, we wanted to see the

6387 median follow-up of participants in the trial had completed

6388 at least 60 days of follow-up.

6389 Q Were those the provisions that were ultimately

6390 incorporated into the guidance that was issued?

6391 A Yes.

6392 Q Were additional requirements discussed, but

6393 ultimately not put forward in the final guidance?

A Discussed by whom?

6395 Q By anyone at FDA.

6396 A I don't know, actually. I mean, so the

6397 process at FDA, we would discuss the whole range of things.

6398 I mean, as you can imagine, it's a very complicated

6399 process, and we would look in the literature, we would look

6400 at our own experience.

So I guess my answer to that is, yes, we probably

6402 discussed a lot of things, but it came down to this as the

6403 most appropriate and pragmatic way to assess the vaccines.

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6404 Balancing, again, with speed, with making sure we got the 6405 decision right.

6406 Q How did the decision come to be made to 6407 require 60 days of evaluation after the second dose?

A Well, we had looked at the literature and our own experience with when toxicities would manifest themselves.

Just to put it in perspective, with the normal time
of the vaccine, you're going to have potential toxicities
develop well after the data's submitted. So even under
normal circumstances, there's practically no medical
product that you can 100 percent guarantee in the
real-world setting won't have some unexpected toxicity.

So the question is, how do you stratify the risk

versus the benefit? In this case, we looked at the

So the question is, how do you stratify the risk
versus the benefit? In this case, we looked at the
literature, saw where the overwhelming majority of
toxicities were seen except for the very rare toxicities,
and came to the conclusion that 60 days was an appropriate
measure for that.

Now, a part of that calculation was if you could predict an efficacy floor, which we did, of 50 percent, how many lives would be saved if it was in fact efficacious and deemed safe at 60 versus 90 versus 120? And it was very clear from our analysis in that risk-based approach that 60 was a reasonable place to sit.

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Q Were you aware of whether higher standards or

- 6430 lower standards were -- fewer days, more days -- were
- 6431 proposed in the medical literature or by anyone at FDA?
- 6432 A I don't know about at FDA, but I am aware that
- 6433 the WHO stated publicly and published that they would look
- 6434 at 90 days.
- 6435 Q Why did the determination come to be made that
- 6436 60 was better than 90?
- A Again, we looked at our own experience
- 6438 internally as well as the literature as to when toxicities
- 6439 were seen. We felt -- so, [Majority Counsel], it's an
- 6440 issue of how many more lives could be saved if we did it 30
- 6441 days earlier versus what are the risks associated with
- 6442 this? And this is a core FDA responsibility is to assess
- 6443 the risk-benefit ratio.
- 6444 Q You said this process started in August. When
- 6445 was the guidance ultimately released?
- 6446 A October, early October. You're talking about
- 6447 the guidance on the data we'd need to see for EUA?
- 6448 Q Correct.
- 6449 A Yeah.
- 6450 Q Can you take me through the process of how
- 6451 this started in August and why it took ultimately until
- 6452 October for it to be released?
- 6453 A So the whole initial guidance started in

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6454 April, May, issued in late June, July as we went through

- 6455 the process.
- Now, I think it's important to remember that although
- 6457 it certainly seems like it took a long time, we were
- 6458 communicating on a regular basis with industry about what
- 6459 our expectations were. So -- and the trials could always
- 6460 be modified based upon what we ultimately came up with.
- But we came to this conclusion in August, September,
- 6462 and then we went through the process of having it reviewed
- and approved through the normal mechanism of HHS and then
- 6464 to the White House.
- 6465 Q When did you ultimately send it up for
- 6466 approval to HHS and the White House?
- 6467 A I don't remember exactly. My guess, it would
- 6468 be September.
- 6469 O What was the reaction?
- 6470 A Initially, there were questions about it, we
- 6471 provided clarification, and it looked like it was going to
- 6472 be allowed to move forward.
- Q What were the questions?
- 6474 A Very similar to your questions: Why do we
- 6475 pick the 60 days? Why the median follow-up? What is that
- 6476 based upon? Scrutiny over the scientific and clinical
- 6477 rationale for what we were seeing.
- 6478 Q Did FDA receive any pushback?

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6479 A Yes.

Of what? What happened?

A There were questions about whether the 60-day

6482 meeting follow-up in particular was appropriate given the

6483 urgency of the situation.

Q Who raised that concern?

6485 A Questions were raised at HHS as well as at the

6486 White House.

6487 Q Who at HHS?

6488 A Some of it emanated from the Secretary's

6489 office.

6490 Q From Secretary Azar specifically or others in

6491 the office?

6492 (Discussion off the record.)

The Witness. All right.

I had a conversation with Secretary Azar, the team

6495 did, Paul Mango in the Secretary's office, I believe Brian

6496 Harrison was involved as well, the Secretary's chief of

6497 staff. And it was around the timeline, scientific

6498 rationale, all the issues that we had just discussed.

BY [MAJORITY COUNSEL].

6500 Q And approximately when was this discussion?

A Mid to late September.

6502 Q And what was specifically discussed in that

6503 meeting or call?

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6504 A I think it was multiple meetings and calls.

6505 But just the issues that I've discussed, around the

6506 scientific and clinical rationale for the guidance.

6507 Q How would you characterize those calls and

6508 meetings?

6509 A Again, cordial.

6510 Q Did they ask FDA to make changes to the time

6511 period?

A Not initially.

Q What happened?

6514 A It went to the White House. There were

6515 objections about it and there were suggestions made about

6516 adding additional language. Some of it was around

6517 availability of the vaccines and distribution, which isn't

6518 in our bailiwick, and others were really pushback about the

6519 issue of the 60 days.

6520 Q So I want to go through that in a little more

6521 detail. You said that there were objections at the White

6522 House about it. Who objected to that?

6523 A I had discussions with multiple people at the

6524 White House, including Mr. Meadows, but also others. And

6525 I'm forgetting their names, I'm sorry. But there were

6526 quite a few people involved in it. Mr. Mango was also

6527 involved in it from HHS.

6528 Q What do you recall discussing specifically

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- 6529 with Mr. Meadows?
- 6530 A Just the rationale behind this, just as I did
- 6531 with Secretary Azar and the reason for it. And I provided
- 6532 the scientific and clinical justification both verbally and
- 6533 in writing.
- 6534 Q And did he specifically question the need for
- 6535 a 60-day post-review period?
- 6536 A He asked in general about this, including all
- 6537 of the above.
- 6538 Q Did he ask for changes to be made?
- 6539 (Discussion off the record.)
- The Witness. He did not ask for changes, but he did
- 6541 ask for me to discuss it with the team at the White House
- 6542 and HHS, which included some of the people I can't
- 6543 remember, I'm sorry, and also Paul Mango.
- BY [MAJORITY COUNSEL].
- 6545 Q And what happened during that discussion?
- 6546 A I, again, provided the scientific rationale.
- 6547 Q And after this meeting, did you have approval
- 6548 to move the guidance forward? Or what happened next?
- 6549 A Well, multiple meetings, [Majority Counsel].
- 6550 I wish it had been just one meeting, but it wasn't. And
- 6551 no, we did not.
- **6552** Q Why not?
- 6553 A I think that folks wanted us to consider

265

6554 making changes to it.

Q What changes?

6556 A I mentioned one was a distribution change.

6557 There might have been others as well.

But just to be really clear about this, I felt very

6559 strongly about the fact that our scientists had created

6560 this guidance, I totally supported the science and the

6561 clinical data behind it, and I objected to any suggestion

6562 that it be changed because I really felt that the state

6563 needed to stay in the scientific and clinical domain, and I

6564 also felt any changes would be obviously reported and would

6565 further reduce vaccine confidence.

6566 Q So what happened next?

6567 A In early October, Mr. Meadows called me and

6568 told me that it had been approved by the White House and we

6569 could go forward. And we subsequently published the

6570 guidance.

6571 Q Had a copy of the guidance previously been

6572 provided to anyone outside of the Trump administration?

6573 A Yes.

6574 Q Who?

6575 A To industry.

6576 Q Had the White House approved providing the

6577 guidance to industry before?

6578 A So we didn't call it guidance at the time. We

6579 had communication with them as they were constructing their

266

- 6580 Phase 3 trials. So we communicated that outside of formal
- 6581 quidance. Which happens a lot informally. It wouldn't
- 6582 typically be something that we would communicate or need
- 6583 approval for.
- 6584 Q So when were those -- when was that happening?
- 6585 When were those discussions or when was it provided to
- 6586 industry?
- 6587 A My understanding from Dr. Marks is that
- 6588 happened in the summer.
- 6589 Q And so are you saying it was not uncommon to
- 6590 have discussions with industry about standards that might
- 6591 not ultimately come to pass?
- 6592 A No. Standards that wouldn't necessarily be
- 6593 put into a formulated formal guidance.
- You know, these informal conversations occur with
- 6595 developers all the time. This is the current clinical
- 6596 situation, this is what we're looking at, this is our
- 6597 experience with your drug, vaccine, you name it. This is
- 6598 what we're recommending to you that you have as part of
- 6599 your package. Those discussions occur at levels of the
- agency every day.
- And so how does the interplay work if it's
- 6602 not -- if it's discussed with industry but not formally --
- A A quidance?

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6604 Q -- formally a guidance?

A That's what we call TA or technical

6606 assistance. Industry in general tends to follow it because

6607 you're talking to the reviewers who are going to look at

6608 your application.

6609 Q How long did it take between the guidance

6610 being raised to HHS and the White House and it ultimately

6611 being approved?

6612 A [Majority Counsel], it would have to be a

6613 guess, but several weeks.

6614 Q Several weeks? What were the consequences, if

6615 any, of that delay?

6616 A I don't think that there were -- I mean, let's

6617 just put it this way. There weren't any consequences from

6618 the clinical development point of view in the way the

6619 studies were conducted, because we had already communicated

6620 that was something that we were interested in seeing. I

6621 think it was unfortunate that there was a lot of press

6622 around this. And, again, the whole environment context

6623 contributed to a lack of vaccine confidence.

6624 Q If the guidance had already been communicated

6625 to industry, what was the reticence from HHS and the White

6626 House to formalize it?

A I don't know.

6628 Q It has been reported that the guidance

268

6629 document was provided to members of industry, possibly

6630 slipped into a binder due to concerns that the White House

- 6631 would not approve it.
- Do you recall if that is correct?
- 6633 A So I don't recall slipped into binder.
- 6634 Perhaps what you're referring to is that by policy, and I
- 6635 believe law, we are required to publicly release documents
- 6636 before a VRBAC committee. So in anticipation of reviewing
- 6637 the criteria with EUA with the Vaccine Related Biologics
- 6638 Committee, VRBAC, included in that was what we had
- 6639 communicated to the industry. So not formal guidance,
- 6640 because it hadn't been approved, but what we had previously
- 6641 communicated with industry.
- 6642 Q And did FDA seek approval from HHS or the
- 6643 White House to provide that document?
- A We would not do that, because it's required as
- 6645 part of our processes in the interest of transparency
- 6646 before a public meeting to provide what has been
- 6647 communicated to -- it may even be in statute. I don't
- 6648 know. I don't know the answer to that question.
- But it would be highly unusual, maybe even not
- 6650 consistent with statute for us not to have public release
- 6651 of documents that had been given to industry about what we
- 6652 were expecting to see.
- 6653 Q Was there any reaction from White House

6654 officials or HHS officials when that document or when that

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- 6655 information was provided to them?
- 6656 A Well, I proactively reached out to the White
- 6657 House to let them know that this was going.
- 6658 Q And was there a reaction?
- A Not that I remember.
- 6660 Q Did anyone express concern or displeasure over
- 6661 it?
- A Not to me.
- Q Did you hear about it being discussed with
- 6664 others?
- 6665 A I did not.
- 6666 Q What interests were driving the changes or
- 6667 what concerns were driving the changes that were being
- 6668 sought in the guidance?
- A You know, it was couched in general terms, in
- 6670 terms of speed, how can we quickly get this done to save
- 6671 lives. And then the other one was, how do we
- 6672 ensure -- there was a lot of concern around payment, who
- 6673 was going to pay for it, and whether we could put something
- 6674 into the guidance document that sort of expedited decisions
- 6675 around payment.
- 6676 So I had discussions with Administrator Verma to
- 6677 determine if anything we put in our EUA would influence
- 6678 that, and the answer was no.

270

So, to me, again, introducing changes to a document

6680 that our scientists had put together, unless there was a

6681 really good reason, was kind of, you know, something

6682 we -- I was not in favor of.

6683 Q So you said you weren't in favor of it, and I

6684 think you at least intimated that you felt strongly --

6685 A I did.

6686 Q -- that you didn't want to make changes. Did

6687 any of the meetings or calls get contentious on these

6688 issues?

A Not that I remember.

6690 Q Were any of the suggested changes proposed by

6691 the White House or HHS ultimately made to the final

6692 document?

6693 A No changes were made to the document, in the

6694 original document we submitted for review.

On September 23rd, in response to a reporter's

6696 question regarding the EUA guidance, President Trump said,

6697 "We may or may not approve it. That sounds like a

6698 political move because when you have Pfizer, Johnson &

6699 Johnson, Moderna, these great companies, coming up with the

6700 vaccines and they've done testing and everything else, I'm

6701 saying why would they have to be, you know, adding great

6702 length to the process?"

Do you remember that?

271

6704 A I don't.

6705 Q To be clear, was FDA's decision to issue this

6706 quidance a political move?

6707 A It was not.

6708 (Exhibit No. 24 was identified for

the record.)

BY [MAJORITY COUNSEL].

6711 Q I am going to show you an Exhibit marked as

6712 Exhibit 24. It's a September 26, 2020 email from Peter

6713 Marks to you and Ms. Lenihan, Bates stamped SSCC-003773.

If you would look at the top of page 1, Dr. Marks

6715 wrote, "The WHO's proposed safety follow-up for vaccines

6716 trials is 3 months starting two weeks after the final

6717 vaccination for the entire population (not just the

6718 median). Therefore, one could actually say that we are not

6719 as stringent.

"If you don't mind, please let me know if anything

6721 develops over the weekend with the guidance."

Do you know why Dr. Marks sent this email on

6723 September 26th?

6724 A Dr. Marks and I were communicating every day

6725 about this, and he was instrumental in providing

6726 information around the scientific and clinical rationale

6727 for this.

6728 His point that he was trying to make here, or is

272

6729 making here, is that our guidance represented a very

- 6730 pragmatic assessment of it, and one could argue that it
- 6731 needs to be more stringent such as the WHO had. We did not
- 6732 agree with that and chose the 60 days.
- 6733 Q Was this perhaps used as a response or
- 6734 rebuttal to criticisms or concerns expressed by officials
- 6735 at the White House or HHS that 60 days was inappropriate or
- 6736 too long?
- 6737 A I believe that this was shared.
- 6738 [Majority Counsel]. I'd like to mark as Exhibit 25 a
- 6739 September 29th, 2020 email from Peter Marks to Ms. Lenihan
- and you, Bates stamped SSCC-0038009.
- 6741 (Exhibit No. 25 was identified for
- the record.)
- BY [MAJORITY COUNSEL].
- 6744 Q If you look at the bottom of the email
- 6745 exchange, Dr. Marks wrote, "Dear Commissioner and Keagan,
- 6746 Assuming no word on the guidance? It would really be
- 6747 helpful to know whether this is going to go or not. The
- 6748 ambiguity here is actually creating more problems than a
- 6749 decision one way or the other. Thanks."
- Ms. Lenihan responded, "I have not heard anything.
- 6751 The Commissioner is continuing to push and call colleagues
- 6752 at WH and HHS."
- Then, finally, Dr. Marks responded, "Thanks. I would

6754 propose by COB we make a decision to call this DOA or not."

273

Are you aware why Dr. Marks was expressing a proposal

6756 that the vaccine guidelines might be DOA?

6757 A He -- are you asking me was he declaring them

6758 DOA? I'm sorry, I'm not exactly sure.

6759 Q I apologize, it's probably a bad question.

What was your understanding of what he was proposing?

6761 Was he suggesting that if a decision was not made, that by

6762 the end of the day, that the guidelines should be dropped?

6763 A Yes.

6764 Q And did you further discuss that with

6765 Dr. Marks?

6766 A I did.

6767 Q What did you discuss?

6768 A I indicated to Dr. Marks that I thought this

6769 was really important for vaccine confidence that we were

6770 continuing discussions, and that we should continue to have

6771 patience and push it forward.

6772 Q In Dr. Marks' original email, he said, "The

6773 ambiguity here is actually creating more problems than a

6774 decision."

Are you aware of what problems he was mentioning?

6776 A I'm not.

Or referring to? Okay, thank you.

6778 In October, it was reported in Politico that

274

6779 officials at HHS and the White House had pressured FDA to

- 6780 change its terminology for vaccine approval to start
- 6781 referring to emergency use authorization as pre-licensure.
- 6782 Is that accurate?
- A Not that I am aware of.
- Q Did you ever hear someone advocate for the EUA
- 6785 be called a pre-licensure?
- 6786 A I'm thinking, [Majority Counsel] -- and,
- 6787 again, this is speculation, so I'm not completely sure that
- 6788 this may be related to this issue regarding reimbursement
- 6789 by CMS.
- 6790 Q It was reported in the press that you were
- 6791 hell-bent against any modification of definitions because
- 6792 it would be viewed as a politicization of science. Is that
- 6793 something that you recall?
- A Recall that report in the press?
- O No, just generally, that you were concerned
- 6796 about any modification of a definition.
- 6797 A As I said before, I felt strongly about this
- 6798 because I felt that this was important from a clinical and
- 6799 scientific point of view where scientists had done their
- 6800 due diligence, and I thought it was important and connected
- 6801 to vaccine confidence.
- 6802 Q It has been reported that Secretary Azar
- 6803 discussed whether to remove you from your position in

275

6804 October 2020. Did you ever become aware of that fact?

6805 A Through the press.

6806 Q Apart from the press, did you have any

6807 discussions about it?

6808 A No.

6809 Q Are you aware --

6810 A With Secretary Azar? Is that what you're

6811 asking?

6812 Q With anyone in the federal government.

6813 A Possibly. I don't specifically remember.

6814 More from the press.

6815 Q Are you aware of why Secretary Azar may have

6816 considered removing you?

6817 A You'll have to ask Secretary Azar that

6818 question.

6819 Q Many of the documents that you turned over in

6820 response to the Select Subcommittee's request appear to

6821 indicate that they were printed in October 2020; is that

6822 correct?

6823 A You know, I don't know.

6824 Q If you look at Exhibit 25, for instance,

6825 you'll see that it says, at the very top, Monday October

6826 26, 2020 at 11:31:07 a.m.

6827 A Ah, okay.

6828 Q Is it your understanding that that reflects

276

6829 the print date?

6830 A It seems a little early to me, but -- you

6831 know.

6832 Mr. Armstrong. If you know. Do you know what that

6833 reflects?

The Witness. I don't.

BY [MAJORITY COUNSEL].

6836 Q Do you have any understanding of any

6837 alternative reason why that date would be on the document?

6838 A I don't have any explanation for that,

6839 [Majority Counsel].

6840 Mr. Armstrong. I just want to interject, you phrased

6841 the question as the records that Dr. Hahn had turned over.

6842 He did not turn over any documents, it was HHS. I just

6843 want that to be -- right?

6844 [Majority Counsel]. Noted.

[Majority Counsel]. In response to the Committee's

6846 request.

[Majority Counsel]. And these were documents that

6848 were in Dr. Hahn's possession originally, correct?

6849 Mr. Barstow. Yes.

BY [MAJORITY COUNSEL].

6851 Q So my question is this. Do you recall

6852 printing documents -- printing these documents in the

6853 October 2020 timeframe?

277

6854 A I remember printing documents. I don't

- 6855 specifically remember late October.
- 6856 Q When do you recall doing it?
- 6857 A More like the November timeframe. But, again,
- 6858 it's all a blur.
- 6859 Q What motivated you to print out these
- 6860 documents?
- 6861 A I had been told by the agency on multiple
- 6862 occasions that the federal records rule allowed me to have
- 6863 copies of documents for personal recollection, and that's
- 6864 why I printed this.
- 6865 Q Were you concerned that you would need access
- 6866 to these documents?
- 6867 A As you can see, I was concerned that I might
- 6868 not remember all the circumstances around this. And so if
- 6869 I needed to refresh my memory, and since it was allowed
- 6870 under law, I decided to do it.
- 6871 Q Why did you think you might need to refresh
- 6872 your recollection or have access to the documents?
- 6873 A It was a busy, complicated time. I can't tell
- 6874 you that I expected to be right here right now, so that
- 6875 would be inaccurate to say that I anticipated this, but --
- 6876 Q Were you concerned that you might be forced
- 6877 out of your position?
- 6878 A That did not motivate me to print these

278

6879 documents.

6880 Q But were you concerned at any time that you

6881 might be terminated?

6882 A You know, I would not use the word

6883 "concerned."

Q What word would you use?

6885 A I would use I was aware. But I also was aware

6886 that I had a job to do.

6887 Q You said you were aware. What were you aware

6888 of?

6889 A Through the press that, you know, someone

6890 might not want me to be in that job.

6891 Q Did you ever have conversations with Secretary

6892 Azar about this issue specifically?

6893 A No.

Q Anyone else at HHS?

6895 A Let me rephrase that. I remember having one

6896 conversation with Secretary Azar, and I'm not sure if it

6897 was about the firing part of this, but about press reports

6898 in general and how they were mischaracterizing his

6899 position. And I want to be accurate with you, I just don't

6900 remember if it was around this specific issue.

6901 Q What do you mean that -- press reports that

6902 were inaccurate or --

6903 A Statements about me in the press that were

279

6904 ascribed to him.

6905 Q What specifically?

6906 A I don't remember. I just remember, now that

6907 you brought it up, that conversation occurring.

6908 Q Were these statements in the press of him

6909 criticizing you or the other way around or something else

6910 entirely?

6911 A In general, criticisms of the actions of the

6912 agency.

6913 Q Which agency?

6914 A FDA.

6915 Q FDA?

6916 A Yeah.

6917 Q What do you recall of those conversations with

6918 Secretary Azar? What did he discuss?

6919 A Just in general, the fact that this was

6920 reported in the press and, you know, that it wasn't an

6921 accurate characterization of how he felt.

6922 Q And what was Secretary Azar's reaction to the

6923 conversation?

6924 A Well, he didn't react. He was the one who

6925 told me that.

6926 Q Got it. What was the tenor of the

6927 conversation?

6928 A It was very nice, very cordial.

280

6929 Q Did you ever discuss with anyone else whether 6930 there was an intent to possibly terminate you from your 6931 position as FDA commissioner?

6932 A This was a topic of conversation at the FDA.

6933 And if I told you otherwise, I'm sure you would not believe

6934 that, but you could imagine that Commissioner -- reports of

6935 his being fired or her being fired, that's news. So, you

6936 know, I had to address it internally because it -- what I

6937 told folks is don't concentrate on the externalities. Do

6938 your job, get it done for the American people, and do it

6939 the best you can.

6940 Q Was any action taken against you during this 6941 period to limit your role, responsibilities, or authority?

6942 A No.

Q As FDA was completing its review of the EUA applications for the Pfizer and Moderna vaccines, did anyone in the Trump administration attempt to move up the timeline?

6947 A For review, [Majority Counsel], that timeline?

6948 Q Yes.

6949 A Not that I am aware of.

6950 Q What about for approval -- or authorization,

6951 excuse me?

6952 A So there were multiple discussions with

6953 Mr. Meadows about the timeline. I shared the Gantt chart

6954 and what we had proposed as well as the proposed timeline.

281

6955 Q What did you discuss -- what did Mr. Meadows

6956 ask you or discuss with you?

6957 A The discussion was -- the discussion was try

6958 to shrink this as much as possible.

6959 Q Did you receive any directives or orders from

6960 Mr. Meadows during those conversations?

6961 A I don't believe so.

6962 Q Did you take any action based on those

6963 conversations with Mr. Meadows?

6964 A We, [Majority Counsel], continued to take

6965 action to try to reduce the timeline as much as possible,

6966 understanding that the sooner we could get the vaccines out

6967 the better. But, again, Peter and I were in very close

6968 contact. We met every day. We stayed on top of what the

6969 review process was. And if Peter said he needed more time

6970 to get something done, then he needed more time to get

6971 something done.

[Majority Counsel]. I'm going to mark as Exhibit 26

6973 a December 5th, 2020 email from you to Ms. Lenihan, Bates

6974 stamped SSCC-0038089.

6975 (Exhibit No. 26 was identified for

the record.)

BY [MAJORITY COUNSEL].

6978 Q I'll direct you to the bottom of the first

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6979 page. At 4:32 p.m., you wrote to Dr. Marks, "I also very

- 6980 much appreciate the conversation around firmness of our
- 6981 December 10 and December 17 VRBAC dates. I am in complete
- 6982 agreement that we absolutely need the time to complete the
- 6983 rigorous scientific reviews that your teams are going."
- 6984 Why did you write this email?
- 6985 A To document a conversation about my confidence
- 6986 in his team and their ability to get the job done.
- 6987 Q Was there a suggestion that the VRBAC date
- 6988 should be moved?
- 6989 A You know, I don't -- so I don't remember there
- 6990 being a suggestion specifically about December 10th and
- 6991 17th, but I think there was a general desire to see
- 6992 everything be expedited as much as possible.
- 6993 Q If you look to the first email in that chain,
- 6994 you wrote to Ms. Lenihan, "The issue surrounding the
- 6995 firmness of the October 10th and October 17th relates to a
- 6996 call that Bob Kadlec made yesterday to Peter. Bob asked
- 6997 that Peter move the VRBAC date up to October 9th in order
- 6998 to accommodate contract issues that ASPR/OWS has made with
- 6999 sponsors."
- 7000 What was your response to this request?
- 7001 A So, [Majority Counsel], I believe this relates
- 7002 to the October VRBAC meeting, the first one that we had
- 7003 where we were reviewing the guidances and what the process

7004 and procedure would be in the review process, which is

7005 separate and distinct from the dates in December for actual

283

7006 review of applications. That's my recollection of this.

7007 Q Why did you mention the October dates?

7008 A Again, for documentation around the fact that

7009 ASPR had asked for a change in that date. And we were not

7010 inclined to provide that change given the fact that it's a

7011 publicly established date, we have to provide notice,

7012 potential opportunity for comment, and documents related to

7013 it.

7014 Q Did anyone in the Trump administration push

7015 specifically to move up the December 10th and December 17th

7016 VRBAC dates?

7017 A Not that I remember. But, again --

7018 Mr. Armstrong. Could we pause for a second?

7019 (Discussion off the record.)

7020 The Witness. There's a possibility that I made an

7021 error in my typing this email. I apologize, I'm not

7022 completely sure about that. But I do have a remembrance of

7023 another ask by Dr. Kadlec, and so I can't completely tell

7024 you that I know the answer to your question other than I

7025 don't remember there being specific requests other than to

7026 speed the timeline.

7027 BY [MAJORITY COUNSEL].

7028 Q Thank you.

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7030 whatever VRBAC dates we set. Again, one, we have a process

But I can tell you that we were pretty firm in

7031 that requires public notification and documents to be

7032 released; and, two, you know, there's a confidence issue

7033 here as well.

7034 [Majority Counsel]. I'm going to hand you what I

7035 will mark as Exhibit 27. This is a December 11th, 2020

7036 Tweet.

7029

7037 (Exhibit No. 27 was identified for

7038 the record.)

7039 BY [MAJORITY COUNSEL].

7040 Q President Trump wrote, "While my pushing the

7041 money drenched but heavily bureaucratic @US FDA saved five

7042 years in the approval of NUMEROUS great new vaccines, it is

7043 still a big, old, slow turtle. Get the damn vaccines out

7044 NOW, Dr. Hahn @SteveFDA. Stop playing games and start

7045 saving lives!!!"

7046 Do you recall seeing this tweet?

7047 A I believe so.

7048 Q What was your reaction?

7049 A By that time, I believe we were really close

7050 to issuing the authorization. So as I remember -- was this

7051 in the morning?

7052 Q It appears to say 7:11 a.m.

7053 A And I'm again trying to remember, but I

285

7054 believe that the morning of the 11th we had already made

7055 the decision to issue the EUA.

7056 Q When do you believe that decision was made?

7057 A I believe it was before the tweet. We would

7058 have to check the records, but that's the remembrance of

7059 this, is that decision had already been made.

7060 Q Do you remember that decision was made that

7061 morning or --

7062 A Or maybe even Thursday evening.

7063 Q Okay.

7064 A The exact time -- but it was pretty darn

7065 close.

7066 Q Did you discuss the President's tweet with

7067 anyone?

7068 A I don't remember. You know, in general, the

7069 President's tweets were discussed both in the media, but

7070 also in the agency. We have a morning meeting every day at

7071 9:00, a big organizational meeting, and it would often get

7072 brought up.

7073 Q Did anyone express concerns about the

7074 President's tweet or similar sentiments that were being

7075 expressed?

7076 A Not that I remember. But, again, what I told

7077 you before, it was forget the externalities and focus on

7078 getting the job done.

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7079 Q Were similar sentiments expressed by anyone

7080 else in the administration to "get the damn vaccines out

7081 now"?

7082 A Mr. Meadows was similarly interested in making

7083 sure it happened as quickly as possible.

7084 Q What did Mr. Meadows tell you?

7085 A Again, from a high level point of view, get

7086 them out.

7087 Q It was reported that on the same day as the

7088 President's tweet you received a call from Mr. Meadows. Is

7089 that what you're referring to?

7090 A I don't remember.

7091 (Brief pause.)

7092 BY [MAJORITY COUNSEL].

7093 Q Do you recall when you had the call with

7094 Mr. Meadows?

7095 A It was around that timeframe. I just don't

7096 remember the specifics of time and date.

7097 Q Did Mr. Meadows order you to get the vaccine

7098 out?

7099 A Not that I remember, no.

7100 Q Did he give you a directive?

7101 A Mr. Meadows was, you know, again, as I said

7102 before, just generally clear about that he wanted it done

7103 as quickly as possible.

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7104 Q What was Mr. Meadows' demeanor?

7105 A Demonstrative. I guess that's the best word I

7106 could come up with.

7107 O What was his tone of voice?

7108 A That's really hard for me to -- I mean, he was

7109 very demonstrative about getting this out as quickly as we

7110 possibly could.

7111 Q Did he yell?

7112 A I just want to be as accurate as possible. I

7113 think some could interpret what he said as yelling. I, at

7114 the time, thought he was just sort of being, as I said,

7115 very demonstrative about what he thought.

7116 Q Was your future service as Commissioner

7117 discussed during that call?

7118 A If it's the call I think you're referring to,

7119 there were press reports about that. And the answer to

7120 that is, no, from my perspective. That there was a

7121 truncated statement made, I didn't completely hear it, I

7122 asked for clarification, and the call ended.

7123 Q What do you mean there was a truncated

7124 statement?

7125 A It seemed like a partial statement, not a full

7126 one. And I didn't hear it and I asked for clarification.

7127 Q What was that statement?

7128 A I don't remember the specific details; but I

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7129 thought at the time that, you know, it could be perhaps

- 7130 related to my position. But, again, I want to be fair,
- 7131 because I did not hear the actual statement, and that's why
- 7132 I asked for clarification.
- 7133 Q Did it sound like he was suggesting he would
- 7134 have you fired if you did not approve the vaccine?
- 7135 A Asking that question, the answer is no. He
- 7136 did not say to me that you will be fired if you don't
- 7137 approve. He did not say that.
- 7138 Q But he said something that made you think he
- 7139 might be referring to your position?
- 7140 A He said something that I thought needed
- 7141 clarification about my position. I just can't -- I didn't
- 7142 hear the content of it, and that's why I asked for
- 7143 clarification.
- 7144 Q But he hung up before giving it to you?
- 7145 A Correct.
- 7146 Q Are you aware of why he hung up?
- 7147 A Not really. We had a discussion about 30
- 7148 minutes to an hour later, it was very cordial, and we
- 7149 referred to it and, you know, sort of like in the heat of
- 7150 the moment sort of thing.
- 7151 Q How long was the first call?
- 7152 A My recollection is 15, 20 minutes, something
- 7153 like that.

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7154	Q	What about the second call?
7155	А	Five minutes.
7156	Q	What was discussed during the second call?
7157	А	Press reports around this, because it had
7158	already bee	n leaked to the press. And it might not have
7159	been 30 min	utes, it might have been longer than that, but
7160	it was late	r that same day as I remember.
7161	Q	Was anyone else on the call with you?
7162	А	Dr. Marks was.
7163	Q	Did you discuss it with Dr. Marks afterwards?
7164	А	I don't think so. Well, you know what, I
7165	think we pr	obably just discussed it in general. I do not
7166	think we di	scussed the specific remark, that I can
7167	remember, [Majority Counsel].	
7168	Q	Did you discuss the call with anyone else?
7169	А	Keagan Lenihan.
7170	Q	What did you discuss with Ms. Lenihan?
7171	А	Exactly what I just described to you.
7172	Q	Did you take any action following the call?
7173	А	No.
7174	Q	When was the first vaccine ultimately
7175	authorized	under EUA?
7176	A	I believe on the 11th. We had a the 10th
7177	was the VRB	AC meeting. We had a meeting with the team to

7178 discuss the results of the VRBAC meeting. We made a

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7179 decision at that time to go ahead with the authorization

- 7180 and then had to complete the paperwork. And I
- 7181 believe -- and we'll have to check the public record, but I
- 7182 believe we issued a statement at 7:00 a.m. about that.
- 7183 Q So just walk me through the timeline for the
- 7184 day. So a statement was issued at 7:00 a.m.,
- 7185 approximately?
- 7186 A Yeah, I'm thinking, [Majority Counsel].
- 7187 Q And so that was before President Trump issued
- 7188 the tweet?
- 7189 A That's what I'm remembering here, yes.
- 7190 Q When did you speak with Mark Meadows the first
- **7191** time?
- 7192 A Earlier in that week, I believe it was. I may
- 7193 be getting my dates wrong, but it was before that time.
- 7194 Q Okay. So do you recall if it was shortly
- 7195 before the 11th, or could it have been a few days?
- 7196 A I just don't remember, [Majority Counsel], I'm
- 7197 sorry.
- 7198 Q When was the second call with Mark Meadows?
- 7199 Was it the same day?
- 7200 A Yeah, it was the same day. And, again, I'm
- 7201 probably getting the dates confused, to be honest with you,
- 7202 but it's just -- that's the sequence. How it relates to
- 7203 the particular decisionmaking, I'm not completely

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- 7204 remembering.
- 7205 Q And so Mark Meadows may have made a comment
- 7206 putting into question your future service as Commissioner
- **7207** of FDA?
- 7208 A I am not saying that. What I am saying is
- 7209 that I did not hear the comment and I asked for
- 7210 clarification.
- 7211 Q Okay. Did you ever ask Dr. Marks whether he
- 7212 heard the statement?
- 7213 A I don't believe so.
- 7214 Q And when the EUA was authorized, who made the
- 7215 ultimate decision to do that?
- 7216 A Dr. Marks, and the Center for Biological
- 7217 Evaluation Research.
- 7218 Q And did you agree with the decision to issue
- **7219** that?
- 7220 A Absolutely.
- 7221 Q During a rally on June 20th, 2020, President
- 7222 Trump stated, "Testing is a double-edged sword. When you
- 7223 do testing to that extent, you are going to find more
- 7224 people, you are going to find more cases. So I said to my
- 7225 people, 'Slow the testing down please.'"
- 7226 Are you aware if anyone was ever instructed to slow
- 7227 the testing down?
- 7228 A I don't have firsthand knowledge of that.

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- 7229 Q Did you ever hear it from someone else?
- 7230 A We discussed it at the doctors' meetings.
- 7231 Q What was discussed?
- 7232 A Just that that was something that the
- 7233 President had said.
- 7234 Q Did anyone suggest that they had heard similar
- 7235 sentiments from President Trump?
- 7236 A I don't remember if there was a specific
- 7237 discussion with President Trump.
- 7238 Q Or about whether President Trump had
- 7239 given -- expressed that sentiment?
- 7240 A Yeah, I believe there were multiple
- 7241 discussions that took place. I just don't remember with
- 7242 whom and where. But a topic did come up at the doctors'
- 7243 meeting.
- 7244 Q Did anyone suggest that they had been told to
- 7245 take any action to slow testing down?
- 7246 A No one suggested that to me.
- 7247 Q Did you ever see evidence that testing was
- 7248 slowed down or limited in any way?
- 7249 A No direct evidence of that, [Majority
- 7250 Counsel].
- 7251 Q You said no direct evidence. Did you see any
- 7252 indirect evidence?
- 7253 A Just that the fact that it was discussed,

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7254 that -- you know, it was an issue that was brought up; it

- 7255 was discussed from a scientific and medical point of view,
- 7256 but I didn't -- and I guess what I mean by direct is I
- 7257 didn't see or hear about anything that told, for
- 7258 example -- they certainly did tell us stop authorizing
- 7259 tests. And I never heard anything of saying to CDC or
- 7260 anyone else stop doing tests, stop supporting
- 7261 manufacturing, et cetera.
- 7262 Q It was widely reported that some areas of the
- 7263 country were facing testing shortages as well as lengthy
- 7264 delays in processing test results during the summer of
- 7265 2020. Did you discuss those test shortages and delays with
- 7266 President Trump?
- 7267 A I did not have a conversation with President
- 7268 Trump about that.
- 7269 Q Did you have any conversations with members of
- 7270 the coronavirus task force?
- 7271 A We discussed those issues regularly.
- 7272 Q What was discussed specifically?
- 7273 A Just about, at almost every meeting Admiral
- 7274 Giroir would provide an update regarding testing,
- 7275 availability, number of tests performed, et cetera, and
- 7276 also measures that were being taken to try to increase the
- 7277 use and availability of tests.
- 7278 Q Is it fair to say that recommendations were

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7279 made to address these issues?

7280 A I can't remember specific recommendations, but

- 7281 it's fair to say that there most likely were.
- 7282 Q Do you recall whether any recommendations were
- 7283 rejected with respect to expanding testing or resolving
- 7284 delays in test processing?
- 7285 A I don't remember any rejection of that.
- 7286 [Majority Counsel]. I'd like to mark as Exhibit 28
- 7287 an August 6, 2020 email from Ms. Lenihan to you, Bates
- 7288 numbered SSCC-0037982.
- 7289 (Exhibit No. 28 was identified for
- 7290 the record.)
- 7291 BY [MAJORITY COUNSEL].
- 7292 Q The subject line reads, "LDT Discussion with
- 7293 AMA." What does LDT refer to?
- 7294 A Laboratory developed tests.
- 7295 Q And does AMA refer to Secretary Azar?
- 7296 A It does.
- 7297 Q In the email, Ms. Lenihan says, "Sir, putting
- 7298 everything together in one email so you have it for the 5
- 7299 pm with the Secretary. Attached are the talking points
- 7300 around the concerns with the statement."
- 7301 Did you meet with Secretary Azar that day to discuss
- 7302 LDTs?
- 7303 A We had a phone call.

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7304 Q What did you discuss during the phone call?

7305 A A proposal by HHS to publicly state that we,

7306 as FDA, no longer had jurisdiction over the

7307 review -- mandated jurisdiction over the review of EUAs.

7308 Q Was this the first time that you were hearing

7309 about this proposal?

7310 A No.

7311 Q When did you first learn about the proposal?

7312 A We started discussing something along these

7313 lines in the summer, I believe July, early July of 2020.

7314 Q How was this brought to your attention?

7315 A It was brought up from HHS to the FDA team,

7316 and then ultimately in a conversation that I had with

7317 Secretary Azar and his team.

7318 Q What was discussed?

7319 A Around the issues of, you know, there was

7320 concerns that --

7321 (Discussion off the record.)

7322 The Witness. So we had been told that there was a

7323 determination that perhaps FDA's oversight during public

7324 health emergencies at laboratory-developed tests was

7325 illegal. And there was a specific -- I think an

7326 Administrative Review Act that it potentially was

7327 violating. And they asked us to take a look at this and

7328 come up with some formulation about how we could address

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7329 it.

7330 Q And what were the tenor of those initial

7331 meetings?

7332 A Again, cordial.

7333 Q What happened? Was FDA able to come up with a

7334 workaround or a --

7335 A Yes, we had come up with a compromise. I

7336 don't remember, counsel had sent me an email to that effect

7337 and we thought that we had an agreement around it.

7338 Q And what was that agreement?

7339 A I don't have the specifics in front of me. In

7340 general, which has been publicly reported, our stance was

7341 that while the law was -- there was a lot of gray in this

7342 law, particularly during a public health emergency, that

7343 given the importance of reviewing the LDTs we would want to

7344 continue to do that because it is a public health

7345 emergency. But that, with respect to the LDTs as a whole,

7346 that this should be visited at a legislative level and at a

7347 policy level in the future.

7348 Q Why did you think that it was important for

7349 FDA to regulate LDTs?

7350 A Well, during a public health emergency, we

7351 talked early on about the inaccuracies associated with

7352 those tests and how that could significantly influence

7353 decisions that were made for the care of patients.

7354 Q I believe you said you thought that you had an

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- 7355 agreement on a path forward. What happened next?
- 7356 A I'm not exactly sure what happened, other than
- 7357 the document you're referring to was a proposed web
- 7358 statement that we would put out, which went back to the
- 7359 original proposal stating that we would no longer require
- 7360 mandatory reviews of LDTs and that we were determined -- we
- 7361 had determined that they were illegal.
- 7362 Q How did this -- are you aware of how this
- 7363 issue first came up? If there was, for instance, a lawsuit
- 7364 challenging FDA's interpretation of the rule or some other
- 7365 reason that this legal review was performed?
- 7366 A I'm not aware.
- 7367 O Had you had prior discussions with Secretary
- 7368 Azar or anyone where the concern was expressed about how
- 7369 FDA was interpreting the rule or the oversight that they
- 7370 were performing with respect to LDTs?
- 7371 A I don't remember a conversation about how we
- 7372 were interpreting the law. I do remember conversations
- 7373 about whether FDA's oversight over LDTs in general was
- 7374 stifling innovation and making it more difficult for LDTs
- 7375 to be commercially available.
- 7376 Q Who raised those concerns to you?
- 7377 A I believe Brian Harrison did.
- 7378 Q Did you agree with him?

No, I did not.

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Α

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7380 Q What did you tell him, if anything? Well, in general, I and our team expressed 7381 what I said to you; which is that we had data to show that 7382 the oversight was important, that our February 29th 7383 revision of our guidance to provide regulatory flexibility 7384 was kind of where we ended up, but we felt it should 7385 continue, and that we were very happy to revisit this in 7386 the legislative and policymaking process. 7387 Turning back to Exhibit 28. Ms. Lenihan said 7388 Q 7389 that she was attaching or providing talking points around the concerns with the statement. What concerns did she 7390 specifically raise with respect to the statement? 7391 7392 These were concerns that were vetted at the

7395 And the concerns are, as I stated before, which is that
7396 we -- it was a longstanding position held by the agency
7397 understanding that it was a gray area in the law, and we
7398 did not agree with the conclusion that it was illegal for
7399 us to have oversight of LDTs.

7400 Q The email lists an attachment, FDA LDT Web

center level by the scientists, by Jeff Shuren and by the

Commissioner's office and by the Office of Chief Counsel.

7400 Q The email lists an attachment, FDA LDT Web
7401 Announcement - July 28 DRAFT. Was it suggested that FDA
7402 should announce changes to the LDT regulation on FDA's
7403 website?

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7404 Α Yes. 7405 Q Who proposed this? 7406 HHS. Α 7407 Did you agree with that proposal? Q 7408 Α No. Why not? 7409 Q As I stated, we had a different 7410 Α interpretation, and it was a longstanding interpretation at 7411 7412 the agency that preceded me by many years and had been 7413 over -- and had been -- I don't want use the word 7414 "propagated," but had been a longstanding legal stance by 7415 the agency. 7416 Was that announcement on FDA's website ever Q. 7417 made?

7418 Α No.

7419 Did you meet with Secretary Azar on August Q

7420 6th, as Ms. Lenihan's email suggested?

We had a call. 7421 Α

7422 A call. How would you characterize the tenor Q

7423 of that call?

It was tense. 7424 Α

Did he raise his voice? 7425 Q

7426 Secretary Azar was again very vocal and

7427 demonstrative about what he thought was the right answer

here. I think you would have to ask him about what his 7428

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7429 state was at the time.

- 7430 Q Did it upset you?
- 7431 A I would say mildly it upset me, but I didn't
- 7432 feel, like, personal about it.
- 7433 Q It was reported that you and Secretary Azar
- 7434 had screaming matches about this issue. Is that accurate?
- 7435 A It's inaccurate. I did not scream at all
- 7436 during the conversation -- well, frankly, ever, with the
- 7437 Secretary.
- 7438 Q Was it accurate to say that Secretary Azar
- 7439 screamed at you?
- 7440 A I think you'll have to ask the Secretary
- 7441 whether he considered that to be screaming. As I said, it
- 7442 was demonstrative and vocal.
- 7443 Q I'm putting the question to you since you're
- 7444 in front of me. Would you consider it accurate to say that
- 7445 Secretary Azar screamed at you?
- 7446 A He raised his voice. I wouldn't say screamed.
- 7447 Q Would you say yelled?
- 7448 A He raised his voice, [Majority Counsel].
- 7449 That's how I can characterize it.
- 7450 [Majority Counsel]. We are just at the hour, so we
- 7451 can go off the record.
- 7452 (Recess.)
- 7453 BY [MAJORITY COUNSEL].

7454 Q Dr. Hahn, on August 19, 2020, HHS announced

7455 that FDA would no longer require premarket reviews of LDTs,

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7456 including coronavirus LDTs, absent notice and comment

7457 rulemaking; is that correct?

7458 A That's correct.

7459 Q Is this what you had been discussing

7460 previously, the legal determination that you disagreed

7461 with?

7462 A Yes.

7463 Q Did you consent to this announcement made by

7464 HHS?

7465 A No.

7466 [Majority Counsel]. I'm marking as Exhibit 29 an

7467 August 20th, 2020 email from Robert Charrow to you, Bates

7468 numbered SSCC-0037960.

7469 (Exhibit No. 29 was identified for

7470 the record.)

7471 BY [MAJORITY COUNSEL].

7472 Q Mr. Charrow wrote, "In light of yesterday's

7473 posting on LDTs, thought it would be helpful if you were

7474 able to read over our legal rationale for the posting.

7475 Accordingly, I've attached the OGC memorandum."

7476 What purpose did the OGC memorandum provide, to your

7477 understanding?

7478 A It was their legal rationale. And I suspect

7479 that this email was a means of documenting that it had been

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- 7480 passed along to me.
- 7481 Q You mentioned that you had the discussions
- 7482 with Secretary Azar over this. Who else was involved in
- 7483 the discussions over the decision to no longer require the
- 7484 premarket review, and to ultimately announce it on August
- **7485** 19th?
- 7486 A [Majority Counsel], just to be clear do you
- 7487 mean overall that specific call? What do you --
- **7488** Q Overall.
- 7489 A Yeah.
- 7490 Q Who generally was working on this?
- 7491 A There were a number of people at HHS who were
- 7492 involved, Brian Harrison; on our end, Keagan Lenihan, Anna
- 7493 Abram, Stacy Amin, center directors, and specific on this
- 7494 particular situation was Jeff Shuren and his team.
- 7495 Q Are you aware of why HHS decided to make this
- 7496 change at this particular time?
- 7497 A No.
- 7498 Q Apart from you, did anyone else express
- 7499 concerns about the change?
- 7500 A To me? Other than internal discussions, I do
- 7501 not believe so.
- 7502 Q Did you discuss the changes with anyone other
- 7503 HHS officials?

7504 A I might have mentioned it to Dr. Redfield; but

303

7505 this really wasn't his area of oversight.

7506 Q Do you recall what you discussed with

7507 Dr. Redfield?

7508 A I don't, other than maybe just relating the

7509 circumstances to him.

7510 Q During your conversations with Secretary Azar

7511 over the decision to make the change with respect to the

7512 LDT authority, did you ever threaten to resign?

7513 A No.

7514 Q After you were interviewed by CNN for a

7515 special last year, Secretary Azar released a statement

7516 which said, "Dr. Hahn's recitation of this call is

7517 incorrect. The only intemperate conduct was Dr. Hahn's

7518 threat to resign."

7519 So was Secretary Azar's statement incorrect?

7520 A I believe it was incorrect, yes.

7521 Q You mentioned that HHS announced the policy

7522 change and FDA did not put it on its website. Why was that

7523 decision made?

7524 A Why was which decision made?

7525 O That it would be announced on HHS's website

7526 and not on FDA's.

7527 A All I can tell you is what I was involved in,

7528 which is I made it clear that FDA would not publish that on

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7529 its website. That we did not agree with it. It was

- 7530 against a longstanding legal opinion that we had. I
- 7531 personally did not feel it was related to public health.
- 7532 Q To be clear, did you express those concerns to
- 7533 Secretary Azar?
- 7534 A Yes.
- 7535 Q Did a similar disagreement ever occur during
- 7536 your tenure as FDA commissioner where HHS desired to make a
- 7537 change that you disagreed with?
- 7538 A Yes.
- 7539 Q What else?
- 7540 A There was a memorandum of understanding around
- 7541 Ag-Biotech with the Department of Agriculture.
- 7542 Q Okay. And what was the outcome of that
- 7543 disagreement?
- 7544 A Ultimately, in late January, another official
- 7545 signed a memorandum of understanding because I refused to
- 7546 do so.
- 7547 Q Apart from that, were there any other similar
- 7548 incidents?
- 7549 A Not that I remember.
- 7550 Q Did FDA ever update its website while you were
- 7551 FDA Commissioner to reflect the change in authority with
- 7552 respect to LDTs?
- 7553 A Yes. We updated our website, I believe -- we

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7554 at least updated our guidance and perhaps our website.

7555 We'd have to check versions. But what we decided to do at

7556 that point, given the legal determination by HHS, was that

7557 we decided that even if individual LDT makers submitted

7558 applications to us, we would not review them unless the

7559 impact of those LDTs was -- I believe the number, [Majority

7560 Counsel], was 150,000 per week or more.

7561 We wanted to be able to prioritize our resources so

7562 that we could have the biggest impact. And obviously, also

7563 for point of care and at-home testing. That was another

7564 big one. And I believe that we updated that on our

7565 website.

7566 Q Did you raise concerns about this change in

7567 guidance to anyone outside of HHS?

7568 A Yes.

7569 O Who?

7570 A The head of the Domestic Policy Council at the

7571 White House and Dr. Birx.

7572 Q What did you discuss with Dr. Birx?

7573 A Just my concerns on this issue and how it

7574 might affect testing.

7575 Q What was Dr. Birx's reaction?

7576 A I don't remember the specifics of her

7577 reaction; but I think, in general, she agreed with our

7578 position.

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7579 Q Did she suggest any possible proposal or way

7580 forward on this issue?

7581 A Not that I remember.

7582 Q What did you discuss with the head of the

7583 Domestic Policy Council?

7584 A Again, the general approach to this, what our

7585 stance was, and why we thought it was important.

7586 Q On September 15, 2020, HHS issued a memorandum

7587 stating that all departmental rules must now be signed by

7588 the Secretary.

7589 Was it your understanding that this applied to FDA?

7590 A Yes.

7591 Q Was it your understanding that this prohibited

7592 FDA from signing any new rules regarding medicines, medical

7593 devices, and other products unless Secretary Azar agreed?

7594 A Yes.

7595 Q What was your reaction to this memo?

7596 A I thought it would be a significant

7597 bottleneck. And while I would not characterize FDA's

7598 issuance of guidance and rules to be guick, I thought that

7599 this would further slow that down.

7600 Q Did you speak with anyone in HHS about the

7601 memo before it was released publicly?

7602 A I was unaware.

7603 Q So was the first time that you learned about

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7604 the memorandum when it was actually issued on September

7605 15th?

7606 A I believe so, [Majority Counsel].

7607 Q Did you speak any --

7608 A Our folks internally might have heard a day or

7609 two before. I just don't remember, and again I want to be

7610 accurate with you.

7611 Q I appreciate that. Thank you. Did you speak

7612 with anyone at HHS after the memo was released?

7613 A Yes, Administrator Verma and Dr. Redfield to

7614 see if they were aware, had been aware of it.

7615 Q What did you discuss with them?

7616 A Just what the memo was about, and did it

7617 affect them, and had that been discussed with them before

7618 it was issued.

7619 Q And what did they say?

7620 A It did affect them, probably not as much as

7621 us, and it had not been discussed.

7622 Q Did you speak with anyone else at HHS?

7623 A Our team did.

7624 Q What was discussed?

7625 A Just the rationale for it. Why, you know,

7626 that sort of thing.

7627 Q What were they told?

7628 A You know, [Majority Counsel], I don't remember

7629 all the details of those conversations. But it was

7630 discussed, and there wasn't really an opportunity for us to

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7631 effect a change.

7632 Q What do you mean by that?

7633 A In terms of not having it go forward.

7634 Basically, it seemed and my remembrance of it is that it

7635 was a done deal.

7636 Q Did you raise your concerns to Secretary Azar?

7637 A I did not.

7638 Q Why not?

7639 A At that time, my regular one-on-ones had

7640 halted, and there just wasn't the means or opportunity to

7641 do anything.

7642 Q Who had halted those meetings?

7643 A I'm not exactly sure, but typically those

7644 meetings would be scheduled through the Secretary's office.

7645 Q When did those regular meetings cease?

7646 A Sometime in October, November, I believe.

7647 Q You said that you were concerned that this

7648 rule could have created a bottleneck. Did it in fact

7649 create a bottleneck or slow anything down?

7650 A Well, these things take a while to be

7651 implemented. So during my tenure, I don't think that we

7652 specifically saw that.

7653 Q Did you learn the rationale for making this

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7654 change?

7655 A No.

7656 Q Did you believe that this change was made to

7657 specifically limit FDA's rulemaking power?

7658 A I can't speculate to that. I don't know.

7659 Q The White House and CDC released a number of

7660 public health guidance documents in 2020 related to the

7661 pandemic. Did you have any role or responsibility with

7662 respect to that guidance?

7663 A Our -- there's an interagency review process,

7664 and typically our agency would review. That would not

7665 typically go to the Commissioner's office. So I can't

7666 remember a circumstance where I would have looked at it

7667 ahead of time unless Dr. Redfield specifically asked me,

7668 and I don't remember that at this point.

7669 Q Okay. You don't remember them being discussed

7670 at the task force meetings?

7671 A We discussed guidances, but it was typically

7672 through a late stage in the review process. But there was

7673 always opportunities to change guidances, and so that was

7674 one of the reasons to discuss with the task force.

7675 Q What was your role in that process at the task

7676 force? Would you actually review and provide comments?

7677 A If it was something that was related to FDA's

7678 purview where I felt that I had expertise related to that,

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7679 yeah, I provided comments. And often it was informally

- 7680 with Dr. Redfield, but typically it was formally through
- 7681 the interagency review process.
- 7682 Q Do you recall specific pieces of guidance that
- 7683 you provided comments on?
- 7684 A At one point, Dr. Redfield was contemplating
- 7685 changes to testing guidance and there were some technical
- 7686 issues related to FDA's oversight that we had a
- 7687 conversation about. I don't remember the details. It may
- 7688 have been related to asymptomatic testing, the same issue
- 7689 we brought up before, and whether a guidance was consistent
- 7690 with the intended use in the emergency use authorizations.
- 7691 Q Do you recall if -- strike that.
- 7692 The testing guidance was updated in August of 2020
- 7693 and then again in September of 2020. Was that what you
- 7694 were referring to, one of those changes?
- 7695 A Or both of them, [Majority Counsel]. We had a
- 7696 lot of discussions about it. And what I would always do is
- 7697 internally relate them to Jeff Shuren and his team so that
- 7698 we could have the experts weigh in on them, because we
- 7699 really wanted to make sure that whatever guidance we
- 7700 provided was both practical and impactful but also
- 7701 consistent with what the data supported.
- 7702 Q I'd like to briefly turn to your interactions
- 7703 with Dr. Scott Atlas, who was appointed to serve as special

7704 adviser to President Trump in late July 2020. Did you have

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7705 interactions with Dr. Scott Atlas?

7706 A One time at the task force.

7707 Q What do you recall?

7708 A It was an introduction.

7709 Q Did you have any substantive discussions with

7710 Dr. Atlas?

7711 A We had a substantive discussion at the task

7712 force that day about issues related to herd immunity,

7713 related to, you know, masking, and issues of whether

7714 natural infection could be a way of increasing herd

7715 immunity.

7716 Q Was that something that Dr. Atlas was

7717 advocating for?

7718 A I believe so.

7719 Q What was your reaction to that?

7720 A Well, I'm not sure if it was that meeting or

7721 subsequent meetings we had a discussion about it, and from

7722 our own individual perspectives the doctors on the group

7723 commented on it.

7724 And my personal reaction was that, particularly being

7725 a cancer doctor, the problem with that approach, although

7726 in some situations that's not an unreasonable policy and

7727 something that at least needed to be discussed. Because of

7728 the particular effect of COVID on the immunosuppressed and

7729 its lethality in that setting, we don't know who's walking

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- 7730 down the street and could be immunosuppressed, for example,
- 7731 getting chemotherapy. Thank God we've progressed to the
- 7732 point where that's not always apparent. And, therefore,
- 7733 those people could be at risk without prior knowledge of
- 7734 it.
- 7735 Q So is it fair to say that you disagreed with
- 7736 the herd immunity strategy that Dr. Atlas was advocating
- **7737** for?
- 7738 A I think it's fair to say that I disagreed with
- 7739 it, yes.
- 7740 Q Did you have concerns about it?
- 7741 A Well, those were the concerns that I just
- 7742 raised to you, [Majority Counsel].
- 7743 Q Did you ever discuss those concerns with other
- 7744 members of the task force?
- 7745 A Yes. I remember having that discussion with
- 7746 Mr. Short.
- 7747 Q What do you recall discussing with Mr. Short?
- 7748 A The same issue that I brought up. And listen,
- 7749 you know, the problem with 2020, maybe now, is that not
- 7750 having an environment that allows you to have a discussion
- 7751 about a legitimate medical issue is problematic.
- 7752 And so the tenor of the conversation needed to be
- 7753 that we respect people's opinion, but that we can have

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7754 reasonable disagreements based upon the science and the

- 7755 data. And that was where I stood, that I did not think
- 7756 that that was an appropriate response to this particular
- 7757 pandemic because of that.
- 7758 O Did you think it was a legitimate response?
- 7759 A You know, during an emergency, doctors will
- 7760 give and take on ideas all the time. You may decide that
- 7761 it's a bad idea, a stupid idea, whatever you want to call
- 7762 it, but one thing you don't do is ridicule the production
- 7763 of ideas and the discussion of them. And that's the way
- 7764 that I approached this.
- 7765 Q Got it. Did Mr. Short have any reaction to
- 7766 that conversation?
- 7767 A He wanted my opinion about it, to his credit,
- 7768 and I gave him my opinion.
- 7769 Q And did you have any further discussions with
- 7770 Mr. Short about it?
- 7771 A We might have had other discussions about it.
- 7772 It was along the same lines, it was very respectful, really
- 7773 seeking my opinion about it.
- 7774 Q Did the administration ultimately adopt any of
- 7775 the policies that Dr. Atlas was advocating for?
- 7776 A Not that I am aware of.
- 7777 Q Are you aware whether others on the task force
- 7778 had concerns about the strategies that Dr. Atlas was

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7779 advocating for?

7780 A Yes. Sorry.

7781 Q Of course.

7782 A I know that Dr. Redfield, Dr. Fauci, and

7783 Dr. Birx, and I had similar feelings about it.

7784 Q What did you discuss with them?

7785 A Just in general the proposal and, you know,

7786 highlighting the issue around the immunosuppressed and the

7787 vulnerable, and the practical aspects of implementing such

7788 a policy.

7789 Again, this was a doctor discussion about a

7790 suggestion, and I think it's important to remember that

7791 some countries actually had done that. I think it was a

7792 Scandinavian country that had done this early on in the

7793 pandemic. So it's not so outlandish that a country didn't

7794 decide to do it. You could argue about whether that was an

7795 effective strategy or not, but in our country given the

7796 heterogeneity and the number of immunosuppressed, it's an

7797 issue.

7798 Q Very briefly, the testing guidance that was

7799 changed in August of 2020, you mentioned that you discussed

7800 it with Dr. Redfield. Do you recall who was involved in

7801 updating the guidance beyond just Dr. Redfield?

7802 A I believe Dr. Birx, Dr. Fauci, Admiral Giroir.

7803 Those are the folks I can remember.

315

7804 Q It was widely reported that the White House

7805 blocked Dr. Birx and Dr. Fauci and possibly other task

7806 force members from appearing on television news programs in

7807 2020. Were you ever blocked from appearance on TV news

7808 programs by the White House?

7809 A There were times when I was invited, and I was

7810 told that it was not going to be allowed.

7811 Q Were you told why?

7812 A No.

7813 Q It's been publicly reported, in early November

7814 that Dr. Birx delivered a private warning to White House

7815 officials that the country was entering a concerning and

7816 most deadly phase of the pandemic, and that a more

7817 aggressive approach was needed to be implemented.

7818 Do you recall that?

7819 A I don't have specific recollection around

7820 that, [Majority Counsel].

7821 Q Did you agree with the assessment that the

7822 country needed to -- that the country was potentially

7823 entering a severe winter surge and more aggressive action

7824 was needed?

7825 A I did agree that we were anticipating and

7826 seeing signs of a surge at that time, yes.

7827 Q Did you make any proposals to mitigate that

7828 surge?

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7829 A The doctors group and -- through Dr. Birx had

7830 discussed it, and I know that Dr. Birx had made several

7831 proposals.

7832 Q Were those proposals implemented?

7833 A I don't know.

7834 Q According to the Washington Post, you and the

7835 other doctors on the task force decided to stage an

7836 intervention as cases started to tick upward in

7837 mid-November; is that correct?

7838 A I'm not sure what they mean by intervention,

7839 but we decided to have conversations at the task force and

7840 with the Vice President's office about this.

7841 Q What did you discuss with the Vice President's

7842 office?

7843 A Just what Dr. Birx -- what you reported

7844 Dr. Birx said, that we were anticipating a surge, and that

7845 we really felt that we need to be prepared to deal with

7846 that and what efforts could potentially be put in place for

7847 that.

7848 Q What was the response from the Vice

7849 President's office?

7850 A Consideration of it.

7851 Q Were those strategies or proposals

7852 implemented?

7853 A I believe some of them were, [Majority

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7854 Counsel].

7855 Q Do you recall which?

7856 Α I don't.

7857 Do you recall which strategies were not 0

7858 implemented?

7859 Α I don't, sorry.

Of course. The Washington Post article 7860

reported that you and the other doctors also met with 7861

Mr. Meadows, and that he told you he did not believe the 7862

7863 troubling assessment about the pandemic and accused you of

7864 outlining problems without prescribing solutions. Is that

7865 accurate?

I don't remember a specific meeting with 7866 Α

Mr. Meadows with that particular issue related. 7867

don't remember, [Majority Counsel]. It might have been in 7868

a task force meeting as opposed to a specific meeting, but 7869

I just don't remember those circumstances. 7870

7871 By late November, early December, was the task

force meeting as regularly as it had previously in the 7872

7873 year?

Certainly not as regularly as the spring of 7874

2020 and even earlier in the fall, but it was meeting 7875

7876 regularly.

Was there concern that -- did you have any 7877

7878 concern that the White House was not paying sufficient

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7879 attention to the pandemic during that period?

7880 A I think we all had concerns that given the
7881 results of the election and the potential transition, that

7882 we wanted to make sure that we kept our eye on the ball as

7883 much as possible. I don't remember conversations where

7884 someone specifically said the White House isn't paying

7885 enough attention to it, but I do remember the conversations

7886 about concern given the sort of state of the political

7887 environment.

cetera.

7895

7888 Q What do you mean that there was conversations 7889 about concern of the political environment?

A Just, again, we all as doctors have to keep
our eye on the ball as far as what's going on. So the FDA
doing its job regardless of what happened on the political
side, CDC, the same with the task force. And that included
communicating with states addressing testing issues, et

Q What steps do you think could be taken to
maintain the independence of scientific work at the FDA?

A Well, I've been on the record, so I'll just go
for it. I think strong consideration needs to be made for
the independence of FDA from Health and Human Services.

That ultimately, at the end of the day, an agency that is
in a situation where scientific decisions can be reversed,

7903 I've always been -- it's problematic to me.

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And I also have been very clear about the fact that
we cannot have rogue agencies in government, that there has
to be appropriate oversight of that. So could a model be
developed where there's appropriate oversight, but at the
same time scientific independence.

7909 This is a great country. There isn't any reason that 7910 we can't come up with such a model.

7911 Q Do you believe that having an independent FDA
7912 would have helped ensure a better, stronger response in
7913 2020 to the pandemic?

7914 A I can't speculate to that. I don't know.

7915 Q Apart from the independence of the FDA, are
7916 there any policies and procedures that you wish you would
7917 have had in place to protect FDA from pressure during 2020?

7918 A I can't think of a specific policy to put in 7919 place for the protection of the agency. I do think that 7920 our review of our response and the prep document is a 7921 useful commentary about what should be made permanent; 7922 inspections, communication, transparency, rolling review,

7923 et cetera.

7924 Q Okay. I am nearly done. Thank you so much
7925 for sticking with us today. I would just very briefly like
7926 to discuss document issues.

7927 We have discussed previously that you had printed out 7928 certain records and took them with you when you left FDA.

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7929 How did you decide which documents to print and take with

- **7930** you?
- 7931 A I chose documents over issues that I thought
- 7932 there would be questions that were raised, and I wanted to
- 7933 make sure I had as accurate a recollection as possible and
- 7934 be consistent with. And I had, as I told you, multiple
- 7935 conversations with folks at the agency about what the rules
- 7936 of the road were.
- 7937 So I wanted to be certainly consistent with the law,
- 7938 but also make sure I had in my mind documents that could
- 7939 help refresh my memory.
- 7940 Q Have all of those documents been turned over
- 7941 to HHS in connection with --
- 7942 A Yes.
- 7943 Q -- this process?
- **7944** A Yes.
- 7945 Q Okay. Thank you. What devices did you use to
- 7946 communicate regarding official business while working for
- 7947 FDA and on the task force?
- 7948 A My FDA computer and my FDA phone. I did have
- 7949 text messages with individuals on my personal phone,
- 7950 typically with people who I was in touch with before
- 7951 becoming Commissioner, and I turned those all over to the
- 7952 agency when I left. I handed my phone over for them to
- 7953 extract those.

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7954 So apart from texting on a personal cell 7955 phone, did you use any other messaging applications on your 7956 personal cell phone or your computer to discuss official 7957 business? To discuss official business, I did have 7958 Α 7959 Signal on my phone. Those messages disappear. And, in general, I received messages from people who I met 7960 preceding my tenure and typically when the messages were 7961 regarding setting up meetings, and also the press sometimes 7962 7963 communicated that way. 7964 Did you have any substantive communications with individuals on Signal beyond just setting up meetings? 7965 Typically not, no. And I'm saying typically 7966 Α 7967 just because I don't remember every one. But I really tried to steer policy decisions to -- official FDA 7968 7969 documents to actual conversations that someone would be a 7970 witness to. 7971 Q Do you recall who you communicated with on 7972 Signal? Not everybody. Almost every one of my 7973 contacts is on and is in there. But, again, there would be 7974

7975 people in the agency, outside of the agency who were part
7976 of that.
7977 Q Who were those people? And I only want you to

7977 Q who were those people? And I only want you to 7978 focus on any communications that were related to the

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7979 pandemic response work.

7980 A Okay. So one of my deputies, Dr. Shah, used

7981 Signal. As I said, the press used Signal a lot. Whether

7982 you consider that to be related or not, they always had

7983 questions and typically I would say, I'm happy to have a

7984 conversation with you, those sorts of things.

7985 I am having trouble remembering anybody else but, as

7986 I said, almost my entire contact was on Signal. I just

7987 don't remember having anything substantive relating to the

7988 pandemic response.

7989 Q And is it your understanding that those

7990 messages are not retained or stored anywhere?

7991 A Correct, yes.

7992 Q Apart from Signal, did you use any other

7993 messaging applications to communicate with individuals

7994 about official business?

7995 A Official business? No.

7996 Q Did you use any personal email accounts?

7997 A No.

7998 Q Did you use any personal computers, iPads, or

7999 other devices for official business?

8000 A Not personal. I did have an iPad for the

8001 agency.

8002 Q And did you return that at the end of your

8003 employment?

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8004 A Yes.

8005 Q Did you save any files to a personal hard

8006 drive, cloud storage, or other location?

8007 A No.

8008 Q Without discussing any communications that you

8009 had with your counsel, what steps did you take to search

8010 for any documents that were potentially responsive to the

8011 select subcommittee's request?

8012 A I looked at what I had kept and remembered

8013 what I had kept from the agency.

8014 Q Apart from Signal, did you ever hear of others

8015 in the government that used personal devices or email

8016 accounts to communicate related to official business?

8017 A Not that I'm aware of.

8018 Q Did you ever hear of anyone using ProtonMail?

8019 A Yes, I have a ProtonMail account, but I did

8020 not use it for official business.

8021 Q Are you aware of whether others used

8022 ProtonMail for official business?

8023 A I'm not aware of people using ProtonMail for

8024 official business, but I wouldn't have had those

8025 discussions with people.

8026 Q You mentioned the people that you communicated

8027 with on Signal. Were you aware of others in the federal

8028 government that used Signal to communicate for official

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8029 business?

8030 A I was not aware.

8031 (Recess.)

8032 [Majority Counsel]. We can go back on the record.

8033 Ms. Klock. Can we please correct the record when we

8034 spoke about -- or when Dr. Hahn spoke about the oleandrin

8035 issue. He suggested or stated that FDA had issued a 483 to

8036 the companies. It was actually a warning letter, not a

8037 483. Or there may be a 483, but it was a warning letter he

8038 was referencing.

8039 [Majority Counsel]. We can briefly go off the

8040 record.

[Minority Counsel]. We have a few quick questions.

8042 We can go back on the record.

BY [MINORITY COUNSEL].

8044 Q Dr. Hahn, you were having discussion with

8045 [Majority Counsel] about open, scientific dialogue, I think

8046 it was related to herd immunity. And recently some emails

8047 have come to light, I think through FOIA.

In particular on April 16th, 2020, Dr. Collins sent

8049 an email to some virologists that said. "Wondering if

8050 there's something NIH can do to help put down this very

8051 destructive conspiracy with what seems to be growing."

8052 And he's talking about the lab leak conspiracy.

Do you agree that that's a conspiracy, the theory

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8054 that the virus leaked from a lab?

8055 A I mean, I am no expert about what defines a

8056 conspiracy, but I do think that it's relevant for future

8057 pandemics that we take a one-health approach and that we

8058 understand what happened to prevent something like this in

8059 the future, if possible.

8060 Q So you think that the lab leak theory should

8061 be examined, it sounds like?

8062 A Oh, yes.

8063 Q Okay. Thank you. And then on October 8th,

8064 2020, in reference to -- are you familiar with the Great

8065 Barrington Declaration?

8066 A Yes.

8067 Q In reference to that, Dr. Collins wrote to Dr.

8068 Fauci and Cliff Lane -- is he a doctor?

8069 A NIH, yes.

8070 Q And Dr. Lawrence Tabak. He said, "This

8071 proposal from three fringe epidemiologists who met with the

8072 Secretary seems to be getting a lot of attention - and even

8073 a co-signature from Nobel Prize winner Mike Levitt at

8074 Stanford. There needs to be a quick and devastating

8075 published takedown of its premises. I don't see anything

8076 like that online yet. Is it under way? Francis."

8077 Do you agree with this sort of what -- would you

8078 agree that this is stifling scientific dialogue, a swift

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8079 takedown of the authors of the Great Barrington

- 8080 Declaration?
- 8081 A As characterized in that email, I would be
- 8082 concerned that that would be stifling scientific dialogue.
- 8083 Q Do you agree that the authors of the Great
- 8084 Barrington Declaration are three fringe epidemiologists?
- 8085 A I would not have used that term to
- 8086 characterize them. And as I mentioned in my testimony, I
- 8087 believe that what should occur during a public health
- 8088 emergency is a respectful and open discussion of all
- 8089 options.
- 8090 Q Thank you. Is it your understanding that the
- 8091 President did not -- it's my understanding that the
- 8092 President did not follow the advice of the authors of the
- 8093 Great Barrington Declaration. Is that also your
- 8094 understanding?
- 8095 A My understanding as well.
- 8096 Q And I believe that Dr. Bhattacharya actually
- 8097 testified to that fact. Do you have any awareness of Dr.
- 8098 Bhattacharya's testimony on this?
- 8099 A No, I'm not aware of it.
- 8100 Q Thank you. Do you agree -- so in the buildup
- 8101 to the Delta spike, surge, the President and Dr. Walensky
- 8102 said that this is, quote, "a pandemic of the unvaccinated."
- Do you find that narrative productive?

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8104 Α I do not find that narrative productive. 8105 Would you care to elaborate on that? Q I mean, it's a complicated situation. 8106 8107 while I've been very public about the fact that I think people should get vaccinated, I think that's a really 8108 important and strong public health message, I do believe 8109 that this is a discussion that should occur between 8110 providers and patients and people about the risks and 8111 benefits associated with it. And I think we should have a 8112 respectful discussion with people about their fears and 8113 8114 concerns and try to convince people to get vaccinated. have said that repeatedly, and I continue to feel that way. 8115 8116 Dr. Birx spent two days with us, much like you 8117 have today, and she testified that she thinks you need to 8118 meet people where they are and understand their concerns and have a dialogue and address their concerns. Would you 8119 8120 agree with that? 8121 Absolutely. Listen, my perspective as a cancer doctor, if I made a recommendation for someone to a 8122 treatment and they were afraid and didn't want to do it, 8123 8124 but I felt strongly it was the right thing to do, I wouldn't ridicule, I wouldn't push. What I would say is, 8125 8126 let's have a discussion about it. Let me respect where you 8127 are and have a discussion about why I think it's important and let's review the information. But ultimately, patients 8128

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8129 have autonomy and they can make those decisions.

- 8130 [Minority Counsel]. Thank you.
- 8131 [Minority Counsel]. I have two quick questions.
- BY [MINORITY COUNSEL].
- 8133 Q Does COVID-19 infect and kill people based on
- 8134 political affiliation?
- 8135 A No, it does not.
- 8136 Q What about based on their vote for a
- 8137 presidential candidate?
- 8138 A Not to my knowledge.
- 8139 [Minority Counsel]. Thank you.
- 8140 [Majority Counsel]. We are off the record.
- 8141 (Whereupon, at 4:31 p.m., the taking of the instance
- 8142 interview ceased.)